

University Calendar 2016-17

Contents

Contents	1
Dates of Terms, Examinations and Graduation	2
University Diary for Academic Year 2016-17	4
University Governance	10
Heads of Departments/Schools	11
The Council	14
The Senate	15
Committees of the Council	16
Committees of the Senate	26
The Charter	36
The Statutes	40
The Ordinances	42
University Regulations	68
Academic Regulations	68
Regulations relating to Programmes of Study	70
Principal Regulations for Taught Masters Programmes	85
Principal Regulations for Graduate and Postgraduate Diplomas and Certificates	86
Principal Regulations for Research Degrees	86
Principal Regulations for Higher Doctorates	96
Regulations relating to Registration	97
Regulations relating to Academic Affairs	101
General Regulations	105
Regulations for Scholarships, Bursaries, Studentships, Travel Grants and Prizes	108
Library Regulations	112
Code of Student Conduct in Residential Accommodation	115
Code of Student Conduct	123
Academic Offences Procedures	135
Progress and Appeals Procedures for Taught Programmes of Study	145
Progress and Appeals Procedures for Research Degree Students	153
Student Concerns and Complaints Procedure	160
Fees	168
Information available elsewhere	179
Index	180

CAVEAT

Every effort is made to ensure that the contents of the University Calendar 2016-17 are correct at the time of going to print. However, amendments may be approved by the relevant authorities for immediate implementation during the academic year. These will be incorporated into the online version of the University Calendar at: www.essex.ac.uk/about/governance/information/calendar.aspx

Dates of Terms, Examinations and Graduation

Dates of Terms

2016-17

Autumn term	Thursday 6 October 2016 - Friday 16 December 2016
Spring term	Monday 16 January 2017 - Friday 24 March 2017
Summer term	Monday 24 April 2017 - Friday 30 June 2017

2017-18

Autumn term	Thursday 5 October 2017 - Friday 15 December 2017
Spring term	Monday 15 January 2018 - Friday 23 March 2018
Summer term	Monday 23 April 2018 - Friday 29 June 2018

2018-19

Autumn term	Thursday 4 October 2018 - Friday 14 December 2018
Spring term	Monday 14 January 2019 - Friday 22 March 2019
Summer term	Tuesday 23 April 2019 - Friday 28 June 2019

*Note: Monday 22 April 2019 is a Bank Holiday.
First day of Teaching: Tuesday 23 April 2019*

Notes:

Please note that some induction and teaching dates for students vary according to your campus, degree level and course. New students should arrive earlier than the start-date shown above to attend University and Departmental welcome events.

If you have a Tier 4 visa to study in the UK you will need to make sure you are aware of the term dates that apply to you and understand your visa conditions and responsibilities. Further information is available to read on our website at: www.essex.ac.uk/students/new/international/default.aspx

Dates of Examinations

2016-17

MAIN EXAMINATION PERIOD

Monday 15 May 2017 - Friday 9 June 2017

HALF-YEAR EXAMINATION PERIOD

Monday 9 January 2017 - Saturday 14 January 2017

RE-SIT EXAMINATION PERIOD

Friday 1 September 2017 – Wednesday 13 September 2017

Note: Examinations may take place at times other than the above examination periods

Dates of Graduation

(Ceremonies are held at the Colchester Campus)

2016-17

Tuesday 18 July 2017
Wednesday 19 July 2017
Thursday 20 July 2017
Friday 21 July 2017

2017-18

Monday 16 July 2018
Tuesday 17 July 2018
Wednesday 18 July 2018
Thursday 19 July 2018
Friday 20 July 2018

2018-19

Monday 15 July 2019
Tuesday 16 July 2019
Wednesday 17 July 2019
Thursday 18 July 2019
Friday 19 July 2019

Further information on Graduation can be found on the Graduation website at:
www.essex.ac.uk/students/graduation/default.aspx (Enquiries should be directed to
graduation@essex.ac.uk)

University Diary for Academic Year 2016-17

A Timetable of University Weeks can be found at: www.essex.ac.uk/students/course-admin/timetables.aspx

DATE	DAY	WEEK	EVENT	TIME
23-Aug-16	Tue	48	Systems and Academic Projects Board	14:00
13-Sep-16	Tue	51	Student Experience Committee	14:00
15-Sep-16	Thu	51	Project Coordination Group	14:00
19-Sep-16	Mon	51	Council Induction meeting	09:00
03-Oct-16	Mon	1	University Steering Group	09:00
03-Oct-16	Mon	1	Resources Committee	13:30
04-Oct-16	Wed	1	Health and Safety Group	14:00
06-Oct-16	Thu	1	AUTUMN TERMS BEGINS	
10-Oct-16	Mon	2	University Steering Group	09:00
11-Oct-16	Tue	2	Systems and Academic Projects Board	14:00
12-Oct-16	Wed	2	Capital Projects Board	10:00
13-Oct-16	Thu	2	Risk Management Group	10:30
13-Oct-16	Thu	2	Audit and Risk Management Committee	15:00
17-Oct-16	Mon	3	Monday Management Pre-Meeting	15:00
17-Oct-16	Mon	3	Monday Management Meeting	16:00
18-Oct-16	Tue	3	Capital Planning Group	10:00
18-Oct-16	Tue	3	Research Committee	12:00
19-Oct-16	Wed	3	Academic Quality and Standards Committee	14:00
24-Oct-16	Mon	4	University Steering Group Away Day	09:00
27-Oct-16	Thu	4	Project Coordination Group	14:00
31-Oct-16	Mon	5	University Steering Group	09:00
02-Nov-16	Wed	5	Senate	14:00
07-Nov-16	Mon	6	Nominations Committee	12:30
07-Nov-16	Mon	6	Resources Committee	13:30
07-Nov-16	Mon	6	Investment Sub-Committee	16:30
09-Nov-16	Wed	6	Joint Academic Committee for the University of Suffolk	10:00
09-Nov-16	Wed	6	Academic Staffing Committee	13:00
09-Nov-16	Wed	6	Student Experience Committee	14:00
10-Nov-16	Thu	6	Senior Staff Conference	09:00
14-Nov-16	Mon	7	University Steering Group	09:00
14-Nov-16	Mon	7	Audit and Risk Management Committee	15:00
15-Nov-16	Tue	7	Graduate Directors' and Administrators' Meeting	11:00
15-Nov-16	Tue	7	Human Resources and Equality and Diversity Group	14:00

DATE	DAY	WEEK	EVENT	TIME
15-Nov-16	Tue	7	Capital Projects Board	10:00
15-Nov-16	Tue	7	Systems and Academic Projects Board	14:00
17-Nov-16	Thu	7	Council Away Day	09:00
18-Nov-16	Fri	7	Information and Communications Technology (ICT) Steering Group	09:00
21-Nov-16	Mon	8	Head of Department termly meeting	16:00
24-Nov-16	Thu	8	Student Fees and Funding Group	14:00
28-Nov-16	Mon	9	University Steering Group	09:00
28-Nov-16	Mon	9	Council	14:00
29-Nov-16	Tue	9	Research Committee	12:00
30-Nov-16	Wed	9	Partnerships Education Committee	10:00
30-Nov-16	Wed	9	Faculty Education Committee (Humanities)	14:00
30-Nov-16	Wed	9	Faculty Education Committee (Social Sciences)	14:00
30-Nov-16	Wed	9	Faculty Education Committee (Science & Health)	14:00
05-Dec-16	Mon	10	University Steering Group Away Day	09:00
06-Dec-16	Tue	10	University Steering Group Away Day	09:00
08-Dec-16	Thu	10	Project Coordination Group	14:00
12-Dec-16	Mon	11	Monday Management Pre-Meeting	15:00
12-Dec-16	Mon	11	Monday Management Meeting	16:00
13-Dec-16	Tue	11	Capital Planning Group	10:00
13-Dec-16	Tue	11	Ethics Committee	14:00
14-Dec-16	Wed	11	Education Committee	14:00
16-Dec-16	Fri	11	AUTUMN TERM ENDS	
19-Dec-16	Mon	12	University Steering Group	09:00
16-Jan-17	Mon	16	SPRING TERM BEGINS	
16-Jan-17	Mon	16	University Steering Group	09:00
16-Jan-17	Mon	16	Monday Management Pre-Meeting	15:00
16-Jan-17	Mon	16	Monday Management Meeting	16:00
16-Jan-17	Mon	16	Capital Projects Board	14:00
17-Jan-17	Tue	16	Systems and Academic Projects Board	14:00
23-Jan-17	Mon	17	Nominations Committee	12:30
23-Jan-17	Mon	17	Resources Committee	13:30
24-Jan-17	Tue	17	Research Committee	12:00
25-Jan-17	Wed	17	Senate	14:00
30-Jan-17	Mon	18	University Steering Group	09:00
01-Feb-17	Wed	18	Academic Quality and Standards Committee	14:00
06-Feb-17	Mon	19	Project Coordination Group	14:00
06-Feb-17	Mon	19	Monday Management Pre-Meeting	15:00

DATE	DAY	WEEK	EVENT	TIME
06-Feb-17	Mon	19	Monday Management Meeting	16:00
07-Feb-17	Tue	19	Human Resources and Equality and Diversity Group	14:00
08-Feb-17	Wed	19	Information and Communications Technology (ICT) Steering Group	14:00
13-Feb-17	Mon	20	University Steering Group	09:00
14-Feb-17	Tue	20	Graduate Directors' and Administrators' Meeting	11:00
15-Feb-17	Wed	20	Education Committee	14:00
20-Feb-17	Mon	21	Council	14:00
21-Feb-17	Tue	21	Systems and Academic Projects Board	14:00
21-Feb-17	Tue	21	Risk Management Group	14:00
22-Feb-17	Wed	21	Faculty Education Committee (Humanities)	14:00
22-Feb-17	Wed	21	Faculty Education Committee (Science & Health)	14:00
22-Feb-17	Wed	21	Faculty Education Committee (Social Sciences)	14:00
23-Feb-17	Thu	21	Student Fees and Funding Group	14:00
27-Feb-17	Mon	22	University Steering Group	09:00
27-Feb-17	Mon	22	Capital Projects Board	10:00
27-Feb-17	Mon	22	Monday Management Pre-Meeting	15:00
27-Feb-17	Mon	22	Monday Management Meeting	16:00
28-Feb-17	Tue	22	Capital Planning Group	10:00
01-Mar-17	Wed	22	Partnerships Education Committee	10:00
01-Mar-17	Wed	22	Student Experience Committee	14:00
02-Mar-17	Thu	22	Audit and Risk Management Committee	15:00
06-Mar-17	Mon	23	Head of Department termly meeting	16:00
07-Mar-17	Tue	23	Research Committee	12:00
08-Mar-17	Wed	23	Joint Academic Committee for the University of Suffolk	10:00
13-Mar-17	Mon	24	University Steering Group	09:00
13-Mar-17	Mon	24	Monday Management Pre-Meeting	15:00
13-Mar-17	Mon	24	Monday Management Meeting	16:00
14-Mar-17	Tue	24	Ethics Committee	14:00
15-Mar-17	Wed	24	Academic Staffing Committee	10:00
15-Mar-17	Wed	24	Academic Quality and Standards Committee	14:00
16-Mar-17	Thu	24	Project Coordination Group	14:00
20-Mar-17	Mon	25	Honorary Degrees and Honorary Fellowships Committee	09:00
20-Mar-17	Mon	25	Investment Sub-Committee	12:00
24-Mar-17	Fri	25	SPRING TERM ENDS	
27-Mar-17	Mon	26	University Steering Group Away Day	09:00
28-Mar-17	Tue	26	University Steering Group Away Day	09:00

DATE	DAY	WEEK	EVENT	TIME
29-Mar-17	Wed	26	Education Committee	14:00
03-Apr-17	Mon	27	University Steering Group	09:00
03-Apr-17	Mon	27	Remuneration Committee	11:30
03-Apr-17	Mon	27	Resources Committee	13:30
24-Apr-17	Mon	30	SUMMER TERM BEGINS	
24-Apr-17	Mon	30	University Steering Group	09:00
24-Apr-17	Mon	30	Capital Projects Board	11:00
25-Apr-17	Tue	30	Council Induction meeting (to be confirmed)	09:00
25-Apr-17	Tue	30	Systems and Academic Projects Board	14:00
26-Apr-17	Wed	30	Senate	14:00
27-Apr-17	Thu	30	Senior Staff Conference	09:00
02-May-17	Tue	31	Capital Planning Group	10:00
02-May-17	Tue	31	Research Committee	13:00
02-May-17	Tue	31	Health and Safety Group	14:00
08-May-17	Mon	32	University Steering Group	09:00
08-May-17	Mon	32	Monday Management Pre-Meeting	15:00
08-May-17	Mon	32	Monday Management Meeting	16:00
09-May-17	Tue	32	Graduate Directors' and Administrators' Meeting	11:00
10-May-17	Wed	32	Student Experience Committee	14:00
11-May-17	Thu	32	Human Resources and Equality and Diversity Group	14:00
15-May-17	Mon	33	Council Away Day	09:00
15-May-17	Mon	33	Council	14:00
17-May-17	Wed	33	Partnerships Education Committee	10:00
17-May-17	Wed	33	Faculty Education Committee (Humanities)	14:00
17-May-17	Wed	33	Faculty Education Committee (Science & Health)	14:00
17-May-17	Wed	33	Faculty Education Committee (Social Sciences)	14:00
17-May-17	Wed	33	Information and Communications Technology (ICT) Steering Group	15:00
18-May-17	Thu	33	Professorial Salary Review	09:00
18-May-17	Thu	33	Project Coordination Group	14:00
22-May-17	Mon	34	University Steering Group	09:00
23-May-17	Tue	34	Risk Management Group	10:00
25-May-17	Thu	34	Academic Staffing Committee	14:00
05-Jun-17	Mon	36	University Steering Group	09:00
05-Jun-17	Mon	36	Monday Management Pre-Meeting	15:00
05-Jun-17	Mon	36	Monday Management Meeting	16:00

DATE	DAY	WEEK	EVENT	TIME
07-Jun-17	Wed	36	Joint Academic Committee for the University of Suffolk	10:00
07-Jun-17	Wed	36	Academic Quality and Standards Committee	14:00
12-Jun-17	Mon	37	University Steering Group Away Day	09:00
12-Jun-17	Mon	37	Capital Projects Board	14:00
13-Jun-17	Tue	37	Systems and Academic Projects Board	14:00
15-Jun-17	Thu	37	Academic Staffing Committee	13:00
19-Jun-17	Mon	38	University Steering Group	09:00
19-Jun-17	Mon	38	Head of Department termly meeting	16:00
20-Jun-17	Tue	38	Student Fees and Funding Group	11:00
20-Jun-17	Tue	38	Ethics Committee	14:00
21-Jun-17	Wed	38	Education Committee	14:00
22-Jun-17	Thu	38	Audit and Risk Management Committee	15:00
23-Jun-17	Fri	38	Court	
26-Jun-17	Mon	39	Remuneration Committee	11:00
26-Jun-17	Mon	39	Nominations Committee	12:30
26-Jun-17	Mon	39	Resources Committee	13:30
27-Jun-17	Tue	39	Capital Planning Group	10:00
27-Jun-17	Tue	39	Research Committee	12:00
30-Jun-17	Fri	39	SUMMER TERM ENDS	
03-Jul-17	Mon	40	University Steering Group	09:00
03-Jul-17	Mon	40	Monday Management Pre-Meeting	15:00
03-Jul-17	Mon	40	Monday Management Meeting	16:00
05-Jul-17	Wed	40	Senate	14:00
10-Jul-17	Mon	41	University Steering Group	09:00
12-Jul-17	Wed	41	Academic Staffing Committee	13:00
13-Jul-17	Thu	41	Project Coordination Group	14:00
17-Jul-17	Mon	42	Council Away Day	09:00
17-Jul-17	Mon	42	Council	14:00
18-Jul-17	Tue	42	Graduation	
19-Jul-17	Wed	42	Graduation	
20-Jul-17	Thu	42	Graduation	
21-Jul-17	Fri	42	Graduation	
21-Aug-17	Mon	47	Capital Projects Board	14:00
29-Aug-17	Tue	48	Systems and Academic Projects Board	14:00
19-Sep-17	Tue	51	Student Experience Committee	14:00
21-Sep-17	Thu	51	Project Coordination Group	14:00

25-Sep-17	Mon	52	Council Induction	09:00
-----------	-----	----	-------------------	-------

University Governance

Principal Officers of the University

Chancellor

The Right Honourable Baroness Chakrabarti, CBE

Pro-Chancellor

David Currie, BSc MSocSci PhD (Chair of the Council)

Vice-Chancellor

Professor Anthony Forster, FHEA FLF FRSA FAcSS

Treasurer

Tim Porter

Deputy Vice-Chancellor

Professor Jules Pretty, OBE FRSA FIBiol

Pro-Vice-Chancellor (Education)

Professor Aletta Norval, BA BA MA PhD

Pro-Vice-Chancellor (Research)

Professor Heather Laurie, BA PhD

Executive Deans

Humanities

Professor Lorna Fox O'Mahony, LLB PhD PGCHET

Science and Health

Professor Graham Underwood, BSc DPhil

Social Sciences

Professor Sasha Roseneil, BSc PhD

Deans

Postgraduate Research and Education

Professor Martyna Sliwa BA MA MSc PhD

Partnerships

Professor Dominic Micklewright, PhD CPsychol MSc BSc PGCertHE FHEA

Deputy Dean of Partnerships

Allan Hildon, BHealthSc (Nursing)

Deputy Dean (Education) (Humanities)

Dr Peter Luther, MA MA PhD

Deputy Dean (Education) (Science and Health)

Dr David Penman, MA MMath MSc PhD

Deputy Dean (Education) (Social Sciences)

Professor Jackie Turton, SRN SCM:HV BA PhD

Deputy Dean (Postgraduate Research and Education) (Humanities)

Dr Matthew Grant, PhD FRHistS

Deputy Dean (Postgraduate Research and Education) (Science and Health)

Professor Ian Colbeck, MSc PhD FInstP CPhys FRMetS

Deputy Dean (Postgraduate Research and Education) (Social Sciences)

Dr Magda Abou-Seada, BComm MPhil PhD

Dean of Health

Professor Joanna Jackson, CertED BA MSc EdD MCSP

Registrar and Secretary

Bryn Morris, MA

Student Conduct Officer

Penny Brearey-Horne, LLM LLB

Heads of Departments/Schools

Departments/Schools	Heads of Department/Director
Biological Sciences	Professor Christine Raines
Computer Science and Electronic Engineering	Professor Simon Lucas
East 15 Acting School	Professor Leon Rubin
Economics	Professor Katharine Rockett
Essex Business School	Professor Geoffrey Wood
Government	Professor Lawrence Ezrow
Health and Human Sciences	Vikki-Jo Scott
History	Dr Mark Frost
Essex Pathways Department	Dr Nilufer Demirkan-Jones
Language and Linguistics	Professor Nancy Kula
Law	Professor Andrew Le Sueur
Literature, Film, and Theatre Studies	Professor Philip Terry
Mathematical Sciences	Professor Berthold Lausen
Philosophy and Art History	Professor Fabian Freyenhagen
Psychology	Professor Paul Hibbard
Sociology	Professor Sean Nixon
UK Data Archive	Professor Matthew Woollard
Research Institutes	Directors
Human Rights Centre	Professor Lorna McGregor
Institute Analytics and Data Science (IADS)	Professor Maria Fasli
Institute for Social and Economic Research	Professor Nicholas Buck
Research Centres	Directors
Bibliographical History (CBH), Centre for	Dr David Rundle (Department of History)/Professor James Raven (Department of History)
Brain Science, Centre for	Dr Nick Cooper (Department of Psychology)
Computational Finance and Economic Agents (CCFEA), Centre for	Professor Edward Tsang (School of Computer Science and Electronic Engineering)

Computational Intelligence, Centre for	Professor Hani Hagra (School of Computer Science and Electronic Engineering)/Professor Jay Mitra (Essex Business School)
Creative Writing, Centre for	Professor Philip Terry (Department of Literature, Film, and Theatre Studies)
Criminology, Centre for	Professor Nigel South (Department of Sociology)
Cultural and Social History, Centre for	Professor Peter Gurney (Department of History)
Curatorial Studies, Centre for	Dr Gavin Grindon (School of Philosophy and Art History)
Digital History, Centre for	Professor Edward Higgs/Dr Lisa Smith (Department of History)
Electoral Research, Centre for	Professor Paul Whiteley (Department of Government)
Environment and Society, Centre for	Professor Karen Hulme (School of Law)
ESRC Business and Local Government Data Research Centre	Professor Vania Sena (Essex Business School)
Centre for Research in Economic Sociology and Innovation (CRESI)	Professor Mark Harvey (Department of Sociology)
Essex Accounting Centre (EAC)	Dr Shazad Uddin (Essex Business School)
Essex Biomedical Sciences Institute (EBSI)	Professor Elena Klenova (School of Biological Sciences)
Essex Centre for Financial Econometrics (ECFE)	Professor Rob Taylor (Essex Business School)
Essex Finance Centre (EFiC)	Professor Jerry Coakley (Essex Business School)
Film and Screen Media, Centre for	Professor Geoff Geiger (Department of Literature, Film, and Theatre Studies)
Global Accountability (CEGA), Centre for	Professor Prem Sikka (Essex Business School)
Interdisciplinary Studies Centre	Dr Casper Pearson (School of Philosophy and Art History)
Intimate and Sexual Citizenship, Centre for	Dr Roisin Ryan-Flood (Department of Sociology)
Jean Monnet European Centre of Excellence	Professor Emil Kirchner (Department of Government)
Latin American and Caribbean Studies, Centre for	Dr Jo Harwood (Communications and External Relations Section)
Local and Regional History, Centre for	Dr Amanda Wilkinson (Department of History)
Michael Nicholson Centre for Conflict and Cooperation	Professor Kristian Gleditsch (Department of Government)
Myth Studies, Centre for	Professor Roderick Main (Centre for Psychoanalytic Studies)
Psychoanalytic Studies, Centre for	Dr Matt ffytche
Research in Economic Society and Innovation (CRESI), Centre for	Professor Mark Harvey (Department of Sociology)
Research in Language Development throughout the Lifespan (LaDeLi), Centre for	Professor Florence Myles (Department of Language and Linguistics)
Social Science Data Analysis, Centre for	To be confirmed
Social Work, Centre for	To be confirmed
Sports & Exercise Science, Centre for	Dr Gavin Sandercock (School of Biological Sciences)
Studies of Surrealism and its Legacies, Centre for	Dr Matt Lodder (School of Philosophy and Art History)

Study of Integrity, Centre for the	Professor Paul Whiteley (Department of Government)
Theatre Studies, Centre for	Dr Elizabeth Kuti (Department of Literature, Film, and Theatre Studies)
Theory, Policy and Society, Centre for	Professor David Howarth (Department of Government)
Trauma, Asylum and Refugees, Centre for	Professor Renos Papadopoulos (Centre for Psychoanalytic Studies)
Work, Organization and Society, Centre for	Professor Martyna Sliwa (Essex Business School)
Administrative Sections	Heads of Section
Academic Registrar	Richard Stock
Campus Manager, Southend Campus	Zoe Manning
Director of Communications and External Relations	Vanessa Potter
Director of Estates and Campus Services	Chris Oldham
Director of Finance	Andrew Keeble
Director of Human Resources	Susie Morgan
Director of IT Services	Richard Murphy
Director of Research and Enterprise	Vanessa Cuthill
Director of Strategic Planning and Change	Dr Sonia Virdee
University Librarian and Director of Library Services	Cathy Walsh

The Council

EX OFFICIO MEMBERS

Pro-Chancellors

David Currie, BSc MSocSci PhD (*Chair of Council*)

Judith Judd

Maria Stanford, BA FCIPD

Vice-Chancellor

Professor Anthony Forster, BA PGCAP MPhil

Treasurer

Tim Porter, MA FCA

Deputy Vice-Chancellor

Professor Jules Pretty, OBE FRSA FIBiol

APPOINTED MEMBERS

Dean of Postgraduate Research and Education:

Professor Martyna Sliwa, BA MA MSc PhD

Professor Dominic Micklewright, PhD CPsychol

MSc BSc PGCertHE FHEA

ELECTED MEMBERS

Five academic staff members of the Senate elected by the Senate:

Professor Joan Busfield, MA MA PhD

Dr Nilufer Demirkan-Jones

Professor Fabian Freyenhagen, BA PhD, FHEA

Professor Nancy Kula, BA MA PhD

Professor Christine Raines

One non-academic staff member elected by the non-academic staff:

Lynn Bowman-Burns

EXTERNAL MEMBERS

Paul Gray, BSc CCMI CB

Dr Elizabeth Hall, MBE

Simon Hall, MBE

Jane Hamilton, BCom FRICS

Paul Jackson, CEng FIET IMA

Joe Lister, BA

Milan Makwana, MA FCIPD

Geoffrey Probert

Hayley Read, BA

Dr Adam Wright, PhD

STUDENT MEMBER

President of the Students' Union: Zoe Garshong

OBSERVER

Chief of Staff: Monica Illsley

The Senate

EX-OFFICIO MEMBERS

Vice-Chancellor

Professor Anthony Forster

Deputy Vice-Chancellor

Professor Jules Pretty

Pro-Vice-Chancellors

Professor Heather Laurie
Professor Aletta Norval

Deans

Executive Dean (Humanities): Professor Lorna Fox O'Mahony
Executive Dean (Science and Health):
Professor Graham Underwood
Executive Dean (Social Sciences): Professor
Sasha Roseneil
Partnerships: Professor Dominic Micklewright
Postgraduate Research and Education:
Professor Martyna Sliwa

Heads of Department/School

Biological Sciences, Professor Christine Raines
Computer Science and Electronic Engineering,
Professor Simon Lucas
East 15 Acting School, Professor Leon Rubin
Economics, Professor Katharine Rockett
Essex Business School, Professor Geoff Wood
Essex Pathways, Dr Nilufer Demirkan-Jones
Government, Professor Lawrence Ezrow
Health and Human Sciences, Vikki-Jo Scott
History, Dr Mark Frost
Language and Linguistics, Professor Nancy Kula
Law, Professor Andrew Le Sueur
Literature, Film, and Theatre Studies, Professor
Philip Terry
Mathematical Sciences, Professor Berthold
Lausen
Philosophy and Art History, Professor Fabian
Freyenhagen

Psychology, Professor Paul Hibbard
Sociology, Professor Sean Nixon
The Institute for Social and Economic Research,
Professor Nicholas Buck
The UK Data Archive, Professor Matthew Woollard

APPOINTED

Academic Registrar, Richard Stock

ELECTED MEMBERS

Dr Ilaria Boncori
Dr Karen Brennan
Dr Malcolm Brynin
Professor Joan Busfield
Professor Edward Codling
Professor Maria Fasli
Dr Michael Fry
Dr Nicolas Geeraert
Dr Valerie Gladwell
Sherrie Green
Dr Timo Juetten
Dr Chris Main
Professor Roderick Main
Professor Monika Schmid
Dr Stephen Shukaitis
Professor Gianluigi Vernasca

CO-OPTED MEMBERS

University Librarian and Director of Library
Services, Cathy Walsh
Director for IT Services, Richard Murphy
Dean of Health: Professor Joanna Jackson
Director of the Centre for Psychoanalytic Studies,
Dr Matt ffytche

STUDENT MEMBERS

President of the Students' Union, Colchester
Campus: Zoe Garshong
Vice-President of the Students' Union (Education),
Colchester Campus: Josh Gulrajani
Vice-President (Southend): Ernest Nyarko
Faculty Convenors (3):
Humanities: Eseosa Omoruyi
Science and Health: Mohab Elbishbishy
Social Sciences: Andrei Duma
Postgraduate Students' Officer: Jess Blair
A Postgraduate Research Student: Andrew
McIntosh

OBSERVERS

Chief of Staff: Monica Illsley
Faculty Managers:
Humanities: Rachel Lucas
Science and Health: Alex Seabrook
Social Sciences: Emma Hardy

Committees of the Council

Audit and Risk Management Committee

EX OFFICIO MEMBERS

Treasurer: Tim Porter (*Chair*)

APPOINTED MEMBERS (APPOINTED BY NOMINATIONS COMMITTEE)

Seven members, not being members of Resources Committee or holding an executive position, at least two of whom shall be External members of Council:

Joe Lister
Milan Makwana
Robin Paddock
Kash Pandya
Hayley Read
Riccardo Tazzini
One vacancy

At least two members will have relevant experience in finance, accounting and/or auditing.

OFFICERS IN ATTENDANCE

The Director of Finance
The Head of internal audit
A representative from external audit
The Registrar and Secretary

CO-OPTED

The Committee may co-opt members as appropriate

TERMS OF REFERENCE

(a) Financial and Internal Control

- i. To review the effectiveness of the financial and other internal control systems;
- ii. to ensure that all significant losses have been properly investigated and that the internal and external auditors, and where appropriate the HEFCE Accounting Officer, have been informed;
- iii. to ensure that the University has effective arrangements to prevent and detect fraud, bribery and corruption, to monitor instances arising under those arrangements and to report accordingly to Council.

(b) External Audit

- i. To consider and advise the Council on: the appointment and, if necessary, the dismissal of the External Auditor; the terms of engagement; the audit fee; and any non-audit services from the External Auditor;
- ii. to agree with the External Auditor on the nature and scope of the audit;

- iii. to receive and consider the External Auditor's management letter in connection with the audit of the University's Annual Accounts and the management response and any other matter the external auditor wishes to discuss;
 - iv. to consider elements of the annual financial statements in the presence of the External Auditor, including the auditor's formal opinion, the statement of members' responsibilities and any corporate governance statement and make recommendations to Council.
- (c) **Internal Audit**
- i. To consider and make recommendations to the Council on the appointment of the Internal Auditor; the terms of engagement, the audit fee and any non audit services from the internal auditor;
 - ii. to receive and approve a five-year Strategic Plan for the Internal Auditor;
 - iii. to receive and approve an Annual Plan for the Internal Auditor and any other matter the internal auditor wishes to discuss;
 - iv. to receive and consider the final report of each internal audit assignment, activity or investigation undertaken on behalf of the University by the Internal Auditor and any other matter the internal auditor wishes to discuss;
 - v. to review the work of the Internal Auditor in conjunction with the receipt of an annual report prepared by the Internal Auditor, the report to include an opinion on the degree of assurance that can be taken from the system of control, and to make recommendations to Council;
 - vi. to consider any internal audit matters not specifically covered above.
- (d) **Monitoring and co-ordination of internal and external audit**
- To monitor the effectiveness of internal and external audit on the basis of performance indicators approved by Council from time to time on the recommendation of the Audit and Risk Management Committee; to promote co-ordination between the internal and external auditors.
- (e) **Reports of an audit nature**
- To receive and consider any reports of an audit nature prepared by the Higher Education Funding Council of England (HEFCE), the Quality Assurance Agency, the National Audit Office and any other similar bodies.
- (f) **Value for money**
- To satisfy itself that adequate arrangements are in place to promote value for money initiatives, economy, efficiency and effectiveness.
- (g) **Quality of data**
- To satisfy itself that adequate arrangements are in place to ensure that data provided to the HEFCE, the Higher Education Statistics

Agency and other public bodies is of a high quality.

(h) **Risk management**

To advise the Council on the effectiveness of risk management, control and governance arrangements by:

- i. familiarisation with the concept and requirements of risk management;
- ii. acting as a catalyst for risk management activity across the University;
- iii. ensuring appropriate audit work on risk management is performed;
- iv. collecting information on risks and risk management;
- v. receiving reports from the Risk Management Group regarding the actions taken on the highest risks identified on the Risk Register.

REPORTING MECHANISMS

(i) **Annual report**

To prepare an annual report for submission to Council and the HEFCE on the work of the Audit and Risk Management Committee, incorporating an opinion on the effectiveness of the internal control system and the pursuit of value for money, together with an assurance on risk management. The report to Council to also include an appraisal of the Committee's own performance and effectiveness over the year.

(j) **Minutes**

To be circulated to all members and attendees of the Audit and Risk Management Committee and all members of Council.

(k) **Quorum**

The Committee shall be quorate when half of the members are present.

(l) **Meeting structure**

Meetings shall normally be held four times a year. Members of the committee, the external auditors and head of internal audit may request a meeting if they consider it necessary.

Two private meetings (for External members only) will normally be held each year: one with the Internal Auditor and one with the External Auditor.

(m) **Review of Terms of Reference**

The Terms of Reference should be reviewed annually.

Nominations Committee

EX OFFICIO MEMBERS

Chair of Council: David Currie (*Chair*)
Vice-Chancellor: Professor Anthony Forster
Registrar and Secretary: Bryn Morris

APPOINTED MEMBERS, (APPOINTED BY NOMINATIONS COMMITTEE)

Two External members of Council:
Judith Judd
Maria Stanford

Two co-opted External members of Council:
Simon Hall
Tim Porter

One Academic member of Council, who is not a member of USG:
Professor Nancy Kula

TERMS OF REFERENCE

To make recommendations to Council on the appointment of:

- (a) External and appointed members of Council
- (b) Council appointed members of Court
- (c) Council appointed members of Council Committees
- (d) External members, who are members of Council, to Council Committees
- (e) Internal members, who are not members of Council, to Council Committees.

MEETINGS

Normally three times per year

Remuneration Committee

EX OFFICIO MEMBERS

Chair of Council: David Currie (*Chair*)
Vice-Chancellor: Professor Anthony Forster
Registrar and Secretary: Bryn Morris

APPOINTED MEMBERS:

Four External members of Council:
Judith Judd
Milan Makwana
Geoffrey Probert
Maria Stanford

TERMS OF REFERENCE

- (a) To make recommendations to Council regarding the strategic framework for remuneration and reward, including as appropriate, pay structures, reward and recognition, performance pay and pay bargaining;
- (b) In relation to the remuneration and reward framework:
 - i. for staff groups where issues are not covered by collective agreements with the University's recognised trades unions (in particular, senior office holders, the professoriate and professional staff on

- grade 11), on behalf of Council to determine the remuneration and reward framework for the salaries, other remuneration and conditions of service eg the framework for pay levels; pay progression, including bonus payments, accelerated increments and market supplements;
- ii. on behalf of Resources Committee, to put in place a scheme of delegation to the Vice-Chancellor to agree salary increases in individual cases to address urgent retention issues for all categories of staff (currently up to £25,000 on the Vice-Chancellor's own authority and in excess of £25,000 with the agreement of the Chair of the Remuneration Committee);
- (c) In the light of an assessment of performance during the prior year against agreed objectives, to determine the salary, other remuneration and conditions of service of the Vice-Chancellor (in the absence of the Vice-Chancellor). Objectives set by the Chair of Council for the Vice-Chancellor should be provided for information to the Committee;
- (d) Following a submission by the Vice-Chancellor of an assessment of performance during the prior year against agreed objectives, to consider the salary, other remuneration and conditions of service of the Registrar and Secretary, Deputy Vice-Chancellor, Pro-Vice-Chancellors and Executive Deans (in the absence of the Registrar and Secretary for consideration of their case). Objectives set each year by the Vice-Chancellor should be provided for information to the Committee;
- (e) To receive annually:
 - i. a report on work related visits abroad by the Vice-Chancellor and the Registrar and Secretary;
 - ii. a report on the outside earnings of the Vice-Chancellor and the Registrar and Secretary;
- (f) to approve the hospitality fund of the Vice-Chancellor;
- (g) to consider the recommendations by the Vice-Chancellor and the Registrar and Secretary on the salaries, other remuneration and conditions of service of senior office holders, professorial staff and professional services staff on Grade 11;
- (h) to receive a report on the award of discretionary increments and bonuses for academic and professional services staff, subject to the availability of such awards in any year;
- (i) to receive a report on early retirement packages and severance payments to members of the University and to approve payments in advance where:

- i. the cost to the University would not be recovered in two years or less; or
- ii. any payments above contractual entitlement are paid to any member of University Steering Group
- (j) to receive a report on action taken by the Vice-Chancellor under Ordinance 36.3 or 37.4;
- (k) to receive a report on action taken by the Vice-Chancellor to approve salary awards to staff who have received offers from other organisations;
- (l) to receive a report on action taken to approve the payment of market supplements;
- (m) to make an annual report to Council, to include an assurance that recommendations are made with reference to the public interest, and providing information on the number of professorial and grade 11 professional staff by salary band, salary trends, a summary of discretionary payments made, and the individual salaries of the Vice-Chancellor and the Registrar and Secretary;
- (n) to consider any other related business appropriate to the remit of Remuneration Committee.

MEETINGS

At least once per year

University Steering Group

Purpose

To be the University's executive group, supporting the Vice-Chancellor in the discharge of powers and duties delegated to him by Council and Resources and in discharging specific powers and duties delegated to USG by Council.

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster (*Chair*)

Deputy Vice-Chancellor: Professor Jules Pretty

Pro-Vice-Chancellor (Education): Professor Aletta Norval

Pro-Vice-Chancellor (Research): Professor Heather Laurie

Registrar and Secretary: Bryn Morris

Director of Finance: Andrew Keeble

Executive Dean, Faculty of Humanities: Professor Lorna Fox O'Mahony

Executive Dean, Faculty of Science and Health:

Professor Graham Underwood

Executive Dean, Faculty of Social Sciences:

Professor Sasha Roseneil

Chief of Staff: Monica Illsley

TERMS OF REFERENCE

- (a) To prepare and recommend annually to the Resources Committee of the Council five year financial forecasts and a Capital Investment Plan, incorporating an annual recurrent revenue and capital budget for the University, and to monitor the implementation of these;

- (b) to review and monitor the implementation of the Strategic Plan of the University, its Strategies, Sub-Strategies and Supporting Strategies, their associated action plans and performance against their key performance indicators and to report to Resources Committee, Council and other committees as appropriate;
- (c) to keep the allocation and deployment of University resources under regular scrutiny and review, including allocations to Faculties and Professional Services, to ensure that resources are being used to support the institutional interest and the objectives in the Strategic Plan;
- (d) to approve the provision of staff posts, and to monitor appointments made, delegating authority for individual appointments in accordance with the University's recruitment policies as follows:
 - (i) to the Executive Deans, authority to approve staffing replacements and new requests of academic staff within budget within their individual faculties;
 - (ii) to the Registrar and Secretary authority to approve staffing replacements and new requests within budget of professional services staff in central sections, faculties and schools/departments.
- (e) to approve non-recurrent non-staff additions to the recurrent budget up to the value of £250,000 (net expenditure);
- (f) to review and monitor the annual budget allocations to faculties and professional services;
- (g) under delegation from Council, to mandate new projects and their funding, enabling them to be added to the Capital Investment Plan, up to the value of £2,000,000 (gross expenditure) and to approve cost increases to existing projects up to £20,000, provided the Capital Investment Plan is supported by appropriate financing, eg loans, capital receipts;
- (h) to approve tuition fees on behalf of Council and to report all such approvals to Council;
- (i) to monitor key institutional risks, including compliance with statutory obligations, reporting to Audit and Risk Management Committee, Resources Committee and Council as appropriate;
- (j) to establish advisory groups to undertake detailed work to support USG in discharging its responsibilities more effectively, including delegation of authority for specific decisions where this is considered appropriate.

METHOD OF OPERATION

- (a) The record of meetings of the University Steering Group shall be copied to all members of Resources Committee, the Chair of Audit and Risk Management

Committee, Deans, Heads of Departments/Schools and Centres, Heads of Professional Services and Faculty Managers.

MEETINGS

Normally fortnightly throughout the year, with the exception of the summer vacation

Resources Committee

EX OFFICIO MEMBERS

Chair of Council: David Currie (*Chair*)

University Steering Group Members

Vice-Chancellor: Professor Anthony Forster

Deputy Vice-Chancellor: Professor Jules Pretty

Pro-Vice-Chancellor (Education): Professor Aletta Norval

Pro-Vice-Chancellor (Research): Professor Heather Laurie

Registrar and Secretary: Bryn Morris

Director of Finance: Andrew Keeble

APPOINTED MEMBERS, (APPOINTED BY NOMINATIONS COMMITTEE)

Five external member of Council:

Paul Gray

Judith Judd

Geoffrey Probert

Maria Stanford

Jane Hamilton

One academic member of Council, who is not a member of University Steering Group:
Professor Fabian Freyenhagen

President of the Students' Union: Zoe Garshong

OBSERVER

Chief of Staff: Monica Illsley

TERMS OF REFERENCE

- (a) To monitor and review the sustainability and progress of the University in achieving its Strategic Plan and its development in academic, social, external, governance and other policy terms;
- (b) to recommend to the Council the annual recurrent budget of the University;
- (c) to approve on behalf of Council additions to the recurrent budget, up to the value of £1,000,000 (net expenditure);
- (d) to delegate to the Remuneration Committee authority to determine an appropriate level of delegation to the Vice-Chancellor to offer salary increases, in cases to address urgent retention issues for all categories of staff;
- (e) to mandate on behalf of Council new projects added to the Capital Investment Plan up to the value of £4,000,000 (gross expenditure);
- (f) to approve cost increases to approved projects in the Capital Programme up to the value of £1,000,000;

- (g) to receive an annual report of all completed capital projects in the last 12 months comparing the initially approved budget to its outturn cost;
- (h) to consider and, where appropriate, make comments on all recommendations sent forward from the Senate to the Council which have financial implications;
- (i) to make recommendations to the Council on all other financial matters;
- (j) to recommend to Council a human resources strategy of the University;
- (k) to consider the institutional audit report.

MEETINGS

Normally five times per year

Sub-Committees of Resources Committee

Investment Sub-Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
 Vice-Chancellor's nominee, Registrar and Secretary: Bryn Morris
 Director of Finance: Andrew Keeble

APPOINTED MEMBERS, (APPOINTED BY NOMINATIONS COMMITTEE)

Simon Hall (*Chair*)
 One member from Resources Committee:
 Geoffrey Probert

CO-OPTED

Chair of Council: David Currie

One external member with specialist knowledge: William Drake

TERMS OF REFERENCE

- (a) To develop and submit for approval to Resources Committee, the investment objectives for the University's investments;
- (b) to agree and keep under review appropriate asset allocation between equities, fixed interest and cash deposits, and within equities between the UK and overseas markets, taking account of the capital and income growth targets and risk set in the *investment objective* agreed by the Resources Committee;
- (c) to monitor the performance of the fund managers, against the benchmarks set in the *investment objective* and comparator funds and market indices;
- (d) to review, against the benchmark, at least every three years from the date of appointment all managers/investments and either remain invested or replace and to make recommendations to Resources Committee;

- (e) to receive at each meeting an overall report, prepared by the Director of Finance, on the performance of the University's investments and the key issues to be addressed.

MEETINGS

Normally twice per year

Advisory Groups of University Steering Group

Capital Planning Group

Purpose

To support USG in developing for approval and in implementing capital investment plans that will support effective delivery of the University's Strategic Plan.

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
 Deputy Vice-Chancellor; Professor Jules Pretty (*Chair*)
 Registrar & Secretary: Bryn Morris
 Director of Finance: Andrew Keeble
 Director of Estates and Campus Services: Chris Oldham
 Director of IT Services: Richard Murphy
 Academic Registrar: Richard Stock
 Director of Research and Enterprise Office: Vanessa Cuthill
 Director of Strategic Planning and Change: Dr Sonia Virdee

APPOINTED MEMBERS

Deputy Director of Finance (Financial Management): Rob Thomson
 Head of Financial Accounting and Capital: Jo Willis

TERMS OF REFERENCE

- (a) To recommend to the USG, the University's Capital Investment Plan (CIP), covering all capital expenditure requirements of the University and to operate to the same timeframe as the University's Strategic Plan;
- (b) to consider individual requests, in the form of mandate requests for change requests, for new projects or increased capital expenditure for inclusion within the CIP, prioritising them against the requirements of the Strategic Plan and the contribution they will make to the delivery of excellence in education and research and recommending new projects to USG for inclusion within the CIP. This includes requests from University of Essex Campus Services Ltd and Knowledge Gateway Ltd;
- (c) on an annual basis, to recommend to University Steering Group an annual capital budget necessary to finance projects within the CIP that will be initiated in the coming year, for approval by Council alongside the University's revenue budget;

- (d) under delegated authority from USG, be responsible to USG for overseeing the system through which the University manages individual capital projects, to ensure that they proceed to time, to budget and deliver their intended benefits;
- (e) identify longer term capital investment requirements for the University, to inform the University's overall financial strategy and to recommend to USG any implications of these requirements for the University's investment of its reserves and its external borrowings which might need to be drawn to the attention of Resources Committee;
- (f) to provide a bi-annual assurance report on the CIP to USG, Resources Committee and Council.

MEETINGS

Normally five times per year

Health and Safety Group

Purpose

To support the Vice-Chancellor and USG in discharging their executive responsibilities for safeguarding and promoting the health and safety of staff, students and visitors at the University.

To provide a forum for consultation with student and staff representatives in relation to health and safety.

In appropriate circumstances, to draw matters directly to the attention of Council.

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
 Registrar and Secretary: Bryn Morris (*Chair*)
 Director of Human Resources: Susie Morgan
 Director of Estates and Campus Services: Chris Oldham
 Southend Campus, Campus Manager: Zoe Manning
 Loughton Campus, Campus Supervisor: Mark Spicer
 Deputy Chief Executive, Students' Union: Marianne Provan
 Faculty Manager, Humanities: Rachel Lucas
 Faculty Manager, Science and Health: Alex Seabrook
 Faculty Manager, Social Sciences: Emma Hardy
 Head of Health and Safety (professional adviser to the Committee): Claire Saunders
 Head of Workplace Health and Wellbeing: Lara Carmel
 Insurance and Risk Manager: Stephen Couch

NOMINATED/REPRESENTATIVE/ELECTED MEMBERS

One nominee from each of the recognised Trades Unions (3):
 Unite: Colin McAuley

UCU: Kevan Wilding
 Unison: John Wakeman

One student member elected by the Students' Union Council: to be confirmed

The following specialist officers will be co-opted, as and when required:

- Fire Safety Officer
- University Ionising Radiation Protection Officer
- University Non-ionising Radiation Protection Adviser (UNIRPA)
- University Biological Safety Adviser (UBSA)
- Other specialist officers as deemed appropriate by the Committee

TERMS OF REFERENCE

- (a) Reviewing the University's Health and Safety Policy to ensure it remains fit-for-purpose as a basis for delivering the University's Health and Safety obligations and objectives, reporting to USG on any requirements for change as appropriate;
- (b) Overseeing the development, implementation and review of other health and safety related policies and standards and recommending adoption or amendment to USG as appropriate;
- (c) monitoring implementation of the University's Health and Safety action plan as the basis upon which the policy is being operationalised;
- (d) monitoring performance against agreed health and safety performance measures, including providing an annual report on health and safety performance to USG and Council and making recommendations for any amendments to the agreed performance measures;
- (e) considering reports on changes to legislation and best practice that significantly affect health and safety practice at the University recommending to USG any changes in policy or practice that might as a consequence be required;
- (f) consulting on the introduction of any measures that may substantially affect the health and safety of staff and students;
- (g) considering reports:
 - i. from the Health and Safety Advisory Service, Occupational Health Service and other relevant specialist officers, for the continuous improvement of the University's health and safety arrangements;
 - ii. on issues of strategic health and safety policy and practice raised by the trade union health and safety representatives and representatives of Faculty and Professional Services management;
 - iii. on audits, significant incidents and health and safety related enforcement action by regulatory bodies;

- iv. from the Students' Union, University of Essex Campus Services and Wivenhoe House Hotel, on their health and safety performance or specific issues of health and safety practice.
- (h) making recommendations to USG and Council, on actions needed to address significant health and safety performance issues and to promote continuous improvement and promotion of health and safety;
- (i) establishing sub groups to focus on particular health and safety risk areas.

MEETINGS

Normally twice per year

Sub-Committees of Health and Safety Group

- Biological Hazards and Genetic Modification Safety Committee
- Ionising Radiation Protection Committee

Human Resources and Equality and Diversity Group

Purpose

To support USG in developing effective Human Resource strategies, policies and practices, including Equality and Diversity strategies, policies and practices, that will support and promote a culture of high performance and equality of opportunity within the University, for recommendation to Resources Committee and Council.

To monitor on behalf of USG implementation of the University's Human Resource strategies, policies and practices, including Equality and Diversity strategies, policies and practices, promoting dissemination of good practice throughout the University and drawing to the attention of USG any areas of concern requiring attention.

To receive reports from the University's formal consultative arrangements with the recognised trades unions.

In appropriate circumstances, to draw matters directly to the attention of Council.

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster

Deputy Vice-Chancellor: Professor Jules Pretty
(*Chair*)

Registrar and Secretary: Bryn Morris

Executive Dean, Faculty of Humanities:

Professor Lorna Fox O'Mahony

Executive Dean, Faculty of Science and Health:

Professor Graham Underwood

Executive Dean, Faculty of Social Sciences:

Professor Sasha Roseneil

Assistant Director (Organisation Development): Dr

Jo Andrews

Assistant Director of Human Resources (Employee

Relations and Reward): Sara Limerick

Director of Human Resources: Susie Morgan

Head of Equality and Diversity: Karen Bush

CO-OPTED

The Group may co-opt members as appropriate.

TERMS OF REFERENCE

- (a) On behalf of USG, to keep the University's HR strategies and policies, including its Equality and Diversity strategies and policies, under review and to make recommendations to USG on any changes required, including the development of new policies;
- (b) To monitor the performance of the University in relation to a set of HR and Equality performance indicators, to be agreed as part of the University's Human Resource Supporting Strategy and to report annually to USG;
- (c) To identify examples of good practice and promote their dissemination, as a means of promoting equality of opportunity and a high performance culture at the University;
- (d) To oversee the implementation of action plans agreed by USG for the delivery of the University's HR Supporting Strategy, Equality and Diversity strategy and other related initiatives;
- (e) To advise USG on the resources required to support the delivery of the University's HR and Equality and Diversity strategies and to promote equality of opportunity;
- (f) To receive reports from the University's formal consultative arrangements with the recognised trades unions, drawing relevant issues to the attention of USG;
- (g) To review issues arising from cases being considered under the University's grievance and performance management arrangements, identifying any themes which might require particular actions for the University and might need to be drawn to the attention of USG and Council;
- (h) Under delegated authority from USG, to approve the University's annual programme of staff development and training, evaluating the effectiveness of the programme and suggesting amendments as necessary.

MEETINGS

Normally three times per year

Project Coordination Group

Purpose

To support USG in delivering the University's Strategic Projects Portfolio (SPP), underpinning delivery of the Strategic Plan.

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
Registrar and Secretary: Bryn Morris (*Chair*)
Director of IT Services: Richard Murphy
Director of Strategic Planning and Change: Dr Sonia Virdee
A Faculty Manager nominated by the Registrar and Secretary: Emma Hardy
Deputy Director of Finance (Business Systems and Major Projects) or nominee: Marc Albano
Director of Communications and External Relations or nominee: James Lewis
Academic Registrar or their nominee: Richard Stock
A member of academic staff nominated by the Deputy Vice-Chancellor: Dr Peter Luther
Assistant Director (Organisational Development) or nominee: Dr Maxwell Stevenson/Paul Smart
Deputy Director of Strategic Planning and Change: Bettina Mills
Head of Procurement: Philip Sweeting
Deputy Director of Estate Management (Capital and Development): Bryte Legister

APPOINTED MEMBERS

The chairs of each of the Project Coordination Group sub-groups if they are not already *Ex Officio* members under the membership outlined above:

Systems and Academic Projects Board: Marc Albano
Capital Projects Board: Bryte Legister

CO-OPTED

Up to three members at any time, co-opted for a period of up to three years

Pro-Vice-Chancellor (Education): Professor Aletta Norval
Southend Campus, Campus Manager: Zoe Manning
External Consultant: Bernarde Hyde, SUMS

TERMS OF REFERENCE

- (a) Under delegated authority from USG, to be responsible to USG for the approval, management and oversight of all non-capital projects included in the University's Strategic Project Portfolio (SPP);
- (b) under delegation from USG, to be responsible for the review and approval of detailed project proposals for mandated capital projects, and for the monitoring of all capital projects in the Capital Investment Plan (CIP);
- (c) develop, approve and maintain University policies and processes for the approval, management and oversight of all strategically important projects (capital and revenue funded);
- (d) in accordance with the policies and processes agreed under (a-c) above:

- i. consider all project mandates for revenue funded projects and ensure appropriate management and oversight of these projects;
- ii. consider all proposals of capital and revenue funded projects for admission to the University's SPP, ensuring that such projects are appropriately specified, resourced and scheduled before they are approved for admission and given permission to proceed;
- iii. monitor the implementation of all strategically important projects in the SPP, and ensure that where implementation is not proceeding as planned appropriate action is taken;
- iv. where appropriate, revoke approval to proceed with a strategically important project;
- v. take a strategic overview of the SPP, to ensure that it is delivering demonstrable value in relation to the University's Strategic Plan and that potential synergies and economies of scale across the SPP are maximized and risks appropriately mitigated.

- (e) report at least annually to USG on the current status of the SPP, and on the University's programme of lean and SUMS activity, and at other times as necessary, to draw to the attention of USG any issues of strategic concern that arise from the development and implementation of the SPP;
- (f) report biannually to CPG on the current status of approved capital projects, and at other times as necessary to draw attention to any strategic concerns that arise from the delivery of the CIP;
- (g) advise the Registrar and Secretary on the selection of areas for consideration for review by Southern Universities Management Services (SUMS), the final choice of areas resting entirely with the Registrar and Secretary;
- (h) receive the reports of SUMS reviews and the management response to these reviews, and ensure that effective follow-up is taken in response to these reviews;
- (i) support and promote the development of institutional capacity for project management and continuous improvement and advise the Registrar and Secretary on the areas of activity which could be included within the University's lean programme.

MEETINGS

Normally six times per year

Sub-Committees of Project Coordination Group

- Capital Projects Board
- Systems and Academic Projects Board

Risk Management Group

Purpose

To facilitate the execution of the University's risk management policy, undertaking detailed analysis and drawing the attention of USG to major movements in the risk environment and risk profile of the University.

In circumstances where this is considered appropriate, drawing matters directly to the attention of Audit and Risk Management Committee.

EX OFFICIO MEMBERS

Registrar and Secretary: Bryn Morris (*Chair*)
Director of Finance or nominee: Andrew Keeble
Director of Communications and External Relations or nominee: Vanessa Potter
Director of IT Services or nominee: Richard Murphy
Director of Estates and Campus Services or nominee: Chris Oldham
A Faculty Manager nominated by the Registrar and Secretary: Rachel Lucas
Assistant Registrar: Lucy Johnson
Head of Health and Safety: Claire Saunders

TERMS OF REFERENCE

- (a) Under delegated authority from USG, to be responsible for the development and maintenance of the University's central register of risks (the 'Risk Register') covering strategic and operational risks for consideration and approval by USG, Audit and Risk Management Committee and Council;
- (b) to review, and update if necessary, all items contained in the Risk Register at least termly, ensuring that all risks are assigned to a 'risk owner' and that appropriate procedures and actions are in place to mitigate risk to an acceptable level;
- (c) to review termly the University's operational risk registers and if necessary request the register owner to the Risk Management Group meeting to discuss any new, emerging or changing risks.
- (d) to update the Risk Register for any known material changes that occur in between termly reviews;
- (e) to report to University Steering Group, Audit and Risk Management Committee and where appropriate, Council on all material updates to the Risk Register, on the outcome of the termly review and in relation to other material changes to the risk environment;
- (f) to consider the work of the University's Crisis Management Group and how this impacts on the implementation of the University's risk management policy;
- (g) to advise University Steering Group of any actions necessary to improve the management of risk within the University;
- (h) support and promote the development of institutional capacity for risk assessment and management;
- (i) institutional capacity for risk assessment and management.

MEETINGS

Normally three times per year

Safeguarding Advisory Group

EX OFFICIO MEMBERS

Registrar and Secretary: Bryn Morris (*Chair*)
Academic Registrar: Richard Stock
Senior Compliance Manager: Paula Rothero
Director of IT Services: Richard Murphy
Security Manager: Paul Humphries
Information Assurance Manager: Sara Stock
Insurance and Risk Manager: Stephen Couch
Head of Internal Communications: Emily Banfield
Director of Communications: Tessa Bartlett
Research Governance and Planning Manager: Sarah Manning-Press
Multi-Faith Chaplaincy: Rev Julia Murphy
Students' Union General Manager: Craig Stephens
Deputy Director Activities and Support, Students' Union: Fiona Harvey
Societies Manager, Students' Union: Rae Waddon
Vice-President (Welfare and Community), Students' Union: Jess Rich
Essex Prevent Engagement Officer, Special Branch, Essex Police: Tess Wisbey
Head of Projects and Compliance: Darren Baker
Compliance Manager, Safeguarding: Rachel Brown

MEETINGS

Normally three times per year

TERMS OF REFERENCE

- (a) To advise USG on the University's statutory obligations to safeguard vulnerable people, including its obligations to protect them from being drawn into terrorism.
- (b) To monitor the University's implementation of its statutory obligations in this area.
- (c) To draft recommendations for policy and practice in this area, including the Safeguarding Policy.
- (d) To review regularly associated institutional risks, developing an action plan in response to those risks and measuring delivery in respect of the plan.
- (e) To oversee on-going training and development of staff in the context of our safeguarding duties.
- (f) To provide a forum for engaging with the Students' Union and partner bodies in respect of the Universities duties.
- (g) To receive updates on policy and practice across the country, providing suitable updates

and information for stakeholders from time to time.

- (h) To oversee any inter-agency work in this area.
- (i) To provide regular reports to USG and the Audit and Risk Management Committee

MEETINGS

Normally to meet up to four times per year and no less than twice per year

Joint Committee of the Council and the Senate

Honorary Degrees and Honorary Fellowships Committee

EX OFFICIO MEMBERS

Chair of Council: David Currie (*Chair*)
One External member of Council: Dr Elizabeth Hall
One co-opted External member of Council: Dr Adam Wright
Vice-Chancellor/Chair of Senate: Professor Anthony Forster

Three members of Senate, nominated by Senate, representing the range of disciplines within the University:

Humanities: to be confirmed
Science and Health: Dr Valerie Gladwell
Social Sciences: to be confirmed

Registrar and Secretary: Bryn Morris
One member of Non-Academic Staff: Heather Doyle
President, Students' Union (or nominee): Zoe Garshong

IN ATTENDANCE

A representative from the Development and Alumni Office: Director of Advancement: Jo Rogers

TERMS OF REFERENCE

- (a) To consider nominations for the conferment of honorary degrees of the University and to make recommendations for such awards to the Senate and Council;
- (b) to consider nominations for the award of honorary fellow of the University and to make recommendations for such awards to the Senate and Council;
- (c) to consider nominations for the award of honorary degrees by the University's major partner institutions in accordance with procedures agreed from time to time with those institutions and to make recommendations for such awards to the Senate and Council;
- (d) to consider nominations for the award of the Alumnus or Alumna of the Year and to make recommendations for such awards to the Senate and Council;
- (e) to consider such nominations in accordance with the criteria for eligibility and procedures as approved by the Council;
- (f) to determine the arrangements for seeking nominations from the community of the University and to approve procedures and guidance notes relating to nominations.

Committees of the Senate

Education Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
Pro-Vice-Chancellor (Education) (*Chair*):
Professor Aletta Norval
Executive Dean (Humanities): Professor Lorna Fox O'Mahony
Executive Dean (Science & Health): Professor Graham Underwood
Executive Dean (Social Sciences): Professor Sasha Roseneil
Deputy Dean (Education) (Humanities): Dr Peter Luther
Deputy Dean (Education) (Science and Health): Dr David Penman
Deputy Dean (Education) (Social Sciences): Professor Jackie Turton
Dean of Partnerships: Professor Dominic Micklewright
Dean of Postgraduate Research and Education: Professor Martyna Sliwa
Assistant Director (Organisational Development): Dr Jo Andrews
Head of Equality and Diversity: Karen Bush
University Librarian and Director of Library Services: Cathy Walsh
Academic Registrar: Richard Stock
Director of Academic Services: to be confirmed
Director of Student Life: Paddy Reilly
President, Students' Union: Zoe Garshong
Vice-President (Education), Students' Union: Josh Gulrajani

Faculty Convenors:

Humanities: Eseosa Omoruyi
Science and Health: Mohab Elbishbishy
Social Sciences: Andrei Duma

A Postgraduate Research Student: Andrew McIntosh

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Four times per year

TERMS OF REFERENCE

To be responsible for the development and recommendation for approval by the Senate of the University's Education Strategy, having due regard for issues of equality and diversity, and for oversight and monitoring of the effective implementation of the strategy, including the provision of an annual report to the Senate on strategy implementation;

To receive reports from the Faculty Education Committees and Partnerships Education

Committee, including regular reports on the delivery and implementation of the Education Strategy at Faculty and Departmental level and across collaborative partnerships;

To consider relevant issues related to all aspects of Education policy and practice across all University awards and to make recommendations to the Senate as appropriate;

To maintain oversight of the quality of the student experience in the broadest sense, including the monitoring of internal and external student satisfaction survey outcomes, oversight of action plans resulting from surveys and to receive termly reports from the Student Experience Committee;

To be responsible for the University's overall policies and procedures for research degree programmes, overseeing the continuing professional development of research students, including Graduate Teaching Assistants/Demonstrators and advanced research skills training, responding to external initiatives and developments that relate to research students and receiving regular reports from the Faculty Education Committees, Partnerships Education Committee and Academic Quality and Standards Committee as appropriate;

To develop and recommend to the Senate University policy regarding the admission of students;

To consider and propose recommendations on the rules for progression, degree classification and award for all University programmes of study and to make recommendations for approval by the Senate;

To develop and recommend to the Senate policies and procedures for maintaining the academic quality and standards of the University's educational provision, and to ensure the systematic enhancement of academic quality and the student experience, and ensure the effective implementation of the policies approved by the Senate;

To be responsible for the institutional oversight of major external quality assurance audits and reviews;

To consider and monitor relevant issues relating to student access, transition, engagement, retention, progression and success;

To allocate funding related to Education initiatives as appropriate, to monitor the implementation of specific Education initiatives funded by external or internal grants, and to act as an advisory body for professional development activity in Education

practice, including receiving reports on specific initiatives in professional development;

To consider and recommend to the Senate proposals for the establishment of new types of award of the University;

Under delegated authority from the Senate, to approve proposals for the introduction, review and discontinuation of all University programmes of study;

To receive reports from Library Advisory Group, and to consider issues relating to education and the student experience.

To appoint the Chair of the Academic Quality and Standards Committee from within the membership of the Academic Quality and Standards Committee;

To report after each meeting to the Senate on relevant aspects of the Committee's areas of responsibilities and the work of its Committees, specifically:

- Faculty Education Committee (Humanities)
- Faculty Education Committee (Science and Health)
- Faculty Education Committee (Social Sciences)
- Partnerships Education Committee
- Academic Standards and Quality Committee
- Student Experience Committee

Academic Quality and Standards Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster

Pro-Vice-Chancellor (Education): Professor Aletta Norval

Deputy Dean (Education) (Humanities): Dr Peter Luther (*Chair*)

Deputy Dean (Education) (Science and Health): Dr David Penman (*Deputy Chair*)

Deputy Dean (Education) (Social Sciences): Professor Jackie Turton

Dean of Partnerships or nominee: Professor Dominic Micklewright (

Dean of Postgraduate Research and Education: Professor Martyna Sliwa

Deputy Director of Academic Services (Quality and Development): Claire Nixon

Assistant Director of Human Resources or nominee: Paul Smart

One member of the Senate elected by academic members of the Senate for a 3 year term: to be confirmed

Co-Opted

Student Engagement and Impact Manager, Students' Union: Neil Bamber

Faculty Convenors:

Humanities: Eseosa Omoruyi

Science and Health: Mohab Elbishbishy

Social Sciences: Andrei Duma

One Students' Union Sabbatical Officer (to be nominated by the Students' Union): Josh Gulrajani

Postgraduate Convenor: Andrew McIntosh

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Four times per year

TERMS OF REFERENCE

To support the development and maintenance of the University's policies and procedures for assuring academic quality and standards, and enhancing academic quality and the student experience, with due regard for issues of equality and diversity, including determining and shaping policy and procedures for the development, validation, monitoring, periodic review and termination of all University programmes of study and regarding admissions policy and entry tariff;

On behalf of the Education Committee, to approve as appropriate proposals and recommendations from the Faculty Education Committees and Partnerships Education Committee to establish, revalidate (after Periodic Review) and discontinue programmes of study and to report all decisions to the Education Committee;

On behalf of the Education Committee, to approve as appropriate proposals and recommendations to establish, revalidate (after Periodic Review) and discontinue University-wide skills provision and to report all decisions to the Education Committee;

On behalf of the Education Committee, to approve, monitor and review all University of Essex awards offered by the University's partner institutions (except those that fall within the remit of the Joint Academic Committee for the University of Suffolk); and to make recommendations to the Education Committee and to partner institutions on best practice and on the enhancement of the quality of collaborative education;

To ensure that quality assurance and enhancement policy and practice meets the requirements and needs of all University validated provision and of external agencies, and to make recommendations to the Education Committee as appropriate;

To assess the overall effectiveness of the policies and procedures for assuring academic quality and standards, and enhancing academic quality and the

student experience in supporting the University's Education Strategy, with a focus on:

- curriculum renewal, development and innovation;
- student retention, progression and attainment and the impact of entry tariff and admissions policy;
- graduate employability;
- student survey outcomes and student feedback; and
- External Examiner and professional, accrediting and statutory body reports, receiving regular reports from the Deputy Deans (Education), the Dean of Partnerships and the Dean of Postgraduate Research and Education;

To monitor and assess the impact of the work of the Office of the Independent Adjudicator and to make recommendations as appropriate to the Education Committee;

To receive an annual report on academic appeals, academic complaints and academic offences in order to identify emerging issues and trends relating to academic quality and standards and to recommend action as appropriate to the Education Committee;

To consider documents from external bodies, specifically but not exclusively the Quality Assurance Agency (QAA), concerning academic quality and standards issues and the implications for provision leading to University of Essex awards and to co-ordinate a University-level response where required;

On behalf of the Education Committee and related to all University awards, to ensure that the University identifies and disseminates systematically examples of good practice in Education, to oversee the conduct and development of sound pedagogic practice and to advise the Education Committee accordingly;

To report after each meeting to the Education Committee on relevant matters of policy and practice related to all University awards.

Faculty Education Committee (Humanities)

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster

Pro-Vice-Chancellor (Education): Professor Aletta Norval

Executive Dean: Professor Lorna Fox O'Mahony (*Chair*)

Deputy Dean (Education): Dr Peter Luther

Deputy Dean (Postgraduate Research and Education): Dr Matthew Grant

Faculty Heads of Department, School and Centre:

East 15: Professor Leon Rubin

History: Professor Edwards Higgs

Essex Pathways: Dr Nilufer Demirkan-Jones

Literature, Film, and Theatre Studies: Professor Philip Terry

Philosophy and Art History: Professor Fabian Freyenhagen

Law: Professor Andrew Le Sueur

Interdisciplinary Studies Centre: Dr Casper Pearson

Faculty Directors of Education:

East 15: Dr Michael Fry

History: Dr Jeremy Krikler

Essex Pathways: Dr Ritta Husted

Law: Professor Karen Hulme

Literature, Film, and Theatre Studies: Dr Deidre Serjeantson

Philosophy and Art History: Dr Irene McMullin

Senior Faculty Employability Manager: Lynne Jordan

Faculty Convenor (nominated by the Students' Union): Eseosa Omoruyi

Three student representatives nominated from within the Faculty: to be confirmed

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Three times per year

TERMS OF REFERENCE

To be responsible for oversight and delivery of all aspects of the University's Education Strategy within the Faculty;

To provide a forum for discussion of student and Education-related matters in the Faculty and to strengthen academic links between Departments, Schools and Centres within the Faculty, across the University and with collaborative partners;

To recommend for approval by the Academic Quality and Standards Committee proposals to establish and to discontinue all taught programmes of study within the Faculty;

To ensure the effective implementation within the Faculty (including for articulation arrangements, dual and multiple awards) of the University's policies and procedures for assuring academic quality and standards, and enhancing academic quality and the student experience, with due regard to equality and diversity issues, and to report to the Education Committee and Academic Quality and Standards Committee as appropriate, with a focus on:

- curriculum renewal, development and innovation;

- student recruitment, retention, progression and attainment and links with admissions policy and entry tariff;
- graduate employability;
- student survey outcomes and student feedback;
- External Examiner report outcomes.

To consider and approve Departmental and School Annual Review of Courses Reports and to report relevant issues to the Education Committee and Academic Quality and Standards Committee as appropriate;

To receive reports from Periodic Review Panels for programmes offered by the Faculty and to make a recommendation to the Academic Quality and Standards Committee;

To approve, monitor and review all postgraduate research degrees offered by the Faculty and to make recommendations to the Academic Quality and Standards Committee and the Education Committee as appropriate;

To report and make recommendations to the Education Committee on developments that fall outside existing rules, policies and procedures;

To advise the Education Committee and the Academic Quality and Standards Committee on the effectiveness of the University's policies and procedures for assuring academic quality within the context of the work of the Faculty;

To report after each meeting to the Education Committee.

Faculty Education Committee (Science and Health)

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster

Pro-Vice-Chancellor (Education): Professor Aletta Norval

Executive Dean: Professor Graham Underwood (*Chair*)

Deputy Dean (Education): Dr David Penman

Deputy Dean (Postgraduate Research and Education): Professor Ian Colbeck

Faculty Heads of Department, School and Centre:

Biological Sciences: Professor Christine Raines

Computer Science and Electronic Engineering: Professor Simon Lucas

Health and Human Sciences: Vikki-Jo Scott

Mathematical Sciences: Professor Berthold Lausen

Psychology: Professor Paul Hibbard

Faculty Directors of Education:

Biological Sciences: Dr Julie Lloyd

Computer Science and Electronic Engineering:

Dr Martin Colley

Health and Human Sciences: Dr Mark Francis-Wright

Mathematical Sciences: Dr Chris Saker

Psychology: Dr Tracy Robinson

Senior Faculty Employability Manager: Nicholas Goodman

Faculty Convenor (nominated by the Students' Union): Mohab Elbishbishy

Three student representatives nominated from within the Faculty: to be confirmed

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Three times per year

TERMS OF REFERENCE

To be responsible for oversight and delivery of all aspects of the University's Education Strategy within the Faculty;

To provide a forum for discussion of student and Education-related matters in the Faculty and to strengthen academic links between Departments, Schools and Centres within the Faculty, across the University and with collaborative partners;

To recommend for approval by the Academic Quality and Standards Committee proposals to establish and to discontinue all taught programmes of study within the Faculty;

To ensure the effective implementation within the Faculty (including for articulation arrangements, dual and multiple awards) of the University's policies and procedures for assuring academic quality and standards, and enhancing academic quality and the student experience, with due regard to equality and diversity issues, and to report to the Education Committee and Academic Quality and Standards Committee as appropriate, with a focus on:

- curriculum renewal, development and innovation;
- student recruitment, retention, progression and attainment and links with admissions policy and entry tariff;
- graduate employability;
- student survey outcomes and student feedback;
- External Examiner report outcomes.

To consider and approve Departmental and School Annual Review of Courses Reports and to report relevant issues to the Education Committee and Academic Quality and Standards Committee as appropriate;

To receive reports from Periodic Review Panels for programmes offered by the Faculty and to make a recommendation to the Academic Quality and Standards Committee;

To approve, monitor and review all postgraduate research degrees offered by the Faculty and to make recommendations to the Academic Quality and Standards Committee and the Education Committee as appropriate;

To report and make recommendations to the Education Committee on developments that fall outside existing rules, policies and procedures;

To advise the Education Committee and the Academic Quality and Standards Committee on the effectiveness of the University's policies and procedures for assuring academic quality within the context of the work of the Faculty;

To report after each meeting to the Education Committee.

Faculty Education Committee (Social Sciences)

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
Pro-Vice-Chancellor (Education): Professor Aletta Norval

Executive Dean: Professor Sasha Roseneil
(*Chair*)

Deputy Dean (Education): Professor Jackie Turton

Deputy Dean (Postgraduate Research and Education): Dr Magda Abou-Seada

Faculty Heads of Department, School and Centre:

Economics: Professor Katharine Rockett
Essex Business School: Professor Geoff Wood

Government: Professor Lawrence Ezrow
Institute for Social and Economic Research:
Professor Nick Buck

Language and Linguistics: Professor Nancy Kula

Psychoanalytic Studies: Dr Matt ffytche

Sociology: Professor Sean Nixon

UK Data Archive: Professor Matthew Woollard

Faculty Directors of Education:

Economics: Professor Gianluigi Vernasca
Essex Business School: to be confirmed

Government: Dr John Bartle

Institute for Social and Economic Research:
Professor Paul Clarke

Language and Linguistics UG: Professor Louisa Sadler

Language and Linguistics PG: Dr Rebecca Clift

Psychoanalytic Studies: Dr Chris Nicholson

Sociology: Professor Joan Busfield

UK Data Archive: Louise Corti

Senior Faculty Employability Manager: Antony Cotterill

Faculty Convenor (nominated by the Students' Union): Andrei Duma

Three student representatives nominated from within the Faculty: to be confirmed

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Three times per year

TERMS OF REFERENCE

To be responsible for oversight and delivery of all aspects of the University's Education Strategy within the Faculty;

To provide a forum for discussion of student and Education-related matters in the Faculty and to strengthen academic links between Departments, Schools and Centres within the Faculty, across the University and with collaborative partners;

To recommend for approval by the Academic Quality and Standards Committee proposals to establish and to discontinue all taught programmes of study within the Faculty;

To ensure the effective implementation within the Faculty (including for articulation arrangements, dual and multiple awards) of the University's policies and procedures for assuring academic quality and standards, and enhancing academic quality and the student experience, with due regard to equality and diversity issues, and to report to the Education Committee and Academic Quality and Standards Committee as appropriate, with a focus on:

- curriculum renewal, development and innovation;
- student recruitment, retention, progression and attainment and links with admissions policy and entry tariff;
- graduate employability;
- student survey outcomes and student feedback;
- External Examiner report outcomes.

To consider and approve Departmental and School Annual Review of Courses Reports and to report relevant issues to the Education Committee and Academic Quality and Standards Committee as appropriate;

To receive reports from Periodic Review Panels for programmes offered by the Faculty and to make a recommendation to the Academic Quality and Standards Committee;

To approve, monitor and review all postgraduate research degrees offered by the Faculty and to make recommendations to the Academic Quality and Standards Committee and the Education Committee as appropriate;

To report and make recommendations to the Education Committee on developments that fall outside existing rules, policies and procedures;

To advise the Education Committee and the Academic Quality and Standards Committee on the effectiveness of the University's policies and procedures for assuring academic quality within the context of the work of the Faculty;

To report after each meeting to the Education Committee.

Partnerships Education Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
Pro-Vice-Chancellor (Education): Professor Aletta Norval

Dean of Partnerships: Professor Dominic Micklewright (*Chair*) Deputy Dean of

Partnerships: Allan Hildon

Dean of Postgraduate Research and Education: Professor Martyna Sliwa

Deputy Dean (Education), Humanities: Dr Peter Luther

Deputy Dean (Education), Science and Health: Dr David Penman

Deputy Dean (Education), Social Sciences: Professor Jackie Turton

Deputy Director of Academic Services (Quality and Development): Claire Nixon

Senior Partnership Manager: Rachel Frost
Partner representatives:

Colchester Institute: Tom Toolan, Chris Mills

Edge Hotel School Ltd: Andrew Boer, Adrian Martin

Kaplan Open Learning: David Dixon, Nicola Pittman

South Essex College: Jitinder Hans, Nabeel Zaidi

The Tavistock and Portman NHS Foundation Trust: Brian Rock

Writtle College: Lesley Graham, Dr Andy Williamson

Partner student representatives:

Colchester Institute: Elysha Devlin

Kaplan Open Learning: to be confirmed

South Essex College: to be confirmed

The Tavistock and Portman NHS Foundation Trust: to be confirmed

Writtle College: Lorne Knights

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Three times per year

TERMS OF REFERENCE

To be responsible for University of Essex awards offered by the University's partner institutions excepting those that fall within the remit of the Joint Academic Committee of the University of Suffolk.

To be responsible for the oversight and delivery of the University's Education Strategy within the context of the University's collaborative partnerships;

To provide a forum for discussion of student and Education-related matters across the University's collaborative partners and to strengthen academic links between the University's Departments, Schools and Centres and partner institutions;

To recommend for approval by the Academic Quality and Standards Committee proposals to establish and discontinue all taught collaborative provision;

To ensure the effective implementation across the University's collaborative provision of the University's policies and procedures for assuring academic quality and standards, and enhancing academic quality and the student experience, with due regard for issues of equality and diversity and to report to the Education Committee and Academic Quality and Standards Sub-Committee as appropriate, with a focus on:

- curriculum renewal, development and innovation;
- student recruitment, retention, progression and attainment and links with admissions policy and entry tariff;
- graduate employability;
- student survey outcomes and student feedback;
- External Examiner report outcomes.

To consider and approve Annual Review of Courses and Institutional Annual Review Reports related to collaborative provision and to report relevant issues to the Academic Quality and Standards Committee and the Education Committee as appropriate;

To receive reports from Periodic Review Panels related to collaborative provision and to make recommendations to the Academic Quality and Standards Committee;

To approve, monitor and review all postgraduate research degrees offered by partner institutions that lead to a University of Essex award, to consider new partner institutions for the delivery of research degrees and to make recommendations to the Education Committee as appropriate;

To approve, monitor and review all international partnership arrangements involving progress arrangements (with recognition of credit), articulation arrangements, dual or multiple awards and validation arrangements, and to make recommendations to the Academic Quality and Standards Committee and the Education Committee as appropriate;

To report and make recommendations to the Education Committee on developments which fall outside existing rules, policies and procedures;

To advise the Education Committee and the Academic Quality and Standards Committee on the effectiveness of the University's policies and procedures for assuring academic quality within the context of the University's collaborative provision;

To establish panels for the approval of new partner institutions, for the review of institutions, to consider institutional validation and institutional review reports and to make recommendations to the Education Committee;

To report after each meeting to the Education Committee.

Student Experience Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
Pro-Vice-Chancellor (Education): Professor Aletta Norval
Academic Registrar: Richard Stock (*Chair*)
Director, Communications & External Relations or his/her nominee: Vanessa Potter
Director of Employability: Dave Stanbury
Director of Estates and Campus Services: Chris Oldham
Director of IT Services: Richard Murphy
Head of Student Support: Angela Jones
Director of Student Life: Paddy Reilly
Director of Sport: Dr Dave Parry
A Deputy Dean (Education) (nominated by the Pro-Vice-Chancellor (Education)): Professor Jackie Turton
Dean of Postgraduate Research and Education: Professor Martyna Sliwa
Assistant Director (Organisational Development): Dr Joanne Andrews
University Librarian and Director of Library Services: Cathy Walsh
President of the Students' Union or his/her nominee: Zoe Garshong
Students' Union Vice-President (Welfare & Community): Jess Rich
One member of Students' Union permanent staff (nominated by the Students' Union): Craig Stephens
Students' Union Vice-President (International): Saffy Allayna
Southend Campus Co-ordinator: Zoe Manning
Loughton Campus representative: Owen Gillham

PROPOSED NUMBER OF MEETINGS PER ACADEMIC YEAR

Up to four times per year

TERMS OF REFERENCE

To provide strategic oversight of the student experience and the enhancement and development of the student lifecycle from enquiry to alumni;

To be responsible for maintaining oversight of the extra-curricular elements of the student experience and for their development as part of the University's Education Strategy;

To be responsible for monitoring and reporting on the provision of services to students, receiving and considering reports from relevant services and making recommendations as appropriate to the Education Committee;

To receive and comment on Professional Services strategies that have an impact on the student experience and delivery of the Education Strategy, and to receive updates on their implementation;

To receive and comment on employability plans and proposals relating to extra-curricular initiatives in support of graduate employability;

To receive an annual report on student complaints in order to identify emerging issues and trends and to recommend action as appropriate to the Education Committee;

To consider student satisfaction survey outcomes as appropriate and to make recommendations to the Education Committee;

To monitor matters relating to equality and diversity within the overall consideration of the student experience and to make recommendations to the Education Committee as appropriate;

To receive reports as appropriate in order to inform and support University strategy and policy;

To report after each meeting to the Education Committee as appropriate.

Academic Staffing Committee

EX OFFICIO MEMBERS

The Vice-Chancellor: Professor Anthony Forster
Deputy Vice-Chancellor: Professor Jules Pretty
(*Chair*)

The Pro-Vice-Chancellor (Research): Professor Heather Laurie

The Pro-Vice-Chancellor (Education): Professor Aletta Norval

Executive Dean (Humanities): Professor Lorna Fox O'Mahony

Executive Dean (Science and Health):

Professor Sasha Roseneil

Executive Dean (Social Sciences): Professor Graham Underwood

APPOINTED MEMBERS

Faculty of Humanities: Professor Sabine Michalowski, Dr Susan Oliver, Professor Jeffrey Geiger

Faculty of Social Sciences: Professor Neil Kellard, Professor Florence Myles, Dr Marjana Johansson

Faculty of Science and Health: Professor Jo Jackson, Dr Marie Juanchich, Professor Riccardo Poli

TERMS OF REFERENCE

In the following terms of reference 'staff' means all academic and research staff:

- (a) To report to the Senate on the terms and conditions of appointment of staff or of sections of the staff;
- (b) to keep under review and advise the Senate on procedure for the appointment of staff;
- (c) to conduct the annual review of staff and to determine and report to the Senate on:
 - (i) extensions of probationary periods;
 - (ii) confirmation of appointments as permanent;
 - (iii) promotion to Lecturer/Senior Research Officer (Grade 8), Lecturer/Research Fellow (Grade 9), Senior Lecturer/Senior Research Fellow (Grade 10), Reader (Grade 10) and Professor (Grade 11);
- (d) to consider candidates for, and to determine the award of, Writtle College Professor;
- (e) to report to the Senate at any time on any matter relating to the staff, including such matters as may be referred to it by the Senate.

The Joint Academic Committee for the University of Suffolk

Appointed by the University of East Anglia

EX OFFICIO MEMBERS

Academic Director of Partnerships (or Nominee): Professor Ian Dewing (*Joint Chair*)

Director of Learning and Teaching Services (or Nominee): Louise Bohn

Head of Partnerships (or Nominee): Gavin Tash

Appointed by the University of Essex

EX OFFICIO MEMBERS

Dean of Partnerships (or Nominee): Professor Dominic Micklewright (*Joint Chair*)

Deputy Dean of Partnerships (or Nominee): Allan Hildon

Deputy Director of Academic Services (Quality and Development) (or Nominee): Claire Nixon

Appointed by the University of Suffolk

EX OFFICIO MEMBERS

Vice-Chancellor: Richard Lister

Deputy Vice-Chancellor: Professor Mohammed Dastbaz

Registrar and Secretary: Tim Greenacre

Academic Registrar: Fiona Fisk

Head of Academic Services and Infozone: Laura Pennie

Head of Quality Enhancement: Dr Mark Lyne

Pro-Vice-Chancellor (Health and Science):

Professor Penny Cavenagh

Pro-Vice-Chancellor (Arts, Business and Applied Social Science): Professor Simon Hallsworth

APPOINTED BY THE UNIVERSITY OF SUFFOLK

PARTNER COLLEGES

One senior representative from each Partner College:

Great Yarmouth College: Ruth Harrison

Lowestoft College: Lee Johnson

Suffolk New College: to be confirmed

West Suffolk College: Rachel Kirk

President (Education and Engagement), University of Suffolk Students' Union: Rachel Gilbey

TERMS OF REFERENCE

- (a) To consider all matters related to the quality and standards of the academic provision of the University of Suffolk, which lead to a joint award of the University of East Anglia and the University of Essex and to make recommendations to the Education Committee of the Senate of the University of Essex and the Learning and Teaching Committee of the Senate of the University of East Anglia accordingly;
- (b) to prescribe and keep under review the quality assurance policies and procedures to be followed by the University of Suffolk to satisfy the requirements of joint awards of the two Universities;
- (c) to maintain an overview of the quality of the student experience for all University of Suffolk students registered on programmes leading to University of East Anglia and University of Essex joint awards, including the monitoring of internal and external student satisfaction survey outcomes; to receive annual reports on

- complaints, academic appeals and student discipline;
- (d) to have oversight of the Risk-based Monitoring and Enhancement (RiME) process for all University of Suffolk academic provision on which students remain registered on programmes leading to University of East Anglia and University of Essex joint awards;
 - (e) to receive reports of course re-approval for University of Suffolk undergraduate and postgraduate programmes on which students are registered for University of East Anglia and University of Essex joint awards, and to make recommendations to the Education Committee of the Senate of the University of Essex and the Learning and Teaching Committee of the Senate of the University of East Anglia on the continuation or discontinuation of programmes as appropriate;
 - (f) to receive annually at the last Joint Academic Committee meeting of each academic year, a formal assessment of and report on progress with regard to the PGR student experience;
 - (g) to receive reports of institutional reviews of the University of Suffolk Learning Network partner institutions, and to make recommendations to the Education Committee of the Senate of the University of Essex and the Learning and Teaching Committee of the Senate of the University of East Anglia as appropriate;
 - (h) to receive reports of external reviews of the University of Suffolk Learning Network partner institutions or programmes, including QAA reports and reports from professional, statutory and regulatory bodies;
 - (i) to review annually the University of Suffolk's progression, retention and achievement data for programmes on which students are registered for University of East Anglia and University of Essex joint awards;
 - (j) to agree arrangements for the appointment of External Examiners for all University of Suffolk programmes on which students are registered for University of East Anglia and University of Essex joint awards and have oversight of the External Examiner procedures for these awards;
 - (k) to monitor the development of staff at the Learning Network Colleges through receipt of the annual Risk-based Monitoring and Enhancement (RiME) reports, to ensure they have opportunities for appropriate training and Continuing Professional Development that reflect the Higher Education ethos and focus of University of Suffolk ;
 - (l) To have oversight of the Assessment Board process for all University of Suffolk joint

- awards of the University of East Anglia and the University of Essex;
- (m) to receive an annual report from the Partnerships Management Group.

QUORACY

The quorum for meetings of JAC shall be not less than half of the members, rounded up to a whole number.

All appointed or elected members of the Joint Academic Committee are entitled to vote on any matter put to a vote at a meeting of the Committee. No resolution may be deemed to be carried that is not supported by the majority of University of East Anglia and University of Essex members present at the meeting. In the event of disagreement within the JAC between its University members which cannot be resolved at the meeting, then the Chair will defer and seek resolution outside of the meeting. It should be noted that the decision of one University (ie the University of East Anglia Senate or the University of Essex Senate) cannot be implemented in respect of any course leading to a joint award without the approval of the other.

Senate Committees which report annually

Ethics Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster
 Pro-Vice-Chancellor (Research): Professor Heather Laurie (*Chair*)
 Dean of Postgraduate Research and Education: Professor Martyna Sliwa
 Head of Health and Safety: Claire Saunders
 Information Assurance Manager: Sara Stock
 Research Governance and Planning Manager: Sarah Manning-Press
 Vice-President (Education) or the Ethics and Environment Officer, Students' Union: Josh Gulrajani

APPOINTED MEMBERS

Dr Libby Bishop
 Professor Tony Elston
 Dawn King
 Professor Wayne Martin
 Professor Sabine Michalowski
 Ms Auriol Stevens
 One vacancy

TERMS OF REFERENCE

- (a) To advise the Senate on matters pertaining to the ethics of research;
- (b) to review proposals for research involving human participants to be carried out in the University or within the area of its authority;
- (c) to review proposals for research involving human participants to be carried out by staff and students of the University in places other than the University. Where there is no Ethics Committee at the other institution, the

University's Ethics Committee will act; where a committee exists at the other institution, the University's Ethics Committee must be notified and will determine whether or not to be involved;

- (d) to provide authoritative and definitive guidance to the University on any specific ethical issue that might affect the University;
- (e) to make an annual report to the Senate.

Research Committee

EX OFFICIO MEMBERS

Vice-Chancellor: Professor Anthony Forster

Pro-Vice-Chancellor (Research): Professor Heather Laurie (*Chair*)

Director of the Research and Enterprise Office: Vanessa Cuthill

Dean of Postgraduate Research and Education: Professor Martyna Sliwa

APPOINTED MEMBERS

Professor Lorna Fox-O'Mahony

Professor Kristian Gleditsch

Professor Sabine Michalowski

Professor Massimo Poesio

Professor Sasha Roseneil

Professor Graham Underwood

Director of Library Services and University Librarian, Cathy Walsh

TERMS OF REFERENCE

The Committee will:

- (a) Have oversight of research strategy at University and department levels;
- (b) on behalf of Senate, monitor and review progress and development of the University's research performance with a view to sustainability and improvement;
- (c) on behalf of Senate, prepare the University's submission to the Research Excellence Framework;
- (d) establish and promote models of good practice for the management of research at departmental level, and to ensure that all departments have suitable structures in place;
- (e) advise departments on the strategic use of the centrally-provided research funds available to them, and receive annual reports from departments on their strategic research investments and the outcomes;
- (f) make an annual report to Senate on departments' research performance, and any other research-related matters of which it wishes Senate to be aware.

Joint Committee of the Council and the Senate

Honorary Degrees and Honorary Fellowships Committee

EX OFFICIO MEMBERS

Chair of Council: David Currie (*Chair*)

One External member of Council: Elizabeth Hall

One co-opted External member of Council: Dr Adam Wright

Vice-Chancellor/Chair of Senate: Professor Anthony Forster

Three members of Senate, nominated by Senate, representing the range of disciplines within the University:

Humanities: to be confirmed

Science and Health: Dr Valerie Gladwell

Social Sciences: to be confirmed

Registrar and Secretary: Bryn Morris

One member of Non-Academic Staff: Heather Doyle

President, Students' Union (or nominee): Zoe Garshong

IN ATTENDANCE

A representative from the Development and Alumni Office: Director of Advancement, Jo Rogers

TERMS OF REFERENCE

- (a) To consider nominations for the conferment of honorary degrees of the University and to make recommendations for such awards to the Senate and the Council;
- (b) to consider nominations for the award of honorary fellow of the University and to make recommendations for such awards to the Senate and the Council;
- (c) to consider nominations for the award of honorary degrees by the University's major partner institutions in accordance with procedures agreed from time to time with those institutions and to make recommendations for such awards to the Senate and Council;
- (d) to consider nominations for the award of the Alumnus or Alumna of the Year and to make recommendations for such awards to the Senate and Council;
- (e) to consider such nominations in accordance with the criteria for eligibility and procedures as approved by the Council;
- (f) to determine the arrangements for seeking nominations from the community of the University and to approve procedures and guidance notes relating to nominations.

The Charter

ELIZABETH THE SECOND

by the Grace of God of the United Kingdom of Great Britain and Northern Ireland and of Our other Realms and Territories Queen, Head of the Commonwealth, Defender of the Faith:

TO ALL TO WHOM THESE PRESENTS SHALL COME, GREETING!

WHEREAS an humble Petition has been presented unto Us by the Members of the Academic Planning Board for the University of Essex praying that We should constitute and found a University within Our County of Essex for the advancement of learning and knowledge by teaching and research and for enabling students to obtain the advantages of University education and to grant a Charter with such provisions in that behalf as shall seem to Us right and suitable:

AND WHEREAS We have taken the said Petition into Our Royal Consideration and are minded to accede thereto:

NOW THEREFORE KNOW YE that We by virtue of Our Prerogative Royal and of Our especial grace, certain knowledge and mere motion have willed and ordained and by these Presents do for Us, Our Heirs and Successors will and ordain as follows:

1.

The Chancellor, the Pro-Chancellors, the Vice-Chancellor, the Treasurer, the Pro-Vice-Chancellors and the Deans for the time being, the members for the time being of the Court, the Council, the Senate and the Academic Staff, the Graduates of the University, the Graduate and the Undergraduate students of the University and all others who shall pursuant to this Our Charter and the Statutes of the University for the time being be Members of the University are hereby constituted and from henceforth for ever shall be one Body Politic and Corporate, with perpetual succession and a Common Seal, by the name and style of 'The University of Essex' (hereinafter called 'the University').

2. Definitions and Interpretations

(a) In the Charter and Statutes

The words 'Alumni Association', 'Court', 'Charter', 'Council' and 'Senate' refer respectively to the Alumni Association, the Court, the Charter, the Council and the Senate of the University.

The words 'Chancellor', 'Pro-Chancellor', 'Vice-Chancellor', 'Treasurer' and 'Pro-Vice-Chancellor' refer respectively to the Chancellor, the Pro-Chancellor, the Vice-Chancellor, the Treasurer and the Pro-Vice-Chancellor of the University.

'Graduates' means persons upon whom Degrees of the University have been conferred.

'External members' means members of the Council who are neither Staff nor Students of the University and are otherwise independent of the University.

'Officers' means persons holding any office in the University established under the Charter and the Statutes.

'Ordinances' means Ordinances made under the Charter or the Statutes.

'Ordinary Resolution' means a resolution passed by a simple majority at a meeting of the Council.

'Regulations' means Regulations made under the Charter, the Statutes or the Ordinances.

'Special Resolution' means a resolution passed at one meeting of the Council and confirmed at a subsequent meeting held not less than one calendar month nor more than six calendar months after the former, provided that notice of each meeting is given to each member of the Council not less than fourteen days before the meeting, and that the resolution is passed by a majority of not less than three fourths of the members of the Council present and voting. The notice of the meeting shall set out the resolution in full.

'Staff' means all persons employed by the University.

'Court' means a meeting of persons who have been appointed by the Council and have an interest in, or relationship to, the University.

'Students' means persons pursuing any programme of study in the University.

'Students' Union' means an association of all Students of the University.

'University' means The University of Essex.

(b) Words defined in the Charter shall have the same meaning in the Statutes, the Ordinances and the Regulations unless the context indicates otherwise.

(c) The headings used in the Charter and in the Statutes, Ordinances and Regulations are provided for reference only and are not intended to have legal effect.

3. Objects of the University

The objects of the University shall be to advance education, scholarship, knowledge and understanding by teaching and research, for the benefit of individuals and society at large.

4. Powers of the University

Subject to the provisions of the Charter and Statutes, and in the furtherance of its objects, the University shall have all the powers of a natural person including, but not limited to, power:

(A)

IN RELATION TO TEACHING AND RESEARCH:

- i. to provide instruction and programmes of study and research;
- ii. to engage in scholarship and conduct research;

- iii. to admit applicants to programmes of study in accordance with their qualifications or experience;
- iv. to determine fees in relation to programmes of study and to require payment of such fees;
- v. to determine and conduct appropriate forms of assessment, including examinations;
- vi. to confer degrees and grant other academic awards;
- vii. to withdraw degrees and other academic awards conferred or granted by the University;

(B)

IN RELATION TO STUDENTS:

- i. to prescribe rules for the discipline of Students;
- ii. to determine procedures for the hearing of complaints by Students;

(C)

IN RELATION TO STAFF:

- i. to employ Staff in such positions as may be required, and to prescribe their conditions of service.

(D)

IN RELATION TO OTHER INSTITUTIONS:

- i. to affiliate other institutions, or branches or departments of other institutions;
- ii. to co-operate and collaborate with other institutions;
- iii. to award joint degrees and other academic awards with other institutions;
- iv. to incorporate into the University any other institution and take over its rights, property and liabilities, for any purpose not inconsistent with the Charter.

(E)

IN RELATION TO THE PROVISION OF SERVICES AND GOODS, WITHIN THE LIMITS APPLICABLE TO A CHARITY:

- i. to exploit for the University's benefit a facility or resource of the University, including study, research or knowledge, or the practical application of study, research or knowledge, belonging to the University, whether alone or with someone else;
- ii. to sell books, and other learning resources, intellectual property and other goods and services consistent with the Charter;
- iii. to print, reproduce and publish research and other works initiated by the University, or to provide for their printing, reproduction and publication;
- iv. to provide facilities and resources for the wellbeing of the University's Students and Staff.

(F)

IN RELATION TO THE TRANSACTION OF UNIVERSITY BUSINESS:

- i. to enter into contracts;
- ii. to acquire, hold, dispose of and deal with property;
- iii. to raise funds;
- iv. to act as trustee or manager of any property or funds given or bequeathed to the University for purposes of education or research or other objects of the University, and to invest any such funds.

(G)

To do anything else necessary or convenient, whether incidental to these powers or not, in order to further the objects of the University as a place of education, learning and research.

5. The Council

There shall be a Council of the University. The Council shall be the supreme Governing Body of the University and shall act in a way that promotes the University's interests.

6. Functions of the Council

The Council's functions shall be to:

- (a) have ultimate responsibility for the affairs of the University;
- (b) determine the strategic direction of the University;
- (c) ensure the effective management and control of the University's affairs, property and finances;
- (d) determine the structure, staffing and overall composition of the University;
- (e) appoint the Chancellor, Pro-Chancellors, Vice-Chancellor, and other officers of the University.

7. Powers of the Council

Subject to the Charter, and in furtherance of its role as the supreme Governing Body, the Council shall exercise all the powers of the University; and without limiting the above, the Council has the specific powers set out in the Ordinances.

8. Delegation by the Council

- (a) Subject to sub-paragraph (b) below, the Council may delegate its powers under the Charter, the Statutes and Ordinances to:
 - i. an appropriately qualified member of the Council; or
 - ii. an appropriately qualified committee that contains one or more members of the Council; or
 - iii. an appropriately qualified member of the University's staff.

Provisions governing the delegation of the Council's powers are set out in the Ordinances.

- (b) The Council may not delegate its powers:

- i. to appoint and dismiss the Vice-Chancellor and to approve the Vice-Chancellor's terms and conditions of appointment;
- ii. to approve the annual budget;
- iii. to amend the Charter under the provisions of Article 18;
- iv. to make University Statutes or Ordinances;
- v. to adopt the University's Annual Accounts;
- vi. to appoint the University's Auditors.

9. The Senate

There shall be a Senate of the University which shall have delegated authority from the Council to oversee the academic work of the University, the enhancement of academic quality and assurance of academic standards, and the regulation of student discipline, and which shall have the composition, powers and functions conferred on it in the Ordinances.

10. The Court

There shall be a Court of the University comprising persons who have been appointed by the Council and which shall have the composition and functions prescribed in the Ordinances.

11. Students' Union

There shall be a Students' Union of the University which shall have the composition, powers and functions prescribed in the Ordinances.

12. Alumni Association

There shall be an Alumni Association of the University which shall have the composition and functions prescribed in the Ordinances.

13. The Chancellor

- (a) There shall be a Chancellor of the University who shall be the chief Officer of the University.
- (b) The Chancellor shall be entitled to preside over the Annual Meeting of the Court and to confer degrees on behalf of the University.

14. The Pro-Chancellor

There shall be Pro-Chancellors of the University not exceeding three in number. One of the Pro-Chancellors shall be the Chair of Council and shall act as Chancellor in the absence of the Chancellor or in the event of a vacancy in that post.

15. The Vice-Chancellor

- (a) There shall be a Vice-Chancellor of the University who shall be the principal

academic and administrative Officer of the University and *ex officio* Chair of the Senate.

- (b) The Vice-Chancellor shall assume the role of the chief executive officer of the University.
- (c) In the event of the Vice-Chancellor's death or incapacity, or a vacancy in the office of Vice-Chancellor, the Council may appoint an Acting Vice-Chancellor in accordance with the Ordinances. In such an event, the term 'the Vice-Chancellor' in this Charter, the Statutes and the Ordinances shall apply to the duly appointed Acting Vice-Chancellor.

16. The Treasurer

There shall be a Treasurer of the University who shall be the Chair of the audit committee.

17. The Pro-Vice-Chancellor

There shall be Pro-Vice-Chancellors of the University who shall in the event of the Vice-Chancellor's incapacity through illness or any other cause perform functions and duties of the Vice-Chancellor. The Council may designate a Pro-Vice-Chancellor as Deputy Vice-Chancellor in accordance with the Ordinances.

18. The Charter

The Council may at any time add to, amend, or revoke the Charter by a Special Resolution. Such addition, amendment, or revocation shall only become effective when approved by Us, Our Heirs or Successors in Council. A certificate under the hand of the Clerk of the Privy Council shall be conclusive evidence of such approval. This Article shall apply to the Charter as added to, amended, or revoked in this manner.

19. The Statutes

Subject to the provisions of the Charter, Statutes shall prescribe:

- (a) the constitution and business of the Council;
- (b) such other provisions as the Council may see fit in connection with the governance of the University, or for the promotion of the objects of the Charter.

The Statutes may direct that any of the matters prescribed or regulated by Statute as authorised or directed in the Charter shall be further prescribed or regulated by Ordinance, by Regulation, or by decision made by the Council.

The Council may, at any time, by Special Resolution, make Statutes for the University which may add to, amend or revoke the Statutes for the time being in force; provided that such Statutes shall not be inconsistent with the provisions of the Charter. Such addition, amendment or revocation shall only become effective when approved by the Privy Council. A Certificate under the hand of the Clerk of the Privy Council shall be conclusive evidence of such approval.

20. The Ordinances

Subject to the provisions of the Charter and the Statutes the Council may, on the recommendation of the Senate, and by Ordinary Resolution, make, add to, amend or revoke such Ordinances as it considers necessary or desirable for the purpose of carrying out the objects of the University, and shall stipulate when such Ordinances come into effect; provided that no Ordinances shall be inconsistent with the provisions of the Charter or Statutes.

21. The Regulations

Subject to the provisions of the Charter, the Statutes and Ordinances, the Senate may, within the responsibilities delegated to it by the Council, make, add to, amend or revoke Regulations relating to:

- (a) teaching, research, programmes of study and the award of degrees and other academic distinctions;
- (b) the conduct of examinations;
- (c) the University Library;
- (d) the requirements for admission to the University and to any particular programme of study;
- (e) the conditions under which Students shall be permitted to continue their studies in the University;
- (f) academic dress;
- (g) the discipline of the Students of the University; and
- (h) such other matters within its delegated responsibilities as it considers necessary or desirable for the purpose of carrying out the objects of the University.

22. Academic Freedom

Academic staff shall have freedom within the law to question and test received wisdom, and to put forward new ideas and controversial or unpopular opinions, without placing themselves in jeopardy of losing their jobs or privileges.

23. Discrimination

The University shall show no discrimination on account of political belief, gender identity, sexual orientation, disability, age, marital status, race, ethnic origin, nationality, religion or social background against any person in determining whether he or she is to be admitted as a member of the Staff, or as a Student, or to graduate from the University or to hold any advantage or privilege of the University.

24. Dividend, Gift, Division or Bonus in Money

The University shall not make any dividend, gift, division or bonus in money to or between any of its members except by way of prize, reward or special grant.

25. Visitor

We reserve unto Ourselves, Our Heirs and Successors, on representation from the Council following a Resolution passed by a simple majority of the members of the Council present and voting, the right by Order in Council to appoint a Visitor of the University for such period and with such duties as We, Our Heirs and Successors shall see fit.

26.

Our Royal Will and Pleasure is that this Our Charter shall ever be construed benevolently and in every case most favourably to the University and the promotion of the objects of this Our Charter.

IN WITNESS whereof We have caused these Our Letters to be made Patent.

WITNESS Ourselves at Westminster the eleventh day of January in the thirteenth year of Our Reign.

BY WARRANT UNDER THE QUEEN'S SIGN
MANUAL, COLDSTREAM

The Statutes

1. The Council

1. The Council shall consist of the following persons, namely:

(a) EX OFFICIO MEMBERS:

The Pro-Chancellors, the Vice-Chancellor, the senior Pro-Vice-Chancellor, and the Treasurer.

(b) APPOINTED MEMBERS

Two Deans appointed by Council in accordance with the Ordinances on the recommendation of Nominations Committee.

(c) ELECTED MEMBERS:

Five Academic Staff members of the Senate elected by the Senate subject to and in accordance with the Ordinances.

One non-academic staff member elected by the non-academic staff subject to and in accordance with the Ordinances

(d) EXTERNAL MEMBERS:

Such other persons, not being members of University Staff and not exceeding ten in all, as may be appointed by the Council.

(e) STUDENT MEMBERS:

The President of the Students' Union.

2. The Council shall appoint from among its members a Chair who shall be a Pro-Chancellor. The Chair shall hold office for three years and shall be eligible for re-appointment, normally for a further period of three years and a maximum total of nine years.

3.

(a) Ex officio Members of the Council shall remain members only so long as they hold the offices by virtue of which they became members.

(b) Senate elected Members of the Council shall remain members only so long as they are members of the Senate. They shall hold office until the end of the third year following their appointment or such earlier date as may in each case be determined by the Senate to effect rotation.

(c) The non-academic staff member elected to the Council shall remain a member only so long as he or she is employed as a non-academic staff member of the University. He or she shall hold office until the end of the third year following his or her appointment or until such earlier date as may in each case be determined by the Council.

(d) External Members of the Council shall hold office until the end of the third year following their appointment or until such earlier date as may in each case be determined by the Council. External Members shall be eligible for further appointment, normally for a further period of three years and a maximum total of nine years.

(e) An Elected member of the Council upon completion of his or her term of membership under paragraphs 3(b) and 3(c) above shall be eligible for re-election for a further term not exceeding three years, and shall upon completion of any such further term of membership or upon resignation, not be eligible to be a Member of the Council by re-election until one year has elapsed from the date of the completion of his or her term of membership or his or her resignation (as the case may be).

(f) A member of the Council may resign at any time by writing addressed to the Council.

4.

(a) The quorum for meetings of the Council shall be not less than half of the members, rounded up to a whole number, which shall include a majority of External Members.

(b) In the absence of a quorum no business shall be transacted other than the adjournment of the meeting. In such a case, the Chair shall appoint a date, time and place at which the meeting shall be reconvened. At least three days' notice of the calling of the adjourned meeting shall be given.

2. Committees of the Council

1. There shall be Committees of the Council with responsibility for audit, remuneration and nominations.

2. The Council may from time to time establish additional standing, special and advisory Committees, Sub-Committees or Boards.

3. The constitution and responsibilities of Committees of the Council shall be as prescribed by the Council.

3. The Auditors

1. The Council shall appoint an Auditor or Auditors. Every such Auditor shall be a member of a recognised supervisory body (as defined in Part II of the Companies Act 1989) who is eligible for the appointment under the rules of that body. No person shall be appointed Auditor who is or any one of whose partners is a member of the Council or Staff of the University.

2. The Auditor or Auditors shall hold office for such period and shall receive such remuneration as may be determined by the Council.

3. The Auditor or Auditors shall have a right of access at all reasonable times to the books,

records, accounts and vouchers of the University and shall be entitled to require from the University such information and explanations as may be necessary for the performance of his/her or their duties.

4. If the office of Auditor or Auditors shall become vacant by his/her or their death or resignation or any other cause before the expiration of his/her or their period of office the Council shall forthwith appoint an Auditor or Auditors in his/her or their place for the remainder of such period.

5. An Auditor may resign by writing addressed to the Council.
6. The Auditor or Auditors shall make a report to the Council as to the accuracy of the Annual Accounts and the financial management of the University at least once in each year.

4. Interpretation of Statutes

These Statutes shall be interpreted so as not to conflict with the Charter.

The Ordinances

Ordinance 1

THE MEMBERS OF THE UNIVERSITY

1. The following persons shall be Members of the University:
The Chancellor
The Pro-Chancellors
The Treasurer
The Members of the Court
The External Members of the Council
The Staff of the University
The Emeritus Professors
The Students of the University
The Former Students of the University
2. The Council, on the recommendation of the Senate, shall have the power to declare such other persons Members of the University as it may deem fit.

Ordinance 2

THE CHANCELLOR

1. The Chancellor shall be appointed at a joint meeting of the Senate and the Council on the recommendation of a Joint Committee of the Senate and the Council.
2. The membership of the Joint Committee shall be:
The Chair of the Council (Chair)
A Pro-Chancellor
The Vice-Chancellor
The Chair of the Senate Agenda Group
The Registrar and Secretary
3. Subject to these Ordinances, the Chancellor shall hold office for a period of five years. The Chancellor's period of office may be extended to a maximum total of ten years.
4. The Chancellor may resign by writing addressed to the Council.

Ordinance 3

THE PRO-CHANCELLORS

1. The Pro-Chancellors shall be appointed by the Council on the recommendation of Nominations Committee.
2. A Pro-Chancellor shall hold office until the end of the third year following his or her appointment, or until such earlier date as the Council may in each case determine and shall be eligible for re-appointment, normally for a further period of three years and a maximum total of nine years.
3. The Chair of the Council of the University shall be appointed by the Council on the recommendation of the Nominations Committee from amongst those members of Council appointed as, or recommended to

Council by the Nominations Committee to be appointed as, a Pro-Chancellor.

4. The Chair of the Council shall hold office until the end of the third year following his or her appointment as Chair of the Council, or until such earlier date as the Council may in each case determine and shall be eligible for re-appointment, normally for a further period of three years and a maximum total of nine years or the point at which their appointment as a member of Council and Pro-Chancellor ceases, whichever is the earlier.
5. If there is more than one Pro-Chancellor, the Pro-Chancellor who, is Chair of Council, shall during a vacancy in the office of Chancellor, or during his or her inability through illness or any other cause to perform his or her functions, perform all the functions of the Chancellor; during the inability of such Pro-Chancellor through illness or any other cause to perform those functions, the Pro-Chancellor who has been in office for the longest period or aggregate of periods (whether consecutive or not) shall so act. If no Pro-Chancellor is able to perform all of the functions of the Chancellor, the Vice-Chancellor shall perform all of the functions of the Chancellor.
6. A Pro-Chancellor may resign by writing addressed to the Council, provided that, if he or she is Chair of the Council, he or she shall at the same time resign the office of Chair of the Council.

Ordinance 4

THE TREASURER

1. The Treasurer shall be a member of the Council appointed by the Council to be Treasurer on the recommendation of Nominations Committee.
2. The Treasurer shall be Chair of Audit and Risk Management Committee.
3. The Treasurer shall hold office until the end of the third year following his or her appointment or until such earlier date as the Council may in each case determine and shall be eligible for re-appointment, normally for a further period of three years and a maximum of total of nine years.
4. The Treasurer may resign by writing addressed to the Council.

Ordinance 5

THE VICE-CHANCELLOR

1. The Vice-Chancellor shall be appointed by the Council after consideration by the Council of the report of a Joint Committee of the Senate and the Council.
2. The membership of the Joint Committee shall be:
The Chair of the Council (Chair)

Three External Members of the Council appointed by the Council

Three Academic Staff members of the Senate appointed by the Senate.

3. During a vacancy in the office of Vice-Chancellor or during prolonged inability of the Vice-Chancellor through illness or any other cause to perform his or her functions, the Council may appoint a Committee with the membership as set out in paragraph 2 above, to recommend to the Council the appointment as Acting Vice-Chancellor, from the professorial members of the Senate, of a person acceptable to the Senate as Acting Vice-Chancellor, for such period and on such conditions as may be determined by the Council.
4. The Vice-Chancellor shall be ex officio, a member of the Court, the Council, the Senate, and of all Committees and Joint Committees of these bodies except the Joint Committee constituted under paragraph 1 of this Ordinance and the Audit and Risk Management Committee.
5. The Vice-Chancellor shall have a general responsibility to the Council for maintaining and promoting the efficiency and good order of the University.
6. The Vice-Chancellor may without assigning any reason refuse to admit any person as a student.
7. The Vice-Chancellor may without assigning any reason:
 - (a) suspend any student from any teaching event; or
 - (b) exclude any student from any part of the University or its precincts for such period as he or she may determine.
8. The Vice-Chancellor may delegate all or any of his or her functions under paragraph 6 or paragraph 7 of this Ordinance to such Officer or Officers of the University as he or she may think fit.

Ordinance 6

THE REGISTRAR AND SECRETARY

1. The Council shall appoint a Registrar and Secretary of the University with such functions, at such remuneration and upon such terms and conditions as it may think fit.
2. The Registrar and Secretary shall be appointed by the Council after consideration by the Council of the report of a Joint Committee of the Council and the Senate.
3. The membership of the Joint Committee shall be:

The Chair of the Council (Chair)
The Vice-Chancellor
Two other External Members of the Council, appointed by the Council

Two Academic Staff members of the Senate appointed by the Senate

If any External Assessor is appointed he or she shall act in an advisory capacity to the Joint Committee.

4. The Council shall appoint the Registrar and Secretary to the post of Secretary to the Council.

Ordinance 7

THE DEPUTY VICE-CHANCELLOR AND PRO-VICE-CHANCELLORS

1. The Deputy Vice-Chancellor and Pro-Vice-Chancellors shall be appointed from among the Academic Staff by the Council on the recommendation of the Senate. The Senate shall make the recommendation to the Council on the basis of a recommendation from a Selection Committee.
2. Recruitment to the roles of Deputy Vice-Chancellor and Pro-Vice-Chancellor will be through internal and external advertisement. Where an external applicant is appointed to one of these roles they will also (subject to clause 4 below) be appointed to a substantive chair in a University department, which is held independently of the appointment as Deputy Vice-Chancellor or Pro-Vice-Chancellor.
3. The composition of the Selection Committee shall be:

The Vice-Chancellor (Chair)
An External Member of the Council
The Deputy Vice-Chancellor or a Pro-Vice-Chancellor
An Academic Staff member of Senate
The Registrar and Secretary
Additional members may be co-opted at the discretion of the Committee.
4. Where an application is being considered by a Selection Committee, the selection process will consider the applicant's credentials for the award of a substantive chair by the University as well as for the role of Deputy Vice-Chancellor or Pro-Vice-Chancellor for which they have applied. The Chair of the Selection Committee shall be responsible for ensuring that these credentials are tested in line with the University's normal practice for professional appointments.
5. The Deputy Vice-Chancellor or a Pro-Vice-Chancellor shall hold office until the end of the third year following his or her appointment or until such earlier date as may in each case be determined by the Council on the recommendation of the Senate.
6. The Deputy Vice-Chancellor or a Pro-Vice-Chancellor shall be eligible for re-appointment for a further consecutive period of three years.
7. The re-appointment of the Deputy Vice-Chancellor or a Pro-Vice-Chancellor shall be proposed by the Vice-Chancellor and approved

- by the Council on the recommendation of the Senate.
8. The Deputy Vice-Chancellor or a Pro-Vice-Chancellor may exceptionally be re-appointed for a further period of up to three years. The re-appointment shall be proposed by the Vice-Chancellor and approved by the Council on the recommendation of the Senate.
 9. The Deputy Vice-Chancellor and Pro-Vice-Chancellors will have a review period of six months prior to confirmation in their appointment in their role.
 10. The Deputy Vice-Chancellor or a Pro-Vice-Chancellor shall cease to hold office should their employment as a member of the Academic Staff of the University cease.
 11. In the absence of the Vice-Chancellor, the Deputy Vice-Chancellor shall act as Chair at meetings of the Senate. In the absence of both the Vice-Chancellor and the Deputy Vice-Chancellor, meetings of Senate shall be chaired by a Pro-Vice-Chancellor.
 12. The Deputy Vice-Chancellor or a Pro-Vice-Chancellor shall perform such other functions of the Vice-Chancellor as shall be delegated to him or her by the Vice-Chancellor.
 13. If the Deputy Vice-Chancellor or a Pro-Vice-Chancellor becomes unable through illness or any other cause to perform his or her functions, the Council, or the Chair of the Council acting on behalf of the Council, may, on the recommendation of the Vice-Chancellor, terminate the appointment, appoint an Acting Deputy Vice-Chancellor or Acting Pro-Vice-Chancellor for a specified period or take any other action it thinks fit.

Ordinance 8

DEANS

1. Executive Deans of Faculty, the Dean of Postgraduate Research and Education, Dean of Health and the Dean of Partnerships, and any deputies to these Deans, shall be appointed from among the members of the Academic Staff by the Council on the recommendation of the Senate. The Senate shall make the recommendation to the Council on the basis of a recommendation from a Selection Committee.
2. Recruitment to the role of Executive Dean will be through internal and external advertisement. Where an external applicant is appointed to one of these roles they will also (subject to clause 5 below) be appointed to a substantive chair in a University department, which is held

- independently of the appointment as Executive Dean.
3. Normally only Professors, shall be eligible for appointment as Executive Dean.
 4. The composition of the Selection Committee for the role of Executive Dean shall normally be:
 - The Vice-Chancellor (Chair)
 - An External Member of the Council
 - The Deputy Vice-Chancellor or a Pro-Vice-Chancellor
 - Two Heads of Department from the relevant Faculty
 - The Registrar and Secretary
 Additional members may be co-opted at the discretion of the Committee.
 5. Where an application for the role of Executive Dean is being considered by a Selection Committee, the selection process will consider the applicant's credentials for the award of a substantive chair by the University as well as the role of Executive Dean. The Chair of the Selection Committee shall be responsible for ensuring that these credentials are tested in line with the University's normal practice for professional appointments.
 6. Recruitment to the roles of Dean of Postgraduate Research and Education, Dean of Health and Dean of Partnerships, and to any deputy roles supporting the Dean, will be by internal advertisement.
 7. Only Professors, Readers and Senior Lecturers shall be eligible for appointment as Dean of Postgraduate Research and Education, Dean of Health, Dean of Partnerships, Deputy Dean (Education) or Deputy Dean (Postgraduate Research and Education).
 8. The composition of the Selection Committee for the roles of Dean of Postgraduate Research and Education and Dean of Partnerships, and deputies to these Deans, shall normally be:
 - The Pro-Vice-Chancellor (Education) (*Chair*)
 - An existing Dean or deputy to a Dean
 - Two Heads of Department
 - The Academic Registrar
 9. The composition of the Selection Committee for a deputy to an Executive Dean shall normally be:
 - The Executive Dean (*Chair*)
 - The Pro-Vice-Chancellor (Education)
 - Two Head of Department from the relevant Faculty
 - The Academic Registrar
 10. The normal term of appointment shall be three years. A Dean or a deputy to a Dean shall be eligible for re-appointment for further consecutive periods of up to a total of three years; such periods shall be until the end of the third year from the first re-appointment or until such earlier date as the Council on the recommendation of the Senate may in each case determine.

11. The re-appointment of a Dean or a deputy to a Dean shall be proposed by the University Steering Group and approved by the Council on the recommendation of the Senate.
 12. A Dean or deputy to a Dean may exceptionally be re-appointed for a further period of up to three years. The re-appointment shall be proposed by the University Steering Group and approved by the Council on the recommendation of the Senate.
 13. A Dean or a deputy to a Dean shall have such responsibilities as the Senate shall determine.
 14. A Dean or a deputy to a Dean shall cease to hold office should their appointment as a member of the Academic Staff of the University cease.
 15. If a Dean or deputy to a Dean becomes unable through illness or any other cause to perform his or her functions, the Council, or the Chair of the Council acting on behalf of the Council, may, on the recommendation of the Vice-Chancellor, terminate the appointment, appoint an Acting Dean or a deputy to a Dean for a specified period of up to three years or take any other action it thinks fit.
 16. Other Deans with specific functions not falling within paragraph 1 of this Ordinance (including deputies to such other Deans) may be appointed by Council on the recommendation of the Vice-Chancellor.
4. A Head of Department who has held office for two consecutive periods shall normally be ineligible for further appointment until one year has elapsed from the date of completion of his or her second period of office. A Head of Department may exceptionally be re-appointed for further terms of office on the recommendation of the Senate on receipt of a joint nomination from the members of Academic Staff holding posts allocated to the Department and the Vice-Chancellor.
 5. A Head of Department shall cease to hold office upon resignation or retirement from the Academic Staff of the University.
 6. If a Head of Department becomes unable through illness or any other cause to perform his or her functions, the Council, in accordance with Ordinance 41, may terminate the appointment, appoint an Acting Head of Department for a specified period or take any other action it thinks fit. An Acting Head shall be appointed in the same manner as a Head (paragraph 1 above); in exceptional circumstances, however, a Lecturer may act as Acting Head. An Acting Head of Department shall be an ex officio member of the Senate during his or her period of office.
 7. Nominations of Heads of Departments shall normally be received at a meeting of the Senate during the Spring Term for appointments to take effect on the first day of August following.
 8. A Head of Department shall be responsible to the Executive Dean for maintaining and promoting the efficiency and good order of the Department, in accordance with the Charter, Ordinances and Regulations of the University, and the policies and procedures determined from time to time by resolutions of the Council and the Senate.
 9. A Head of Department may resign his or her office by writing addressed to the Council.

Ordinance 9

HEADS OF DEPARTMENT

1. A Head of Department shall be appointed by the Council on the recommendation of the Senate on receipt of a joint nomination from the members of the Academic Staff holding posts allocated to the Department and the Vice-Chancellor, determined in accordance with the published procedures for nomination of Heads of Department. The nominee shall be a Professor, Reader or Senior Lecturer, normally holding a post allocated to the Department concerned.
2. A Head of Department shall hold office until the end of the third year following his or her appointment or until such earlier date as the Council on the recommendation of the Senate may in each case determine.
3. A Head of Department shall be eligible for re-appointment for further consecutive periods of up to a total of three years; such periods shall normally be until the end of the third year from the date re-appointment or until such earlier date as the Council on the recommendation of the Senate may in each case determine.

Ordinance 10

POWERS OF THE COUNCIL

In accordance with the Charter, the Council shall exercise all the powers of the University and has the specific powers as set out in the Council's Statement of Primary Responsibilities as set out below:

STATEMENT OF PRIMARY RESPONSIBILITIES

A Powers of appointment and employment

1. To appoint the Vice-Chancellor in accordance with the provisions of Ordinance 5 and to put in place suitable arrangements for monitoring his/her performance.
2. Jointly with the Senate to appoint the Chancellor on the recommendation of a Joint Committee of the Senate and the Council.
3. To appoint the Pro-Chancellors, the Treasurer and the External Members of the Council.

4. To appoint the Registrar and Secretary as Secretary to the Council; to ensure that there is an appropriate separation in the lines of accountability.
5. To be the employing authority for all Staff in the University and to be responsible for establishing a human resources strategy.

B Financial and legal powers

6. To be the principal financial and business authority of the University, to ensure that proper books of account are kept, to approve the annual budget and financial statements, and to have overall responsibility for the University's assets, property and estate.
7. To be the University's legal authority and, as such, to ensure that systems are in place for meeting all the University's legal obligations, including those arising from contracts and other legal commitments made in the University's name.
8. To act as trustee for any property, legacy, endowment, bequest or gift in support of the work and welfare of the University.

C Planning, monitoring and control

9. To approve the mission and strategic vision of the University, long-term academic and business plans and key performance indicators, and to ensure that these meet the interests of stakeholders.
10. To delegate authority to the Vice-Chancellor, as chief executive, for the academic, corporate, financial, estate and personnel management of the University; and to establish and keep under regular review the policies, procedures and limits within such management functions as shall be undertaken by and under the authority of the head of the University.
11. To ensure the establishment and monitoring of systems of control and accountability, including financial and operational controls and risk assessment, and procedures for handling internal grievances and for managing conflicts of interest.
12. To ensure processes are in place to monitor and evaluate the performance and effectiveness of the University against the plans and approved key performance indicators, which should be, where possible and appropriate, benchmarked against other comparable institutions.
13. To conduct its business in accordance with best practice in higher education corporate governance and with the principles of public life drawn up by the Committee on Standards in Public Life.
14. To safeguard the autonomy, good name and values of the University of Essex.
15. To ensure compliance with the provisions of the Charter, Statutes and Ordinances at all times and that appropriate advice is available to enable this to happen.

16. To establish processes to monitor and evaluate the performance and effectiveness of the Council itself.

D Student welfare

17. To make such provision as it thinks fit for the general welfare of students, in consultation with the Senate.

Ordinance 11

STANDING ORDERS FOR THE COUNCIL

The Council shall determine Standing Orders, which shall govern its proceedings and which shall be approved annually by the Council.

Ordinance 12

ELECTED MEMBERS OF THE COUNCIL

1. The five Academic Staff members of the Senate elected by the Senate to the Council in accordance with Section 1 of the Statutes shall include at least three Heads of Department and at least one member who is not a Professor at the time of election.
2. The normal term of office for members elected to the Council by the Senate shall be three years, and members may be re-elected for a further term of up to three years, except that a member must stand down from membership of the Council if he or she ceases to be a member of the Senate.
3. The method of election of members elected to the Council by the Senate and of filling casual vacancies among the same shall be determined by the Senate.
4. There shall be a non-academic staff member elected to the Council in accordance with Section 1 of the Statutes. The method of election of the non-academic staff member shall be determined by the Council. All non-academic staff who have a contract which extends to at least 31 July in the following academic year shall be eligible to stand for nomination and to vote in the election of the non-academic staff member.
5. The normal term of office for the non-academic staff member elected to the Council shall be three years, and the member may be re-elected for a further term of three years, except that he or she must stand down from membership of the Council if he or she ceases to be a member of the non-academic staff.

Ordinance 13

THE SENATE

1. The Senate shall consist of the following persons, namely:
 Ex Officio Members:
 The Vice-Chancellor (Chair)
 The Deputy Vice-Chancellor

The Pro-Vice-Chancellors
The Dean of Postgraduate Research and Education
The Dean of Partnerships
Executive Deans
The Heads of the Departments

Appointed Member:

Academic Registrar

Elected Members:

Sixteen members of permanent Academic Staff, including at least nine of whom shall hold non-professorial posts at the time of election.

Co-opted Members:

University Librarian and Director of Library Services

The Director of IT Services

Dean of Health

Director of the Centre for Psychoanalytic Studies

Student Members:

President of the Students' Union (Colchester Campus)

Vice-President of the Students' Union (Southend and Loughton)

Vice-President (Education) of the Students' Union

Faculty Convenors (3)

Postgraduate Officer

A Postgraduate Research Student

2.
 - (a) Ex officio Members of the Senate shall remain members only so long as they hold the offices by virtue of which they became members.
 - (b) Elected Members of the Senate shall hold office until the end of the fourth year following their election or until such earlier date as may in each case be determined by the Senate to effect rotation; they shall be eligible for re-election for consecutive terms of office.
 - (c) Appointed members of the Senate shall be appointed by the Vice-Chancellor.
 - (d) Co-opted members of the Senate shall hold office for such periods as may be determined in each case by the Senate.
 - (e) Student members of the Senate shall hold office for one year; they shall be eligible to be re-elected as members of the Senate in the year immediately following and in any subsequent year.
3. Observers shall be invited to attend meetings of the Senate at the discretion and by invitation of the Vice-Chancellor.

Ordinance 14

POWERS OF THE SENATE

The Senate shall be the supreme academic authority of the University and shall, subject to the powers reserved to the Council by these

Ordinances, take such measures and act in such a manner as shall appear to it best calculated to promote the academic work of the University both in teaching and research and for the regulation and superintendence of the education and, subject to the powers of the Vice-Chancellor, the discipline of the students of the University. The Senate shall, subject to the Charter and these Ordinances, in addition to all other powers vested in it, have the following powers:

ACADEMIC POLICY AND STRATEGY

1. To discuss, declare an opinion and make recommendations to the Council on any matter of academic policy and strategy concerning the University.
2. To maintain oversight of the quality of education and the arrangements for its enhancement.
3. To be responsible for the University's policy on admission of students.
4. To maintain oversight of the quality of research and the arrangements for its enhancement.
5. To review, amend, refer back or disallow any act of any committee or advisory group of Senate.

Powers of appointment

6. Jointly with the Council to appoint the Chancellor on the recommendation of a Joint Committee of the Senate and the Council.
7. To recommend to the Council the appointment of Pro-Vice-Chancellors, Deans and Heads of Departments, as prescribed by the Ordinances.
8. To review from time to time the conditions of service of all members of the Academic Staff and to make recommendations thereon to the Council.
9. To define the functions of Deans, as covered by Ordinance 8, and Heads of Departments and members of the Academic Staff.
10. To stipulate which posts shall qualify a person to be a member of the Academic Staff.
11. In accordance with the provisions of the Ordinances, to confer the title of Emeritus Professor and Honorary Fellow.
12. To stipulate which honorary titles may be assigned to visitors to the University.
13. To make recommendations to the Council for the appointment of members of the Academic Staff.
14. To establish the rules, procedures and criteria for the decisions of the Senate committees responsible for staffing matters.
15. To determine the conditions of appointment and service of examiners.
16. To elect members of the Senate to be members of the Council in accordance with the Ordinances.
17. To appoint a Senate Agenda Group in accordance with the Ordinances.
18. To co-opt members of the Senate in accordance with the Ordinances.

Degree regulation and awarding powers

19. To be responsible for the maintenance and assurance of academic standards by ensuring that the University has an effective quality assurance framework in place.
20. To be responsible for the approval, review and discontinuation of programmes of study.
21. To institute new awards of the University.
22. To approve the rules of assessment governing progression and the award of Degrees, Diplomas and Certificates.
23. To be responsible for the academic policies associated with programmes of study.
24. To confer Degrees, Diplomas, Certificates and other awards of the University.
25. To revoke any Degrees, Diplomas or Certificates or other distinctions or titles conferred by the University on what the Senate deems to be good cause.

Academic Regulation

26. To review the research, teaching, staffing and general work of any School, Department or other academic unit of the University, and, if the Senate so wishes, to report and make recommendations thereon to the Council.
27. To institute a review of Schools, Faculties, Departments, Institutes, Centres or other academic units of the University, as appropriate.
28. To make recommendations to the Council to institute, merge, discontinue or otherwise modify Schools, Faculties, Departments, Institutes, Centres or other academic units of the University as appropriate.
29. To recommend to the Council the establishment of academic partnerships with other educational bodies, as appropriate.
30. To receive records and reports of the proceedings of Senate's committees, and to give directions to and to consider recommendations from the same.
31. To be responsible for the general policy concerning the University's Library and Information Systems, and to make recommendations thereon to Council, as Senate deems appropriate.
32. To oversee the arrangements for assuring the ethical conduct of research.

Student regulation

33. To regulate and superintend the discipline of the students of the University.

General

34. To conduct the business of the Senate in accordance with the Ordinances.
35. To report and make recommendations to the Council from time to time on the Ordinances.
36. To report to the Council on any matter referred to the Senate by the Council.

37. Generally to exercise all such powers as are or may be conferred on the Senate by the Charter and these Ordinances, including the power to make Regulations in the exercise of the powers hereinbefore expressly set out in this Section of these Ordinances and of all the other powers of the Senate, and to carry such Regulations into effect.

Ordinance 15

STANDING ORDERS FOR SENATE

The proceedings of the Senate shall be governed by the following Standing Orders and rules of procedure.

Setting the Agenda

1. The elected Senate Agenda Group may request that an item shall be placed on the Agenda of an ordinary meeting of Senate. Such a request together with papers and notice of motion shall be sent to the Registrar and Secretary at least fourteen days before the meeting.
2. The Vice-Chancellor shall cause the Agenda and accompanying papers for Senate to be prepared in consultation with the Senate Agenda Group. Together they shall see that the Agenda and papers provide, as far as possible, notice of matters to be raised, essential information and, where appropriate, motions for consideration by the Senate.
3. The Agenda and Papers for a Senate meeting shall normally be available to all members of the Senate not less than seven days before the meeting.
4. The Vice-Chancellor, or his or her deputy, may waive any of the preceding rules but only in order to call an extraordinary meeting of the Senate to address urgent business.
5. The Agenda for a Senate meeting shall be divided into two parts: the first on matters of academic strategy and policy (including the Vice-Chancellor's report); the second on matters of academic business (including the reports from Senate's committees). All items of the first part shall be taken for discussion. All items of the second part shall be business taken without discussion, except when starred for discussion either by the Vice-Chancellor, or his or her deputy, or by any member of the Senate, no later than noon on the day before the Senate meets. All items remaining unstarred shall be deemed to have been approved, or recommended to the Council, without discussion.

Addressing the Agenda

6. At any meeting the business shall be confined to the particulars in the Agenda and accompanying papers, and no member shall be entitled to propose a motion other than one directly arising out of the discussion of a subject before the Senate.

7. The first item of business at an ordinary meeting shall be the Minutes of the last ordinary meeting and any subsequent extraordinary meetings. Discussion on the Minutes shall normally be confined to errors and omissions.
8. After the Minutes of previous meetings have been approved the meeting will address the items on the first part of the Agenda, normally beginning with the Vice-Chancellor's report, before moving to discuss the starred items of academic business.
9. No resolution or recommendation of the Senate shall normally be rescinded within six calendar months.

The Conduct of the Meeting

10. All motions and any questions where the sense of the meeting may be in doubt shall be decided by show of hands.
 11. All members shall be allowed to put motions and amendments to motions, and all variations upon a motion shall be deemed amendments and treated as such.
 12. No discussion shall be allowed on a motion or amendment which has not been seconded. All motions except procedural motions (see Standing Order 18) and all amendments shall, if the Chair so decides, be handed to the Registrar and Secretary in writing, signed by the mover.
 13. The mover of a motion shall normally have the right of reply.
 14. At any time in the course of a discussion a member may speak to a point of order, and the discussion shall be suspended until the point of order has been decided by the Chair.
 15. A member may dissent from any resolution or recommendation come to by the Senate and shall be entitled to have his or her dissent recorded in the Minutes of the meeting, provided that he or she shall have proposed a motion or spoken on the matter under discussion.
 16. No member shall speak more than once on the same item of business, subject to the mover's right of reply (Standing Order 13).
 17. Any of the foregoing orders may be suspended at any meeting after a motion to that effect has been passed by a majority of two-thirds of the members present and voting. Such suspension shall be for a specified item of business only and shall lapse when that item is concluded.
 18. The following procedural motions may be put and debated in the following order of precedence, provided they have a proposer and a seconder:
 - a. the motion be not put
 - b. the motion be now put (closure of debate)
 - c. the meeting be adjourned to a specific time
 - d. the matter be deferred to the next meeting
 - e. the matter be referred to the appropriate committee
 - f. the order of business be changed
 - g. the voting figures be recorded in the Minutes
 - h. a part or parts of a motion be voted on separately
 - i. the meeting be closed.
- A motion for the closure of debate (b) shall be put to the vote without discussion, subject to the mover's right of reply (Standing Order 13).
19. The Chair shall determine all questions of procedure not expressly provided for in these Standing Orders subject to the consent of the meeting, absence of consent being determined by a two-thirds majority in support of a challenge to the ruling of the Chair.

Ordinance 16

SENATE AGENDA GROUP

The appointment and proceedings of the Senate Agenda Group shall be governed by the following rules and Standing Orders:

1. The members of the Senate elected to the Council shall constitute the Senate Agenda Group.
2. The Senate shall co-opt the Students' Union representative on Council onto its Agenda Group.
3. The Senate Agenda Group shall elect a Chair from among its Academic Staff members.
4. The Chair of the Senate Agenda Group shall normally meet with the Vice-Chancellor and/or his or her deputy prior to each ordinary meeting of the Senate to discuss items for the first part of the agenda. The Secretary to the Senate shall normally be in attendance.
5. The Senate Agenda Group may request that an item be placed on the agenda of an ordinary meeting of Senate, in accordance with Ordinance 15.
6. The Senate Agenda Group may recommend to the Vice-Chancellor or his/her deputy that an extraordinary meeting of the Senate be called to discuss any urgent matter of academic strategy or policy.

Ordinance 17

PROCEDURE FOR ELECTION OF MEMBERS OF ACADEMIC STAFF TO SENATE

1. Elections to the Senate shall be held annually on a date during the Summer Term to be fixed on each occasion by the Registrar and Secretary who shall act as Returning Officer.
2. The only persons entitled to vote in an election to the Senate shall be members of the

Academic Staff who are indicated as such in the master copy of the University Calendar which is available from the Registrar and Secretary's office.

3. The only persons entitled to stand for election and to be elected to the Senate shall be persons who are entitled to vote in that election and who are also indicated as permanent members of the Academic Staff in the master copy of the University Calendar which is available from the Registrar and Secretary's office.
4. Not less than four weeks before the date of an election, the Registrar and Secretary shall invite all persons entitled to vote in that election to submit nominations of persons eligible to stand for election. Nominations shall be in writing, shall be signed by not less than two electors at least one of whom shall be the holder of a post allocated to a Department other than that to which the nominee's post is allocated, and shall include a statement signed by the nominee that he or she is willing to stand. Nominations shall be in the hands of the Registrar and Secretary not less than two weeks before the date of election.
5. If the number of persons nominated exceeds the number of vacancies there shall be an election. Voting shall be by secret ballot. The ballot paper shall indicate the post held by each candidate and the Department to which it is allocated, and a personal statement written by each candidate. It shall also indicate the number of persons to be elected and each elector shall vote by placing the requisite number of crosses against the names of the candidates.
6. The election as a whole shall not necessarily be invalidated if an invitation to submit nominations or a ballot paper is inadvertently not sent to or not received by a person entitled to vote in the election.
7. Ballot papers which are placed in the hands of the Registrar and Secretary or his or her deputy acting as Returning Officer later than midday on the day of election shall be void.

Ordinance 18

PROCEDURE FOR FILLING A CASUAL VACANCY IN THE ELECTED MEMBERSHIP OF SENATE

In the event of a vacancy occurring among the Elected Members of Senate, the vacancy shall normally be filled by the person for whom the highest number of votes (excluding votes for members elected) was cast in the last elections to the Senate, subject to Ordinance 13, paragraph 1. Members so elected shall hold

office for the remainder of the academic year in question and the next three academic years.

Ordinance 19

STUDENT MEMBERS OF THE SENATE

1. The following students of the University, shall, in accordance with Ordinance 13, paragraph 1, be members of the Senate:
President of the Students' Union (Colchester Campus)
President of the Students' Union (Southend and Loughton Campus)
Vice-President (Education) of the Students' Union
Faculty Convenors (3)
Postgraduate Officer.
2. The method of election of student representatives shall be approved by the Senate, on the recommendation of the Students' Union.
3. If any student member ceases to be a student of the University he or she shall cease to be a member of the Senate and for the remainder of his or her year of appointment the Senate may, after consultation with the President of the Students' Union, co-opt another student to take his or her place.

Ordinance 20

THE COURT

1. The Court shall consist of the following persons, namely:
Ex Officio Members:
The Chancellor
The Pro-Chancellors
The Treasurer
The External Members of the Council
The Vice-Chancellor
The Pro-Vice-Chancellors
The Deans
University Librarian and Director of Library Services
The Emeritus Professors
The Principal Officers of the Students' Union
Appointed Members:
Persons who have been External Members of the Council;
Such other persons appointed by the Council on the recommendation of Nominations Committee.
2. Ex officio Members of the Court shall remain members only so long as they continue to hold the office by virtue of which they became members.
3. Persons appointed by the Council shall hold office for such periods as may be determined by the Council.
4. Former members may be re-appointed.
5. Any member of the Court may resign by writing addressed to the Registrar and Secretary.

Ordinance 21

MEETINGS OF THE COURT

1. Members of Court shall be invited to a meeting, normally at least once a year within fifteen months of the preceding meeting.
2. The Chancellor shall act as Chair of Court.
3. The Court will receive a report from the Vice-Chancellor on the workings and sustainability of the University since the last meeting.

Ordinance 22

THE BOARDS AND COMMITTEES OF THE COUNCIL AND THE SENATE

1. The Council and the Senate may appoint Boards and Committees consisting either wholly or partly of members of the Council and/or the Senate.
2. The Boards and Committees of the Council and the Senate shall be as published annually in the University Calendar.
3. The Council and the Senate shall determine annually the membership and terms of reference of their Boards and Committees, and these shall be published in the University Calendar.
4. The Council and the Senate may delegate such functions and the exercise of specified powers to Boards and Committees as it may think fit.
5. The Council and the Senate shall receive annually a schedule of delegated powers in accordance with paragraph 4. Nothing in this Ordinance shall enable the Council to delegate its power to reach a decision under paragraph 8(b) of the Charter.

Ordinance 23

PROCEDURE FOR COUNCIL AND SENATE AND THEIR BOARDS AND COMMITTEES

1. In accordance with Statute 1, paragraph 4(a), there shall be a quorum at the meeting of the Council when not less than half the members are present, rounded up to a whole number, which shall include a majority of External Members.
2. There shall be a quorum at the meeting of the Senate and the meeting of any Board or Committee of the Council and the Senate when not less than half of the members are present, rounded up to a whole number.
3. The proceedings of the Council, the Senate and of any Board or Committee thereof shall not be invalidated by any vacancy in its number or by any defect in the appointment or qualification of the members.

4. At meetings of the Council, the Senate and the Boards and Committees thereof, when a vote is taken a matter shall be determined by the majority of the members present and voting on the matter. In the case of equality of votes the Chair shall have the casting vote.
5. In the absence of a person who is Chair by appointment or ex officio, any Board or Committee of the Council or the Senate shall elect a Chair for the meeting.
6. Subject to the Charter and Statutes, the Council, the Senate and Boards and Committees thereof shall have the power to make their own standing orders or otherwise to determine the procedure to be followed at meetings.
7. Whether specifically empowered to do so or not, the Chair of the Council, the Senate and of any Board or Committee thereof shall have the power, in cases of urgency, to take action on behalf of the Council, the Senate or Board or Committee of which he or she is Chair, provided that he or she reports any such action at the next meeting.
8. A member, other than an ex officio member, of the Council, the Senate or any Board or Committee thereof may resign from membership of the Council, the Senate or the Board or Committee by writing addressed to the Chair.

Ordinance 24

RESERVED BUSINESS

1. Any student member or members of the Council or the Senate or a Board or Committee thereof shall be required to withdraw from a meeting when it is declared by the Chair of the meeting that the meeting is about to discuss a reserved area of business and shall not return to the meeting until the discussion on the reserved area of business is concluded.
2. Minutes and other records which relate to the discussion of reserved areas of business shall not at any time be made available to student members.
3. The Chair of the Council or the Senate or a Board or Committee thereof shall decide whether a matter is a reserved area of business or not, consulting as appropriate, and his or her decision shall be final.

Ordinance 25

SCHOOLS, FACULTIES, DEPARTMENTS AND CENTRES

1. There shall be Faculties in the University as follows:
Humanities
Science and Health
Social Sciences

2. There shall be Departments in the University as follows:
 - School of Biological Sciences
 - School of Computer Science and Electronic Engineering
 - East 15 Acting School
 - Department of Economics
 - Essex Business School
 - Essex Pathways Department
 - Department of Government
 - School of Health and Human Sciences
 - Department of History
 - Department of Language and Linguistics
 - School of Law
 - Department of Literature, Film, and Theatre Studies
 - Department of Mathematical Sciences
 - School of Philosophy and Art History
 - Department of Psychology
 - Institute for Social and Economic Research
 - Department of Sociology
 - The UK Data Archive
3. There shall be Centres and Institutes in the University, established from time to time by the Senate and the Council, and listed annually in the University Calendar. Centres and Institutes shall be engaged in teaching and/or research. Centres engaged in teaching shall be defined as 'centres with students'.
4. The membership of Faculties shall be as follows:
 - Faculty of Humanities**
 - East 15 Acting School
 - Essex Pathways Department
 - Department of History
 - Interdisciplinary Studies Centre
 - Human Rights Centre
 - Department of Literature, Film, and Theatre Studies
 - School of Law
 - School of Philosophy and Art History
 - Faculty of Science and Health**
 - School of Biological Sciences
 - School of Computer Science and Electronic Engineering
 - School of Health and Human Sciences
 - Department of Mathematical Sciences
 - Department of Psychology
 - Faculty of Social Sciences**
 - The UK Data Archive
 - Department of Economics
 - Department of Government
 - Essex Business School
 - Institute for Social and Economic Research
 - Department of Language and Linguistics
 - Centre for Psychoanalytic Studies
 - Department of Sociology

Ordinance 26

ACADEMIC PARTNERSHIPS

The University may establish academic partnerships with other educational bodies in accordance with the provisions of the Charter. A register of the University's academic partnerships shall be maintained by the Registrar and Secretary.

Ordinance 27

DEPARTMENTAL MEETINGS

1. A meeting of the Academic Staff holding posts allocated (primarily or otherwise) to a Department shall be known as a 'Departmental Meeting'.
2. The Head of each Department shall convene a Departmental Meeting at least once in each term, and at other times within seven days of his or her having received a request in writing signed by at least one quarter of the Academic Staff holding posts allocated to the Department that such a meeting should be convened.
3. The agenda for each Departmental Meeting shall contain a standing item in relation to the Senate. The item shall provide the Head of Department with an opportunity to update Academic Staff on Senate decisions and related activity and provide a forum for discussion.

Ordinance 28

GENERAL MEETING OF STAFF

The Vice-Chancellor may at any time at his or her discretion, and shall, upon the request in writing of not fewer than twenty-five employees of the University, convene a General Meeting which shall be open to all employees of the University.

Ordinance 29

THE STUDENTS' UNION

1. There shall be a Students' Union for the purposes of advancing the education and social welfare of students of the University, and to serve as a channel of communication between the members of the Students' Union and the University and other bodies.
2. The name of the Students' Union shall be 'The University of Essex Students' Union' (hereinafter referred to as 'The Students' Union').
3. All registered students of the University shall be Full Members of the Students' Union, unless they exercise their right to elect not to be a member of the Students' Union. Students who exercise that right shall not be unfairly disadvantaged with regard to the provision of services or otherwise by reason of having done so.

4. The Students' Union shall make a Constitution, which shall not conflict with the Charter and Ordinances of the University.
5. The Constitution of the Students' Union and any amendments thereto shall be approved by the Council of the University and shall be reviewed by the Council of the University at intervals of not less than five years.
6. The financial affairs of the Students' Union shall be properly conducted and its budget approved by the University Council, who shall also be responsible for monitoring its income and expenditure.
7. The procedures for a student to elect not to be a Full Member of the Students' Union and other matters governing the operation of the Students' Union and its relationship with the University shall be laid down in a Code of Practice which shall be approved by the Council of the University and shall be reviewed by the Council of the University at intervals of not less than five years.

Ordinance 30

THE ALUMNI ASSOCIATION

1. All persons who have been students of the University of Essex shall be members of the Alumni Association.
2. Persons on whom honorary degrees have been conferred by the University shall be entitled to become members of the Association.
3. The principal function of the Alumni Association is to establish and develop a mutually beneficial relationship between the University and its body of alumni.

Ordinance 31

GRADUATION

Degrees of the University shall be conferred at ceremonies held from time to time which shall be presided over by the Chancellor or, in his or her absence, a Pro-Chancellor.

Ordinance 32

HONORARY DEGREES AND HONORARY FELLOWSHIPS

1. The Council, on the recommendation of the Senate, may agree recipients of honorary degrees of the University and may award honorary fellowships of the University.
2. The Honorary Degrees and Honorary Fellowships Committee shall consider candidates for the conferment of honorary degrees and the award of honorary fellowships, in accordance with the criteria for eligibility for these awards and the procedure approved by the Council.

3. The Honorary Degrees and Honorary Fellowships Committee is a joint committee of the Council and the Senate and shall report to the Council and to the Senate.
4. All members of the University shall have the right to submit, in confidence, proposals for the conferment of honorary degrees and the award of honorary fellowships, and all such proposals shall be considered by the Honorary Degrees and Honorary Fellowships Committee. Self-nominations will not be accepted.

Ordinance 33

THE ACADEMIC STAFF

The Council shall appoint such Academic Staff and other Officers as it may deem necessary at such remuneration and upon such terms and conditions as it may think fit; provided that no permanent member of the Academic Staff shall be appointed except on the recommendation of the Senate.

Ordinance 34

DEFINITION OF ACADEMIC STAFF

'Academic Staff' means all persons holding appointments as Professors, Readers, Senior Lecturers, Senior Research Fellows, Lecturers, Research Fellows, Senior Research Officers or Research Officers of the University, or in other posts stipulated by the Senate.

Ordinance 35

ALLOCATION OF POSTS ON THE ACADEMIC STAFF

1. For the purposes of these Ordinances, 'Department' shall mean one of those Departments, Schools and Centres established from time to time by the Senate and the Council and listed annually in the University Calendar. 'Head of Department' shall mean 'Head of Department or Head or Director of School or Director of a Centre', provided the Department or Centre is listed in the University Calendar.
2.
 - (a) The University Steering Group shall have the power to establish a post on the Academic Staff, to be allocated within a specified Department;
 - (b) The University Steering Group shall have the power subsequently to make changes to any such established post, save that no such change shall be made without the consent of the current holder of the post;
 - (c) Every allocation of a post on the Academic Staff shall lapse on the promotion, resignation, retirement, removal from office, or death of the holder of the post;

- (d) No post on the Academic Staff shall be filled by an appointment unless it has been established, or change approved, by University Steering Group.
- 3. Where a post is allocated to more than one Department, the University Steering Group shall indicate to which Department the post is primarily allocated
- 4. The University Steering Group may also impose on such an allocation constraints which it deems appropriate concerning the field of study, the duration of an appointment, and any other matter consistent with the Ordinances;
- 5. The University Steering Group may delegate its power set out in paragraphs 2 to 4 to an Executive Dean.

Ordinance 36

APPOINTMENT OF PROFESSORS

1. When the University Steering Group has resolved that a Professorship be established in a Department and does not constrain the appointment to be temporary, the following procedure shall be followed:
 - (a) the Vice-Chancellor shall appoint a Selection Committee, normally including the Vice-Chancellor as Chair, a Pro-Vice-Chancellor, an Executive Dean, the Head of the Department to which the Professorship is allocated, and up to five other persons of whom no member of the Academic Staff shall be appointed who has not been granted permanency of office;
 - (b) the vacancy shall be publicly advertised, subject to any constraints laid down by the University Steering Group;
 - (c) the Vice-Chancellor shall appoint at least two External Assessors who shall be neither members of the Academic Staff nor of the Selection Committee, to advise the Selection Committee on its decision;
 - (d) the Selection Committee alone shall have the power to resolve the appointment of a person to the vacant Professorship. The Selection Committee shall report to the Senate and the Council the name of the person appointed to the vacant Professorship.
 - (e) the Vice-Chancellor may delegate his or her powers as set out in paragraphs (a) and (c) above to a Pro-Vice-Chancellor or an Executive Dean.
2.
 - (a) Under the provisions of Ordinance 22 the Senate shall appoint an Academic Staffing Committee, the membership and terms of reference of which shall be

approved annually by the Senate and published in the Calendar.

- (b) The Academic Staffing Committee alone shall have the power to resolve that a Reader, Senior Lecturer or Senior Research Fellow who is a member of the permanent Academic Staff should be promoted to a Professorship. The rules, procedures and criteria of the Academic Staffing Committee shall be determined from time to time by the Senate.
 - (c) The Academic Staffing Committee shall report to the Senate and the Council the promotion of a member of Academic Staff to a Professorship.
3. In cases of urgency, the Vice-Chancellor shall be empowered to appoint a member of Academic Staff to a Professorship, subject to the following conditions:
 - (a) that the member of Academic Staff has received a definite offer of a Professorship from another university or an offer at an equivalent level from outside the university sector;
 - (b) that the following are consulted before the offer of a Professorship is made: the Pro-Vice-Chancellor (Education), the Pro-Vice-Chancellor (Research), the relevant Executive Dean, the permanent professorial staff and the Head of the member of staff's Department;
 - (c) that at least two External Assessors appointed by the Vice-Chancellor are unambiguously of the opinion that the member of Academic Staff is a suitable person to appoint to a Professorship.

Appointments made in this way will be reported to the Senate and the Council.

Ordinance 37

APPOINTMENT OF READERS AND SENIOR LECTURERS

1. When the University Steering Group has resolved that a Readership or Senior Lectureship be established in a Department and does not constrain the appointment to be temporary, the following procedure shall be followed:
 - (a) the Vice-Chancellor shall appoint a Selection Committee, normally including the Faculty Executive Dean as chair, the Head of the Department to which the Readership or Senior Lectureship is allocated, and up to three other persons of whom no member of the Academic Staff shall be appointed who has not been granted permanency of office;
 - (b) the vacancy shall be publicly advertised, subject to any constraints laid down by the University Steering Group;

- (c) the Vice-Chancellor shall appoint at least one External Assessor, who shall be neither a member of the Academic Staff nor of the Selection Committee, to advise the Selection Committee on its decision;
 - (d) the Selection Committee alone shall have the power to resolve the appointment of a person to the vacant Readership or Senior Lectureship. The Selection Committee shall report to the Senate and the Council the name of the person appointed to the vacant Readership or Senior Lectureship;
 - (e) the Vice-Chancellor may delegate his or her powers as set out in paragraphs (a) and (c) above to an Executive Dean.
2. The University Steering Group may delegate its powers set out in paragraph 1 to an Executive Dean.
 3.
 - (a) Under the provisions of Ordinance 22 the Senate shall appoint an Academic Staffing Committee, the membership and terms of reference of which shall be approved annually by the Senate and published in the Calendar.
 - (b) The Academic Staffing Committee shall have the power to resolve that a Lecturer who is a member of the permanent Academic Staff should be promoted to a Senior Lectureship, or that a Senior Lecturer who is a member of the permanent Academic Staff should be promoted to a Readership. The rules, procedures and criteria of the Academic Staffing Committee shall be determined from time to time by the Senate.
 - (c) The Academic Staffing Committee shall report to the Senate and the Council the promotion of a member of Academic Staff to a Readership or Senior Lectureship.
 4. In cases of urgency, the Vice-Chancellor shall be empowered to appoint a member of Academic Staff to a Readership or Senior Lectureship subject to the following conditions:
 - (a) that the member of Academic Staff has received a definite offer of a Professorship, Readership or Senior Lectureship from another university or an offer at an equivalent level from outside the university sector;
 - (b) that the following are consulted before the offer of a Readership or Senior Lectureship is made: the Pro-Vice-Chancellor (Education), the Pro-Vice-Chancellor (Research), the relevant Executive Dean, the permanent senior staff of the member of staff's Department;

- (c) that at least two External Assessors appointed by the Vice-Chancellor are unambiguously of the opinion that the member of Academic Staff is a suitable person to appoint to a Readership or Senior Lectureship.

Appointments made in this way will be reported to the Senate and the Council.

Ordinance 38

APPOINTMENT OF LECTURERS

1. When the University Steering Group has resolved that a Lectureship be established in a Department and does not constrain the appointment to be temporary, the following procedure shall be followed:
 - (a) the Vice-Chancellor shall normally appoint the relevant Faculty Executive Dean as Chair of a Selection Committee, and shall appoint to membership of that Committee the Head of the Department to which the post is allocated, and, on the nomination of the Chair of the Selection Committee, up to five other members of whom no member of the Academic Staff shall be appointed who has not been granted permanency of office and of whom at least one shall be a Professor, Reader or Senior Lecturer in a Department different from that to which the post is allocated. If the appointed Chair is unable to take the chair, a Pro-Vice-Chancellor or an Executive Dean shall do so;
 - (b) The vacancy shall be publicly advertised, subject to any constraints laid down by the University Steering Group.
 - (c) The Selection Committee alone shall have the power to resolve the appointment of a person to the vacant Lectureship. The Selection Committee shall report to the Senate and the Council the name of the person appointed to the vacant Lectureship.
 - (d) The Vice-Chancellor may delegate his or her powers as set out in paragraph (a) above to an Executive Dean.
2. The University Steering Group may delegate its powers set out in paragraph 1 to an Executive Dean.

Ordinance 39

FUNCTIONS OF THE ACADEMIC STAFF

1. The Academic Staff shall perform such functions as may from time to time be defined by the Senate, in accordance with the Charter and these Ordinances.
2. It shall be the duty of Professors, Readers, Senior Lecturers, or Lecturers to devote themselves to the advancement of knowledge in their subjects. It shall be the duty of all

Academic Staff to give instruction in their subjects to students of the University and to take part in examining, and generally to promote the function of the University as a centre of learning and education. It shall also be their duty to undertake such functions of teaching and administration as may reasonably be assigned to them by the Head of the Department to which their posts are allocated.

3. Professors, Readers and Senior Lecturers shall, provided that they hold permanently established posts in the University, normally be appointed with permanency of office (subject to the provisions of the Ordinances as to termination). Lecturers who have held a permanent appointment in a teaching post in a university may, provided that they hold permanently established posts in the University, be appointed with permanency of office (subject to the provisions of the Ordinances as to termination). Lecturers who are appointed without having held a permanent appointment in a teaching post in a university shall normally be appointed subject to confirmation after a probationary period of three years, and if this appointment as Lecturer is confirmed they shall then have permanency of office (subject to the provisions of the Ordinances as to termination).
4. Decisions as to confirmation of an appointment which has been made with a view to permanency are made by Academic Staffing Committee. The Committee may make a decision on confirmation at any point prior to the end of the probationary period and the decision will be based on evidence that, having regard to his or her standing, experience and the opportunities which have been afforded to him or her, the member of Academic Staff under review has met the criteria laid down for probationary staff by the Committee.
5. Members of Academic Staff may undertake consultancy work in accordance with the terms of the University's Consultancy Policy, or other additional paid work with the permission of the Vice-Chancellor.

Ordinance 40

RESEARCH LEAVE AND LEAVE OF ABSENCE

This Ordinance applies only to those Academic Staff ('eligible Academic Staff') who have a contractual requirement to carry out research.

1. Each member of eligible Academic Staff employed as a Lecturer, Senior Lecturer, Reader or Professor has an entitlement to research leave. The fundamental purpose of research leave is to enable eligible Academic Staff to undertake research that

contributes to the University's mission and research strategy and to the further development of the individual as a scholar.

2. The entitlement is one term of research leave with full salary for each six terms' service as a member of the full time eligible permanent or probationary Academic Staff. Temporary Academic Staff are not entitled to research leave. However, if a member of the temporary Academic Staff becomes permanent or probationary, he or she can count his or her length of service as a member of the temporary Academic Staff for the purpose of accruing entitlement to research leave, provided that he or she is eligible for research leave. Part time Academic Staff who are eligible for research leave are entitled to research leave on a pro-rata basis. A term of research leave will start half-way between the end of the preceding term and the start of the term to be taken as research leave, and will end half-way between the end of the term taken as research leave and the start of the term in which duties re-commence. If more than one term of research leave is taken consecutively then the research leave will end half-way between the end of the final term and the start of the term in which duties re-commence.

For the purpose of accruing entitlement to research leave, time spent on maternity leave will count towards entitlement. Time spent on paid leave of absence (funded, for example, by a Research Council or academic foundation) which results in research that contributes to the University's mission will also count towards entitlement. Time spent on unpaid leave of absence, research leave or extended sick leave will not count towards entitlement. However, the Pro-Vice-Chancellor (Research) may agree to the counting of time spent on unpaid leave of absence or sick leave if this is clearly in the interests of the Department concerned.

3. Providing an eligible academic member of staff has accrued the necessary entitlement then research leave will be granted subject to the following conditions:
 - (a) that the work to be undertaken during a period of research leave is of benefit to the University, the Department and the individual member of staff;
 - (b) that the application has been signed by the Head of Department (and, if appropriate, Executive Dean) who will comment on whether he or she approves the application in relation to content and timing;
 - (c) that the research leave will be spent on the work specified in the application for leave;
 - (d) that the member of staff submits a report on the study undertaken at the end of the period of research leave which indicates how far the stated objectives of the research leave have been achieved. In

certain circumstances a member of staff may for good reason change his or her plans provided that a case has been made to his/her Head of Department.

- (e) A satisfactory report on the research undertaken during a period of research leave is required before further applications for research leave can be approved.
4. Application for research leave for a particular term or terms shall be made by the member of staff, using the appropriate form, at least two terms before the first day of the term from which research leave is requested.

The Pro-Vice-Chancellor (Research) will be responsible for approving research leave applications. If the Pro-Vice-Chancellor (Research) does not approve an application he or she will give the reason(s) to the individual.

5. During a period of research leave a member of Academic Staff shall not be required to undertake teaching or administrative duties.
6. Members of staff are reminded that the purpose of research leave is to enhance the research profile of the University and the individual. It is not intended to function as an indirect subsidy for the research output of other universities. For that reason special provisions apply where a member of staff tenders his/her resignation immediately before or during a period of research leave. Following discussions with the member of staff, the University reserves the right to withdraw approval of research leave at the point where a member of staff tenders his/her resignation. The options to be discussed with the member of staff will be:

- (a) To allow the member of staff to continue with his/her research leave where the planned programme of research is agreed to be in the interests of the University, the Department and the individual member of staff.
- (b) To terminate the research leave and require the member of staff to undertake such teaching, research and administrative duties as reasonably allocated by the Head of Department.
- (c) To waive the notice period in order to allow the member of staff to leave his/her employment with the University early and take up his/her new post.

In the event that neither (a), (b) nor (c) above are agreed and the member of staff does not return to work, the University will stop pay during what will then be deemed a period of unauthorised absence.

Ordinance 41

GRIEVANCE, DISCIPLINE, DISMISSAL AND RELATED MATTERS

Part I Construction, Application and Interpretation

Construction

1. This Ordinance or any Regulation made under this Ordinance shall be construed in every case to give effect to the following guiding principles, that is to say:
- (a) to ensure that Academic Staff have freedom within the law to question and test received wisdom, and to put forward new ideas and controversial or unpopular opinions, without placing themselves in jeopardy of losing their jobs or privileges;
- (b) to enable the University to provide education, promote learning and engage in research efficiently and economically; and
- (c) to apply the principles of justice and fairness.

Reasonableness of Decisions

2. No provision in Part II or Part III shall enable the body or person having the duty to reach a decision under the relevant Part to dismiss any member of the Academic Staff unless the reason for his or her dismissal may in the circumstances (including the size and administrative resources of the University) reasonably be treated as a sufficient reason for dismissing him or her.

Application

- 3.
- (1) This Ordinance shall apply:
- (a) to the persons defined as 'Academic Staff' in Ordinance 34;
- (b) to the Registrar and Secretary, University Librarian, Academic Staff and designated Senior Support Staff and
- (c) to the Vice-Chancellor to the extent and in the manner set out in the Annex to this Ordinance.
- (2) In this Ordinance any reference to 'Academic Staff' is a reference to persons to whom this Ordinance applies.

Interpretation - Meaning of 'dismissal'

- 4.
- In this Ordinance 'dismiss' and 'dismissal' mean dismissal of a member of the Academic Staff and:
- (a) include remove or, as the case may be, removal from office; and
- (b) in relation to employment under a contract, shall be construed in accordance with section 55 of the Employment Protection (Consolidation) Act 1978.

Meaning of 'good cause'

5.

(1) For the purposes of this Ordinance 'good cause' in relation to the dismissal or removal from office or place of a member of the Academic Staff, being in any case a reason which is related to conduct or to capability or qualifications for performing work of the kind which the member of the Academic Staff concerned was appointed or employed to do, means:

- (a) conviction for an offence which may be deemed by a Tribunal appointed under Part III to be such as to render the person convicted unfit for the execution of the duties of the office or employment as a member of the Academic Staff; or
- (b) conduct of an immoral, scandalous or disgraceful nature incompatible with the duties of the office or employment; or
- (c) conduct constituting failure or persistent refusal or neglect or inability to perform the duties or comply with the conditions of office; or
- (d) physical or mental incapacity established under Part IV.

(2) In this paragraph:

- (a) 'capability', in relation to such a member, means capability assessed by reference to skill, aptitude, health or any other physical or mental quality; and
- (b) 'qualifications', in relation to such a member, means any degree, diploma or other academic, technical or professional qualification relevant to the office or position held by that member.

Meaning of 'redundancy'

6.

For the purposes of this Ordinance dismissal shall be taken to be a dismissal by reason of redundancy if it is attributable wholly or mainly to:

- (a) the fact that the University has ceased, or intends to cease, to carry on the activity for the purposes of which the member of the Academic Staff concerned was appointed or employed by the University, or has ceased, or intends to cease, to carry on that activity in the place in which the member concerned worked; or
- (b) the fact that the requirements of that activity for members of the Academic Staff to carry out work of a particular kind, or for members of the Academic Staff to carry out work of a particular kind in that place,

have ceased or diminished or are expected to cease or diminish.

Incidental, Supplementary and Transitional Matters

7.

- (1) In any case of conflict, the provisions of this Ordinance shall prevail over those of any other Ordinances and Regulations. Provided that Part III of and the Annex to this Ordinance shall not apply in relation to anything done or omitted to be done before the date on which the instrument making these modifications was approved under subsection (9) of section 204 of the Education Reform Act 1988.
- (2) Nothing in any appointment made, or contract entered into, shall be construed as over-riding or excluding any provision made by this Ordinance concerning the dismissal of a member of the Academic Staff by reason of redundancy or for good cause: Provided that nothing in this sub-paragraph shall prevent waivers made under section 142 of the Employment Protection (Consolidation) Act 1978 from having effect.
- (3) Nothing in any Ordinance or Regulation made there under shall authorise or require any officer of the University to sit as a member of any Committee, Tribunal or body appointed under this Ordinance or to be present when any such Committee, Tribunal or body is meeting to arrive at its decision or for the purpose of discussing any point of procedure.
- (4) In this Ordinance references to numbered Parts, paragraphs, and sub-paragraphs are references to Parts, paragraphs, and sub-paragraphs so numbered in this Ordinance.

Part II Redundancy

Purpose of Part II

8.

This Part enables the Council, as the appropriate body, to dismiss any member of the Academic Staff by reason of redundancy.

Exclusion From Part II of Persons Appointed or Promoted Before 20 November 1987

9.

- (1) Nothing in this Part shall prejudice, alter or affect any rights, powers or duties of the University or apply in relation to a person unless:
 - (a) his or her appointment is made, or his or her contract of employment is entered into, on or after 20 November 1987; or
 - (b) he or she is promoted on or after that date.
- (2) For the purposes of this paragraph in relation to a person, a reference to an appointment made or a contract entered into on or after 20 November 1987 or to promotion on or after that date shall be construed in accordance with subsections (3) to (6) of section 204 of the Education Reform Act 1988.

The Appropriate Body

10.

- (1) The Council shall be the appropriate body for the purposes of this Part.
- (2) This paragraph applies where the appropriate body has decided that it is desirable that there should be a reduction in the Academic Staff:
 - (a) of the University as a whole; or
 - (b) of any school, department or other similar area of the University by way of redundancy.

11.

- (1) Where the appropriate body has reached a decision under paragraph 10(2) it shall appoint a Redundancy Committee to be constituted in accordance with sub-paragraph (3) of this paragraph to give effect to its decision by such date as it may specify and for that purpose:
 - (a) to select and recommend the requisite members of the Academic Staff for dismissal by reason of redundancy; and
 - (b) to report their recommendations to the appropriate body.
- (2) The appropriate body shall either approve any selection recommendation made under sub-paragraph (1), or shall remit it to the Redundancy Committee for further consideration in accordance with its further directions.
- (3) A Redundancy Committee appointed by the appropriate body shall comprise:
 - (a) a Chair; and
 - (b) two members of the Council, not being persons employed by the University; and
 - (c) two members of the Academic Staff nominated by the Senate.

Notices of Intended Dismissal

12.

- (1) Where the appropriate body has approved a selection recommendation made under paragraph 11(1) it may authorise an officer of the University as its delegate to dismiss any member of the Academic Staff so selected.
- (2) Each member of the Academic Staff selected shall be given separate notice of the selection approved by the appropriate body.
- (3) Each separate notice shall sufficiently identify the circumstances which have satisfied the appropriate body that the intended dismissal is reasonable and in particular shall include:
 - (a) a summary of the action taken by the appropriate body under this Part;

- (b) an account of the selection processes used by the Redundancy Committee;
- (c) a reference to the rights of the person notified to appeal against the notice and to the time within which any such appeal is to be lodged under Part V (Appeals); and
- (d) a statement as to when the intended dismissal is to take effect.

Part III Discipline, Dismissal and Removal from Office Disciplinary Procedures

13.

- (1) Minor faults shall be dealt with informally.
- (2) Where the matter is more serious but falls short of constituting possible good cause for dismissal the following procedure shall be used:

Stage 1 - Oral Warning

If conduct or performance does not meet acceptable standards the member of the Academic Staff will normally be given a formal ORAL WARNING. The member will be advised of the reason for the warning, that it is the first stage of the disciplinary procedure and of the right of appeal under this paragraph. A brief note of the oral warning will be kept but it will be spent after 12 months, subject to satisfactory conduct and performance.

Stage 2 - Written Warning

If the offence is a serious one, or if a further offence occurs, a WRITTEN WARNING will be given to the member of the Academic Staff by the Executive Dean. (For posts within Professional Services coming within the scope of this ordinance a written warning will be issued by the appropriate Head of Section). This will give details of the complaint, the improvement required and the timescale. It will warn that a complaint may be made to the Registrar and Secretary seeking the institution of charges to be heard by a Tribunal appointed under paragraph 16 if there is no satisfactory improvement and will advise of the right of appeal under this paragraph. A copy of this written warning will be kept but it will be disregarded for disciplinary purposes after two years subject to satisfactory conduct and performance.

Stage 3 - Appeals

A member of the Academic Staff who wishes to appeal against a disciplinary warning shall inform the Registrar and Secretary within two weeks. The appropriate Pro-Vice-Chancellor shall hear all such appeals and his or her decision shall be final.

Preliminary Examination of Serious Disciplinary Matters

14.

- (1) If there has been no satisfactory improvement following a written warning given under Stage 2 of the procedure in paragraph 13, or in any other case where it is alleged that conduct or performance may constitute good cause for

dismissal or removal from office, a complaint seeking the institution of charges to be heard by a Tribunal appointed under paragraph 16 may be made to the Registrar and Secretary who shall bring it to the attention of the Vice-Chancellor.

- (2) To enable the Vice-Chancellor to deal fairly with any complaint brought to his or her attention under sub-paragraph (1) he or she shall institute such investigations or enquiries (if any) as appear to him or her to be necessary.
- (3) If it appears to the Vice-Chancellor that a complaint brought to his or her attention under sub-paragraph (1) relates to conduct or performance which does not meet acceptable standards but for which no written warning has been given under paragraph 13 or which relates to a particular alleged infringement of rules, regulations or byelaws for which a standard penalty is normally imposed in the University or within the School, Department or other relevant area, or is trivial or invalid he or she may dismiss it summarily, or decide not to proceed further under this Part.
- (4) If the Vice-Chancellor does not dispose of a complaint under sub-paragraph (3) he or she shall treat the complaint as disclosing a sufficient reason for proceeding further under this Part and, if he or she sees fit, he or she may suspend the member on full pay pending a final decision.
- (5) Where the Vice-Chancellor proceeds further under this Part he or she shall write to the member of the Academic Staff concerned inviting comment in writing.
- (6) As soon as may be following receipt of the comments (if any) the Vice-Chancellor shall consider the matter in the light of all the material then available and may:
 - (a) dismiss it himself or herself; or
 - (b) refer it for consideration under paragraph 13; or
 - (c) deal with it informally himself or herself if it appears to the Vice-Chancellor appropriate to do so and if the member of the Academic Staff agrees in writing that the matter should be dealt with in that way; or
 - (d) direct the Registrar and Secretary to prefer a charge or charges to be considered by a Tribunal to be appointed under paragraph 16.
- (7) If no comment is received within 28 days the Vice-Chancellor may proceed as aforesaid as if the member concerned had denied the substance and validity of the alleged case in its entirety.

Institution of Charges

15.

- (1) In any case where the Vice-Chancellor has directed that a charge or charges be

preferred under paragraph 14(6)(d), he or she shall request the Council to appoint a Tribunal under paragraph 16 to hear the charge or charges and to determine whether the conduct or performance of the member of the Academic Staff concerned constitutes good cause for dismissal or otherwise constitutes a serious complaint relating to the member's appointment or employment.

- (2) Where the Council has been requested to appoint a Tribunal under paragraph 16 the Registrar and Secretary or, if he or she is unable to act, another officer appointed by the Vice-Chancellor shall take charge of the proceedings.
- (3) The officer in charge of the proceedings shall formulate, or arrange for the formulation of, the charge or charges and shall present, or arrange for the presentation of, the charge or charges before the Tribunal.
- (4) It shall be the duty of the officer in charge of the proceedings:
 - (a) to forward the charge or charges to the Tribunal and to the member of the Academic Staff concerned together with the other documents therein specified, and
 - (b) to make any necessary administrative arrangements for the summoning of witnesses, the production of documents and generally for the proper presentation of the case before the Tribunal.

The Tribunal

16.

A Tribunal appointed by the Council shall comprise:

- (a) a Chair; and
- (b) one member of the Council, not being a person employed by the University; and
- (c) one member of the Academic Staff nominated by the Senate.

Provisions Concerning Tribunal Procedure

17.

- (1) The procedure to be followed in respect of the preparation, hearing and determination of charges by a Tribunal shall be that set out in Ordinance made under this paragraph.
- (2) Without prejudice to the generality of the foregoing such Ordinances shall ensure:
 - (a) that the member of the Academic Staff concerned is entitled to be represented by another person, whether such person be legally qualified or not, in connection with and at any hearing of charges by a Tribunal;
 - (b) that a charge shall not be determined without an oral hearing at which the member of the Academic Staff concerned and any person appointed by him or her to represent him or her are entitled to be present;
 - (c) that the member of the Academic Staff and any person representing the staff member may call witnesses and may

- question witnesses upon the evidence on which the case against him or her is based; and
- (d) that full and sufficient provision is made:
- i. for postponements, adjournments, dismissal of the charge or charges for want of prosecution, remission of the charge or charges to the Vice-Chancellor for further consideration and for the correction of accidental errors; and
 - ii. for appropriate time limits for each stage (including the hearing) to the intent that any charge thereunder shall be heard and determined by a Tribunal as expeditiously as reasonably practicable.

Notification of Tribunal Decisions

18.

- (1) A Tribunal shall send its decision on any charge referred to it (together with its findings of fact and the reasons for its decision regarding that charge and its recommendations, if any, as to the appropriate penalty) to the Vice-Chancellor and to each party to the proceedings.
- (2) A Tribunal shall draw attention to the period of time within which any appeal should be made by ensuring that a copy of Part V (Appeals) accompanies each copy of its decision sent to a party to the proceedings under this paragraph.

Powers of the appropriate Officer where charges are upheld by Tribunal

19.

- (1) Where the charge or charges are upheld and the Tribunal finds good cause and recommends dismissal or removal from office, but in no other case, the appropriate officer shall decide whether or not to dismiss the member of the Academic Staff concerned.
- (2) In any case where the charge or charges are upheld, other than where the appropriate officer has decided under subparagraph (1) to dismiss the member of the Academic Staff concerned, the action available to the appropriate officer (not comprising a greater penalty than that recommended by the Tribunal) may be:
 - (a) to discuss the issues raised with the member concerned; or
 - (b) to advise the member concerned about his or her future conduct; or
 - (c) to warn the member concerned; or
 - (d) to suspend the member concerned for such period as the appropriate officer shall think fair and

- reasonable, not to exceed 3 months after the Tribunal's decision; or
- (e) any combination of any of the above or such further or other action under the member's contract of employment or terms of appointment as appears fair and reasonable in all the circumstances of the case.

Appropriate Officers

20.

- (1) The Vice-Chancellor shall be the appropriate officer to exercise the powers conferred by paragraph 19 and any reference to the appropriate officer includes a reference to a delegate of that officer.
- (2) Any action taken by the appropriate officer shall be confirmed in writing.

Part IV Removal for Incapacity on Medical Grounds

21.

- (1) This Part makes separate provision for the assessment of incapacity on medical grounds as a good cause for dismissal or removal from office.
- (2) In this Part references to medical grounds are references to capability assessed by reference to health or any other physical or mental quality.
- (3) In this Part references to the appropriate officer are references to the Vice-Chancellor or an officer acting as his or her delegate to perform the relevant act.
- (4) References to the member of the Academic Staff include, in cases where the nature of the alleged disability so requires, a responsible relative or friend in addition to (or instead of) that member.

22.

- (1) Where it appears that the removal of a member of the Academic Staff on medical grounds would be justified, the appropriate officer:
 - (a) shall inform the member accordingly; and
 - (b) shall notify the member in writing that it is proposed to make an application to the member's doctor for a medical report and shall seek the member's consent in writing in accordance with the requirements of the Access to Medical Reports Act 1988.
- (2) If the member shares that view the University shall meet the reasonable costs of any medical opinion required.
- (3) If the member does not share that view the appropriate officer shall refer the case in confidence, with any supporting medical and other evidence (including any medical evidence submitted by the member), to a Board comprising one person nominated by the Council; one person nominated by the member concerned or, in default of the

latter nomination, by the Senate; and a medically qualified Chair jointly agreed by the Council and the member or, in default of agreement, to be nominated by the President of the Royal College of Physicians.

- (4) The Board may require the member concerned to undergo medical examination at the University's expense.

Termination of Employment

23.

If the Board determines that the member shall be required to retire on medical grounds, the appropriate officer shall direct the Registrar and Secretary or his or her delegate to terminate the employment of the member concerned on those medical grounds.

Part V Appeals

Purpose of Part V

24.

This Part establishes procedures for hearing and determining appeals by members of the Academic Staff who are dismissed or under notice of dismissal or who are otherwise disciplined.

Application and Interpretation of Part V

25.

- (1) This Part applies:
 - (a) to appeals against the decisions of the Council as the appropriate body (or of a delegate of that body) to dismiss in the exercise of its powers under Part II;
 - (b) to appeals arising in any proceedings, or out of any decision reached, under Part III other than appeals under paragraph 13 (Appeals against disciplinary warnings);
 - (c) to appeals against dismissal otherwise than in pursuance of Part II or Part III;
 - (d) to appeals against discipline otherwise than in pursuance of Part III; and
 - (e) to appeals against decisions reached under Part IV and 'appeal' and 'appellant' shall be construed accordingly.
- (2) No appeal shall however lie against:
 - (a) a decision of the appropriate body under paragraph 10(2);
 - (b) the findings of fact of a Tribunal under paragraph 18(1) save where, with the consent of the person or persons hearing the appeal, fresh evidence is called on behalf of the appellant at that hearing;
 - (c) any finding by a Board set up under paragraph 22(3).
- (3) In this Part references to 'the person appointed' are references to the person appointed by the Council under paragraph

28 to hear and determine the relevant appeal.

- (4) The parties to an appeal shall be the appellant and the Registrar and Secretary and any other person added as a party at the direction of the person appointed.

Institution of Appeals

26.

A member of the Academic Staff shall institute an appeal by serving on the Registrar and Secretary, within the time allowed under paragraph 27, notice in writing setting out the grounds of the appeal.

Time for Appealing and Notices of Appeal

27.

- (1) A notice of appeal shall be served within 28 days of the date on which the document recording the decision appealed from was sent to the appellant or such longer period, if any, as the person appointed may determine under sub-paragraph (3).
- (2) The Registrar and Secretary shall bring any notice of appeal received (and the date when it was served) to the attention of the Council and shall inform the appellant that he or she has done so.
- (3) Where the notice of appeal was served on the Registrar and Secretary outside the 28 day period the person appointed under paragraph 28 shall not permit the appeal to proceed unless he or she considers that justice and fairness so require in the circumstances of the case.

Persons Appointed to Hear and Determine Appeals

28.

- (1) Where an appeal is instituted under this Part the Council shall appoint a person described in sub-paragraph (2) to hear and determine that appeal.
- (2) The persons described in this sub-paragraph are persons not employed by the University being persons holding, or having held, judicial office or being barristers or solicitors of at least ten years' standing.
- (3) The person appointed shall sit alone unless he or she considers that justice and fairness will best be served by sitting with two other persons.
- (4) The other persons who may sit with the person appointed shall be:
 - (a) one member of the Council not being a person employed by the University; and
 - (b) one member of the Academic Staff nominated by the Senate.

Provisions Concerning Appeal Procedures and Powers

29.

- (1) The procedure to be followed in respect of the preparation, consolidation, hearing and determination of appeals shall be that set out in paragraphs 2 and 3 below.
- (2) Without prejudice to the generality of the foregoing such Ordinances shall ensure:

- (a) that an appellant is entitled to be represented by another person, whether such person be legally qualified or not, in connection with and at any hearing of his or her appeal;
 - (b) that an appeal shall not be determined without an oral hearing at which the appellant, and any person appointed by him or her to represent him or her are entitled to be present and, with the consent of the person or persons hearing the appeal, to call witnesses;
 - (c) that full and sufficient provision is made for postponements, adjournments, dismissal of the appeal for want of prosecution and for the correction of accidental errors; and
 - (d) that the person appointed may set appropriate time limits for each stage (including the hearing itself) to the intent that any appeal shall be heard and determined as expeditiously as reasonably practicable.
- (3) The person or persons hearing the appeal may allow or dismiss an appeal in whole or in part and, without prejudice to the foregoing, may:
- (a) remit an appeal from a decision under Part II to the Council as the appropriate body (or any issue arising in the course of such an appeal) for further consideration as the person or persons hearing the appeal may direct; or
 - (b) remit an appeal arising under Part III for re-hearing by a differently constituted Tribunal to be appointed under that Part; or
 - (c) remit an appeal from a decision of the appropriate officer under Part IV for further consideration as the person or persons hearing the appeal may direct; or
 - (d) substitute any lesser alternative penalty that would have been open to the appropriate officer following the finding by the Tribunal which heard and pronounced upon the original charge or charges.

Notification of Decisions

30.

The person appointed shall send the reasoned decision, including any decision reached in exercise of his or her powers under paragraph 29(3)(a), (b) or (c), on any appeal together with any findings of fact different from those come to by the Council as the appropriate body under Part II or by the Tribunal under Part III, as the

case may be, to the Vice-Chancellor and to the parties to the appeal.

Part VI Grievance Procedures

Purpose of Part VI

31.

The aim of this Part is to settle or redress individual grievances promptly, fairly and so far as may be, within the school, department or other relevant area by methods acceptable to all parties.

Application

32.

The grievances to which this Part applies are ones by members of the Academic Staff concerning their appointments or employment where those grievances relate:

- (a) to matters affecting themselves as individuals; or
- (b) to matters affecting their personal dealings or relationships with other staff of the University, not being matters for which express provision is made elsewhere in this Ordinance.

Exclusion and Informal Procedures

33.

- (1) If other remedies within the school, department or other relevant area have been exhausted the member of the Academic Staff may raise the matter with the Head of the school, department or other relevant area.
- (2) If the member of the Academic Staff is dissatisfied with the result of an approach under sub-paragraph (1) or if the grievance directly concerns the Head of the school, department or other relevant area, the member may apply in writing to the Vice-Chancellor for redress of the grievance.
- (3) If it appears to the Vice-Chancellor that the matter has been finally determined under Part III, IV or V or that the grievance is trivial or invalid, he or she may dismiss it summarily, or take no action upon it. If it so appears to the Vice-Chancellor he or she shall inform the Council accordingly.
- (4) If the Vice-Chancellor is satisfied that the subject matter of the grievance could properly be considered with (or form the whole or any part of):
 - (a) a complaint under Part III;
 - (b) a determination under Part IV; or
 - (c) an appeal under Part V
 he or she shall defer action upon it under this Part until the relevant complaint, determination or appeal has been heard or the time for instituting it has passed and he or she shall notify the member and the Grievance Committee accordingly.
- (5) If the Vice-Chancellor does not reject the complaint under sub-paragraph (3) or if he or she does not defer action upon it under sub-paragraph (4) he or she shall decide whether it would be appropriate, having regard to the interests of justice and fairness, for him or her to seek to dispose of it informally. If he or she

so decides he or she shall notify the member and proceed accordingly.

Grievance Committee Procedure

34.

If the grievance has not been disposed of informally under paragraph 33(5), the Vice-Chancellor shall refer the matter to the Grievance Committee for consideration.

35.

The Grievance Committee to be appointed by the Council shall comprise:

- (a) a Chair; and
- (b) one member of the Council not being a person employed by the University; and
- (c) one member of the Academic Staff nominated by the Senate.

Procedure in Connection with Determinations; and Right to Representation

36.

The procedure in connection with the consideration and determination of grievances shall be determined in Ordinances in such a way as to ensure that the aggrieved person and any person against whom the grievance lies shall have the right to be heard at a hearing and to be accompanied by a friend or representative.

Notification of Decisions

37.

The Committee shall inform the Council whether the grievance is or is not well-found and if it is well-found the Committee shall make such proposals for the redress of the grievance as it sees fit.

Annex (See Paragraph 3(1)(c))

Provisions as to the Vice-Chancellor

1. The Council may request its Chair to remove the Vice-Chancellor from office for good cause in accordance with the procedure described in this Annex.

- (1) A complaint seeking the removal from office of the Vice-Chancellor for good cause may be made by not less than three members of the Council to the Chair of the Council.
- (2) If it appears to the Chair of the Council, on the material before him or her, that the complaint raises a *prima facie* case and that this could, if proved, constitute good cause for dismissal or removal from office he or she shall request the Council to appoint a Tribunal to hear and determine the matter.
- (3) If it appears to the Chair of the Council that a complaint made to him or her under sub-paragraph (1) does not raise a *prima facie* case or is trivial or invalid, he or she may recommend to the Council that no further action be taken upon it.
- (4) When the Council has appointed a Tribunal under sub-paragraph (2) it shall instruct a solicitor or other suitable

person to formulate a charge or charges and to present, or arrange for the presentation of, the charges before the Tribunal.

(5) A Tribunal appointed by the Council shall comprise:

- (a) an independent Chair; and
- (b) one member of the Council, not being a person employed by the University; and
- (c) one member of the Academic Staff.

(6) Subject to the principles of justice and fairness the Tribunal may determine its own procedure.

(7) The Tribunal shall send its reasoned decision on any charge referred to it together with its findings of fact regarding the charge and its recommendations, if any, as to the appropriate penalty to the Chair of the Council and to the Vice-Chancellor drawing attention to the period of time within which any appeal should be made.

(8) Persons appointed to hear such an appeal shall be persons independent of the University holding, or having held, judicial office or being barristers or solicitors of at least 10 years' standing and the person so appointed shall, subject to the principles of justice and fairness, determine the procedure to be adopted in hearing the appeal.

(9) A person appointed shall send the reasoned decision on the appeal, together with any findings of fact different from those come to by the Tribunal and his or her recommendations, if any, as to the appropriate penalty, to the Vice-Chancellor and to the Chair of the Council.

(10) Where a charge or charges have been upheld by the Tribunal and not dismissed on appeal, the Chair of the Council shall decide whether or not to dismiss the Vice-Chancellor.

2. Where a complaint is to be referred to a Tribunal under this Ordinance, the Chair of the Council may suspend the Vice-Chancellor from his or her duties and may exclude the Vice-Chancellor from the precincts of the University or any part thereof without loss of salary.

3. 'Good cause' in this Annex has the same meaning as in paragraph 5 of this Ordinance.

4. For the purpose of the removal of the Vice-Chancellor for incapacity on medical grounds, Part IV of this Ordinance shall have effect subject to the following modifications:

- (a) for references to a member of the Academic Staff there shall be substituted references to the Vice-Chancellor;
- (b) for any reference to the office of Vice-Chancellor there shall be substituted a

- reference to the office of Chair of the Council;
- (c) for paragraph 23 there shall be substituted: '23. If the Board determines that the Vice-Chancellor should be required to retire on medical grounds, it shall ask the Chair as the appropriate officer, to decide whether or not to terminate the appointment of the Vice-Chancellor on those medical grounds.'

Ordinance 42

RETIREMENT, RESIGNATION OR TERMINATION OF CONTRACT OF OFFICERS AND ACADEMIC STAFF

1. The members of the Academic Staff, the Vice-Chancellor, the Registrar and Secretary, and the holders of any other posts specified contractually for this purpose may retire from office on a date of their choosing subject to the provision of notice in accordance with paragraph 2.
2. The holder of any of the posts referred to in paragraph 1 shall be at liberty to resign his or her appointment and terminate his or her engagement with the Council on giving the Council in writing at least three calendar months' notice and shall include one complete full academic term, unless a longer period of notice is agreed mutually at the time of appointment.
3. The holder of any of the posts referred to in paragraph 1 whose employment with the University is to be terminated under Ordinance 41 shall be entitled to be given, in writing, three calendar months' notice.

Ordinance 43

REMOVAL OF OFFICERS AND MEMBERS

1. The Chancellor, the Pro-Chancellors, the Treasurer and any other member of the Council (other than an ex officio member or a member of the Academic Staff to whom Ordinance 41 applies) may be removed from their respective offices for good cause by the Council.
2. No person shall be removed from office by the Council unless he or she shall have been given a reasonable opportunity of being heard by the Council and of questioning the witnesses upon whose evidence the case against him or her is based.
3. 'Good cause' in this section means:
 - (a) conviction of an offence which may be deemed by the Council, as the case may be, to be such as to

- render the person convicted unfit for the execution of the duties of the office; or
- (b) conduct of an immoral, scandalous or disgraceful nature incompatible with the duties of the office; or
- (c) conduct constituting failure or persistent refusal or neglect or inability to perform the duties or comply with the conditions of office whether such failure results from physical or mental incapacity or otherwise.

Ordinance 44

EMERITUS PROFESSORS

A Professor, on retirement and in recognition of distinguished service to the University of Essex, may be granted by the Senate, the title Emeritus Professor. Emeritus Professors shall not have any specific duties or powers, but are expected to maintain contact with their School or Department and contribute, as appropriate and in so far as they are able, for the benefit of their School or Department and the University as a whole. As part of this association with the University, in accordance with Ordinance 20, Emeritus Professors shall be members of the Court.

Ordinance 45

EXTERNAL EXAMINERS

1. For every assessment for an award of the University there shall be at least one External Examiner.
2. **Taught provision**
An External Examiner may be appointed as an 'Award External Examiner' or a 'Module External Examiner'. No External Examiner shall be a current member of staff or shall have been a member of staff within the previous five years, either of the University of Essex or of a partner institution delivering programmes of study leading to a degree of the University. The Senate may designate awards of the University other than degrees, for which External Examiners should be appointed.
3. External Examiners shall be appointed for a period of four years by the Chair of the relevant Faculty or Partnerships Education Committee or nominee appointed by the Chair, under powers delegated by the Senate, receiving nominations from the Departments or partner institutions concerned. Appointments may be terminated with two months' notice by either party. External Examiners for taught degree courses may not normally be re-appointed by the University for four years. Exceptional cases may require approval by the Pro-Vice-Chancellor (Education).
4. Decisions as to the classification of a degree and the conferment or not of a Bachelor's

degree shall normally require the consent of the appropriate Award External Examiner. If the External Examiner cannot consent, the decision requires the consent of a two-thirds majority of the examination board, including the Chair. The exception to this is when the board is suspended under Regulation 6.28.

5. **Research provision**

In any examination for a research degree of the University there shall be at least one External Examiner.

6. External Examiners for research degrees shall be appointed by the Chair of the relevant Faculty Education Committee or nominee appointed by the Chair under powers delegated by the Senate, receiving nominations from the Departments or partner institutions concerned.

7. No External Examiner for research provision shall be a current member of staff or shall have been a member of staff within the previous five years, either of the University of Essex or of a partner institution delivering programmes of study leading to a degree of the University. Exceptional cases may require approval by the Pro-Vice-Chancellor (Education).

Ordinance 46

BOARDS OF EXAMINERS

1. The Senate has responsibility to confer Degrees, Diplomas, Certificates and other awards of the University. As such, Senate is responsible for the system of academic governance whereby final decisions on the results for modules, progression, awards and degree classification for all students of the University are determined.

2. **Taught provision**

The Senate has delegated to Boards of Examiners the power to make the final decision on the results for modules, progression, awards and degree classification for all students of the University, provided that the Board of Examiners is properly constituted in accordance with the procedures approved by the Senate.

3. In circumstances in which Boards of Examiners are not able to be properly constituted in accordance with the procedures approved by Senate, or are unable for any other reason to exercise the powers delegated to them by the Senate, the Chair of that board (or where this is not possible the Secretary) after taking all reasonable steps to address the situation shall be responsible for drawing this matter to the attention of the Chair of Senate and requesting that this power should revert to the Senate. The Chair of Senate may

delegate authority to an alternative board of examiners which, provided it is properly constituted, can take forward the responsibilities subject to the normal powers outlined in (1) above.

4. **Research provision**

The Senate has delegated to the Chair of relevant Faculty Education Committee or nominee appointed by the Chair the power to make the final decision on the results for all research degrees following recommendations from the examiners.

Ordinance 47

REGULATIONS, POLICIES AND PROCEDURES

The Senate shall determine University regulations, policies and procedures for all programmes of study of the University. The Academic Registrar shall ensure that accurate and timely information is published to students. No change of substance shall be made to the regulations, policies and procedures pertaining to programmes of study of the University unless such change has been approved by the Senate and published by the Academic Registrar before the end of the academic year preceding that in which the change is to apply or, in exceptional circumstances, to be approved in each case by the Vice-Chancellor, on behalf of the Senate, before the first day of the period of study in which the change is to apply. Where changes in the law or obligations imposed upon the University by government require an in-year change in institutional regulations, policies or procedures then that change shall be enacted immediately, subject to approval by the Vice-Chancellor, and the Academic Registrar shall ensure the relevant information is published in a timely manner and shall report that change to the next meeting of the Senate.

Ordinance 48

YEAR AND TERM

1. In the Charter, Ordinances and Regulations 'year' shall be defined as twelve calendar months.
2. With reference to the report of the Auditors and the Accounts, 'year' shall be twelve calendar months from the first day of August, unless in a particular case the circumstances require otherwise.
3. With reference to the offices of Pro-Chancellor, Treasurer, Chair of the Council, Pro-Vice-Chancellor, Executive Dean or his/her deputy, Head of Department and all other academic offices, 'year' shall be twelve calendar months from the first day of August, unless in a particular case the circumstances require otherwise.
4. With reference to meetings of the Council and the Senate and their Boards and Committees,

and to the Annual Report, 'year' shall be twelve calendar months from the first day of August.

5. The period from the first day of the Autumn Term to the last day of September, both days included, shall be known as the 'academic year'.
6. There shall be three academic terms, being the Autumn Term, the Spring Term and the Summer Term, the dates of which shall be determined by the Senate.

Ordinance 49

USE OF THE UNIVERSITY SEAL

1. All deeds and documents requiring to be sealed by the University shall be sealed in the presence of two members of the Council, or of one member of the Council and the Registrar and Secretary or the Director of Finance, or of the Registrar and Secretary and the Director of Finance.
2. A Register shall be kept, listing all deeds and documents signed under seal. The Register shall be available for inspection by any member of the Council.

University Regulations

Academic Regulations

Regulations relating to Admission

1.1.

Admission denotes acceptance of a person to begin a programme of study leading to a degree of the University. A programme of study shall be taken to include a programme of study and research. Only persons who have been admitted may be permitted to begin a programme of study leading to an award of the University.

1.2.

Only persons who have satisfied the general entrance requirements of the University and the published entry requirements for the relevant programme of study may be admitted and permitted to register as a student of the University.

- (a) For admission to a Foundation Degree, the general entrance requirement is a minimum of five General Certificates of Secondary Education passes at grade C or above, and a pass in one Advanced Level General Certificate, or equivalent.
- (b) For admission to a Bachelors degree, the general entrance requirement is a minimum of five General Certificates of Secondary Education passes at grade C or above, including English, and a pass in two Advanced Level General Certificates of Education, or equivalent.
- (c) Equivalencies to the General Certificate of Secondary Education and the Advanced Level General Certificate of Education will be determined by the relevant Admissions Officer and are available on request.
- (d) For admission to a postgraduate taught or research degree, an applicant is deemed to have met the University's general entrance requirement by virtue of meeting the published entry requirements for the programme of study for which s/he has applied and, for research degrees, have met expectations at interview.

1.3.

Persons who have been offered a place in the University to begin a programme of study must register as required under the procedures approved from time to time by the University by the end of the period of twenty-eight days starting with the day on which their programme of study commences, normally the first day of the autumn term in the year in which their programme of study commences. If a person fails so to register, the offer of admission to the programme of study will lapse. It should be noted that, notwithstanding this Regulation, attendance is normally required on academic grounds from the first day of commencement of the programme of study. The

University reserves the right, on academic grounds, not to allow students to register after term has started.

1.4.

Whilst the University has no minimum or upper age limits on entry, those who will be under 18 years of age will be required to comply with University child protection procedures. Offers of admission will only be made to those applicants who will be under 18 years of age on entry who undertake to comply with the University's child protection procedures.

1.5.

A person who, after his/her acceptance for admission as a student, has been convicted of a criminal offence in a court of law or has been formally cautioned by a police officer, is required to notify the Head of Admissions immediately. Such cases will be referred to the Academic Registrar for consideration under the appropriate University procedures and the student concerned may be required to withdraw from or be refused admission to the University.

1.6.

The Head of a department shall be responsible for determining the entry requirements for individual programmes of study annually. Programme-specific entry requirements shall normally be published at least twelve months before the commencement of the relevant programme of study.

1.7.

The Head of a department or his/her nominee shall be responsible for determining an applicant's suitability for admission to an undergraduate or postgraduate taught programme of study in accordance with University admissions policy and published entry requirements for the relevant programme of study.

1.8.

An Executive Dean or his/her deputy¹ may declare applicants who do not satisfy the general entrance requirements and/or the published entry requirements for the relevant undergraduate or postgraduate taught programme of study suitable for admission, subject to their submitting satisfactory evidence of previous study and/or employment which demonstrates their capacity to pursue the relevant programme of study. The Executive Dean or his/her deputy may delegate this responsibility to a nominee.

¹ Where it is stated 'Executive Dean or his/her deputy' in most cases the deputy referred to will be the Deputy Dean. However, in the case of postgraduate research student matters this may be the Dean of Postgraduate Research and Education. This applies throughout these regulations.

1.9.

The Head of a department or his/her nominee shall be responsible for assessing the suitability for admission of an applicant for a research degree programme and for recommending the applicant's admission to the Executive Dean or his/her deputy for approval, in accordance with University admissions policy and published entry requirements for the relevant full or part-time research degree programme.

1.10.

A Head of department or his/her nominee may declare applicants eligible for selection for admission to a programme of study leading to the grant of credits, which may be accumulated towards an award of the University, if they submit satisfactory evidence of the capacity and attainments requisite to enable them to pursue the programme of study for which they are applying.

1.11.

Applicants for a programme of study not leading to a degree or other qualification awarded by the University (eg Exchange Students) must satisfy the relevant University officer of their fitness to enter upon the programme of study which they intend to undertake.

1.12.

Applicants who have evidence of previous relevant learning may be permitted to import credit towards a named University award, within the University's policy for the Accreditation of Prior Learning (APL) or Accreditation of Prior Experiential Learning (APEL).

1.13.

Applicants who are not native English speakers must meet the University's published entry requirements in respect of English language competence.

They may also be required, to undertake an assessment of proficiency in written and spoken English conducted at the University. On the basis of this assessment, students and their Departments will:

- (a) be advised that they should take English language support classes and that failure to do so could be detrimental to their studies and, in the event of failure, would be part of the evidence available to Examination Boards; or
- (b) be advised that attendance at English language support classes is not considered necessary.

Regulations relating to Programmes of Study

2.1

The University offers programmes of study leading to the following awards:

SUB HONOURS

Certificate in Education (*CertEd*)
Certificate of Continuing Education (*CertCE*)
Certificate of Higher Education (*CertHE*)
International Diploma (*IntlDip*)
Diploma of Higher Education (*DipHE*)
Foundation Degree in Arts (*FdA*)
Foundation Degree in Science (*FdSc*)

HONOURS DEGREE

Bachelor of Arts (*BA*)
Bachelor of Business Administration (*BBA*)
Bachelor of Engineering (*BEng*)
Bachelor of Laws (*LLB*)
Bachelor of Science (*BSc*)

INTEGRATED MASTERS

Master of Animal Therapy, Computer Science
Electronic Engineering, Engineering, Languages,
Marine Biology, Telecommunication Engineering
or in Veterinary Physiotherapy (Integrated)
(*MAnimTher*, *MEng*, *MLang*, *MMarBiol*, *MSci*,
MVetPhys)

TAUGHT POSTGRADUATE

Graduate Diploma (*Dip*)
Graduate Certificate (*Cert*)
Certificate in Management Studies (*CMS*)
Diploma in Management Studies (*DMS*)
Postgraduate Diploma (*PGDip*)
Postgraduate Certificate (*PGCert*)
Postgraduate Certificate in Education (*PGCE*)(*M*)
Professional Graduate Certificate in Education
(*PGCE*)
Master of Arts or Environmental Studies or Laws
or Science or Fine Arts (by coursework) (*MA*,
MEnv, *LLM*, *MSc MFA*)
Master of Business Administration (*MBA*)
Master of Fine Art (*MFA*)
Master of Research (*MRes*)

RESEARCH DEGREE

Master of Arts or Laws or Science by dissertation
(*MA*, *LLM* or *MSc by dissertation*)
Master of Philosophy (*MPhil*)
Master of Philosophy (MPhil Programme) (*MPhil
Prog*)
Doctor of Philosophy (*PhD*)
Doctor of Philosophy (Integrated) (*PhD Int*)
Doctor of Philosophy (Doctoral Programme) (*Doc
Prog*)
Professional Doctorate*
Doctor of Medicine (*MD*)
Doctor of Science (*DSc*)

*short codes for Professional Doctorates are subject-specific; see individual degrees in

Regulation 2.2. initialled with 'D' and the qualification title.

2.2.

Students may be admitted to the following programmes of study, listed by Departments, Centres and other units, which lead to awards conferred or granted by the University. Programmes of study may be available in more than one mode of study, for example, full-time, part-time and/or modular. In individual cases a student's programme of study may lead to an award being conferred or granted which is not listed below. The University reserves the right not to admit students to a programme of study in a particular academic year:

Biological Sciences, School of

HONOURS DEGREE

Biochemistry *BSc*
Biochemistry (Including Placement Year) *BSc*
Biochemistry (Including Year Abroad) *BSc*
Biological Sciences *BSc*
Biological Sciences (Including Placement Year) *BSc*
Biological Sciences (Including Year Abroad) *BSc*
Biomedical Science *BSc*
Biomedical Science (Including Placement Year) *BSc*
Biomedical Science (Including Year Abroad) *BSc*
Genetics *BSc*
Genetics (Including Placement Year) *BSc*
Genetics (Including Year Abroad) *BSc*
Marine Biology *BSc*
Marine Biology (Including Placement Year) *BSc*
Marine Biology (Including Year Abroad) *BSc*
Sports and Exercise Science *BSc*
Sports and Exercise Science (Including Placement Year) *BSc*
Sports and Exercise Science (Including Year Abroad) *BSc*
Sports Performance and Coaching *BSc*
Sports Performance and Coaching (Including Placement Year) *BSc*
Sports Performance and Coaching (Including Year Abroad) *BSc*

INTEGRATED MASTERS

Marine Biology *MMarBiol*

TAUGHT POSTGRADUATE

Biotechnology *MSc*
Cancer Biology *MSc*
Medical Microbiology *MSc PGDip PGCert*
Molecular Medicine *MSc*
Tropical Marine Biology *MSc*

RESEARCH DEGREE

Biochemistry *PhD DSc MPhil MSc by dissertation*
Biological Sciences *PhD MPhil MSc by dissertation*
Biological Sciences: Immunology *PhD MPhil MSc by dissertation*
Cell and Molecular Biology *PhD MPhil MSc by dissertation*
Chemical Biology *PhD MPhil MSc by dissertation*
Environmental Biology *PhD MPhil MSc by dissertation*
Environmental Governance *PhD MPhil*
Environmental Sciences *PhD MPhil MSc by dissertation*
Marine Biology *PhD MPhil MSc by dissertation*
Medicine *MD*
Microbiology *PhD MPhil MSc by dissertation*
Molecular Medicine *PhD MPhil MSc by dissertation*
Molecular Medicine: Medical Microbiology *PhD MPhil MSc by dissertation*
Plant Biology *PhD MPhil*
Sport and Exercise Medicine *PhD MPhil MSc by dissertation*
Sport and Exercise Psychology *PhD MPhil MSc by dissertation*
Sport and Exercise Science *PhD MPhil MSc by dissertation*

Computer Science and Electronic Engineering, School of

HONOURS DEGREE

Computer Games *BSc*
Computer Games (Including Placement Year) *BSc*
Computer Games (Including Year Abroad) *BSc*
Computer Networks *BEng*
Computer Networks (Including Placement Year) *BEng*
Computer Networks (Including Year Abroad) *BEng*
Computer Science *BSc*
Computer Science (Including Placement Year) *BSc*
Computer Science (Including Year Abroad) *BSc*
Computer Systems Engineering *BEng*
Computer Systems Engineering (Including Placement Year) *BEng*
Computer Systems Engineering (Including Year Abroad) *BEng*
Computers with Electronics *BEng*
Computers with Electronics (Including Placement Year) *BEng*
Computers with Electronics (Including Year Abroad) *BEng*
Data Science and Analytics *BSc*
Data Science and Analytics (Including Placement Year) *BSc*

Data Science and Analytics (Including Year Abroad) *BSc*
Digital & Technology Solutions (Software Engineering) *BSc*
Electronic Engineering *BEng*
Electronic Engineering (Degree Apprenticeship route) *BEng*
Electronic Engineering (Including Placement Year) *BEng*
Electronic Engineering (Including Year Abroad) *BEng*
Information and Communication Technology *BSc*
Information and Communication Technology (Including Placement Year) *BSc*
Information and Communication Technology (Including Year Abroad) *BSc*
Robotic Engineering *BEng*
Robotic Engineering (Including Placement Year) *BEng*
Robotic Engineering (Including Year Abroad) *BEng*
Telecommunication Engineering *BEng*
Telecommunication Engineering (Including Placement Year) *BEng*
Telecommunication Engineering (Including Year Abroad) *BEng*

INTEGRATED MASTERS

Computer Science (Including Placement Year) *MSci*
Electronic Engineering *MEng*
Electronic Engineering (Including Placement Year) *MEng*
Telecommunication Engineering *MEng*

TAUGHT POSTGRADUATE

Advanced Communications Systems *MSc*
Advanced Computer Science *MSc*
Advanced Web Engineering *MSc*
Algorithmic Trading *MSc*
Artificial Intelligence *MSc*
Big Data and Text Analytics *MSc*
Cloud Computing *MSc*
Computational Economics *MSc*
Computational Finance *MSc*
Computer Engineering *MSc*
Computer Games *MSc*
Computer Networks and Security *MSc*
Electronic Engineering *MSc*
Embedded Systems *MSc*
Financial Computing *MSc*
Intelligent Systems and Robotics *MSc*

RESEARCH DEGREE

Applied Physics *PhD MPhil MSc by dissertation*
Computational Economics *PhD MPhil*
Computational Finance *PhD MPhil MSc by dissertation*
Computing and Electronic Systems *PhD MPhil MSc by dissertation*

Computer Science *PhD PhD (Int) MPhil MSc by dissertation*
Electronic Systems Engineering *PhD MPhil MSc by dissertation*
Intelligent Games and Game Intelligence *PhD MPhil*

East 15

SUB HONOURS

Theatre Arts *CerTHE*

HONOURS DEGREE

Acting *BA*
Acting (International) *BA*
Acting and Community Theatre *BA*
Acting and Contemporary Theatre *BA*
Acting and Stage Combat *BA*
Creative Industry Management *BA*
Physical Theatre *BA*
Stage and Production Management *BA*
Theatre Arts *BA*
World Performance *BA*

TAUGHT POSTGRADUATE

Acting *MA MFA*
Acting (International) *MA MFA*
Theatre Directing *MA MFA*

Economics

HONOURS DEGREE

Economic Analysis for Public Policy *BA*
Economic Analysis for Public Policy (Including Placement Year) *BA*
Economic Analysis for Public Policy (Including Year Abroad) *BA*
Economics *BA BSc*
Economics (Including Placement Year) *BA BSc*
Economics (Including Year Abroad) *BA BSc*
Economics with French *BA*
Economics with French (Including Year Abroad) *BA*
Economics with German *BA*
Economics with German (Including Year Abroad) *BA*
Economics with Italian *BA*
Economics with Italian (Including Year Abroad) *BA*
Economics with Mathematics *BSc*
Economics with Mathematics (Including Year Abroad) *BSc*
Economics with Portuguese *BA*
Economics with Portuguese (Including Year Abroad) *BA*
Economics with Spanish *BA*
Economics with Spanish (Including Year Abroad) *BA*
Financial Economics *BA BSc*
Financial Economics (4 Years Including Foundation Year) *BA BSc*
Financial Economics and Accounting *BA*
Financial Economics and Accounting (Including Year Abroad) *BA*

Financial Economics (Including Year Abroad) *BA BSc*
Financial Economics (Including Placement Year) *BA BSc*
History and Economics *BA*
History and Economics (Including Year Abroad) *BA*
International Economics *BA BSc*
International Economics (Including Placement Year) *BA BSc*
International Economics (Including Year Abroad) *BA BSc*
Management Economics *BA BSc*
Management Economics (Including Placement Year) *BA BSc*
Management Economics (Including Year Abroad) *BA BSc*

TAUGHT POSTGRADUATE

Accounting and Financial Economics *MSc*
Applied Economics and Data Analysis *MSc*
Behavioural Economics *MSc*
Computational Economics, Financial Markets and Policy *MSc*
Economics *MA MSc MRes Dip*
Economics and Econometrics *MSc*
Financial and Business Economics *MSc*
Financial Econometrics *MSc*
Financial Economics *MSc*
Financial Economics and Econometrics *MSc*
International Economics *MSc*
Management Economics *MSc*
Money and Banking *MSc*

RESEARCH DEGREE

Economics *PhD PhD (Int) Doc Prog MPhil MSc by dissertation*

Essex Business School

HONOURS DEGREE

Accounting *BSc*
Accounting (Including Placement Year) *BSc*
Accounting (Including Year Abroad) *BSc*
Accounting and Finance *BSc*
Accounting and Finance (Including Placement Year) *BSc*
Accounting and Finance (Including Year Abroad) *BSc*
Accounting and Management *BSc*
Accounting and Management (Including Placement Year) *BSc*
Accounting and Management (Including Year Abroad) *BSc*
Accounting with Economics *BSc*
Accounting with Economics (Including Placement Year) *BSc*
Accounting with Economics (Including Year Abroad) *BSc*
Banking and Finance *BSc*
Banking and Finance (Including Placement Year) *BSc*
Banking and Finance (Including Year Abroad) *BSc*

Banking and Finance with a Modern Language *BSc*
 Banking, Finance and Modern Languages *BSc*
 Business Administration *BBA*
 Business Administration (Including Placement Year) *BBA*
 Business Administration (Including Year Abroad) *BBA*
 Business Management *BSc*
 Business Management (Including Placement Year) *BSc*
 Business Management (Including Year Abroad) *BSc*
 Business Management and Modern Languages *BA*
 Business Management with a Modern Language *BA*
 Economic Analysis for Public Policy *BA*
 Economic Analysis for Public Policy (Including Placement Year) *BA*
 Finance *BSc*
 Finance and Modern Languages *BSc*
 Finance (Including Placement Year) *BSc*
 Finance (Including Year Abroad) *BSc*
 Finance with a Modern Language *BSc*
 Finance with Mandarin *BSc*
 Financial Management (Including Placement Year) *BSc*
 Financial Management *BSc*
 Financial Management (Including Year Abroad) *BSc*
 International Business and Enterprise Development *BSc*
 International Business and Enterprise Development (Including Placement Year) *BSc*
 International Business and Enterprise Development (Including Year Abroad) *BSc*
 Management and Marketing *BSc*
 Management and Marketing (Including Placement Year) *BSc*
 Management and Marketing (Including Year Abroad) *BSc*
 Management with Mandarin *BSc*
 Marketing *BSc*
 Marketing (Including Placement Year) *BSc*
 Marketing (Including Year Abroad) *BSc*

TAUGHT POSTGRADUATE

Accounting *MSc MRes*
 Accounting and Finance *MSc*
 Accounting and Financial Management *MSc*
 Accounting and Management *MSc*
 Banking and Finance *MSc*
 Business Administration *MBA*
 Business Analytics *MSc*
 Business Strategies in a Global Environment *MSc*
 Entrepreneurship and Innovation *MSc*
 Finance *MSc*
 Finance and Data Analytics *MSc*
 Finance and Global Trading *MSc*

Finance and Investment *MSc*
 Finance and Management *MSc*
 Financial Engineering and Risk Management *MSc*
 Global Project Management *MSc*
 Human Resource Management *MSc*
 International Accounting and Banking *MSc*
 International Business and Entrepreneurship *MSc*
 International Finance *MSc*
 International Management *MSc*
 International Marketing and Entrepreneurship *MSc*
 Management *MSc*
 Management and Organisation *MRes*
 Marketing and Brand Management *MSc*
 Marketing Management *MSc*
 Museum Management *MBA*
 New Venture Creation *PGCert*

RESEARCH DEGREE

Accounting *PhD PhD (Int) MPhil MSc by dissertation*
 Accounting and Finance *PhD Doc Prog MPhil MSc by dissertation*
 Business Administration *PhD MPhil*
 Business Analytics *PhD MPhil*
 Entrepreneurship *PhD MPhil*
 Finance *PhD PhD (Int) Doc Prog MPhil MSc by dissertation*
 Management *PhD (Int)*
 Management Studies *PhD Doc Prog MPhil*

Government

HONOURS DEGREE

Economics and Politics *BA*
 Economics and Politics (Including Placement Year) *BA*
 Economics and Politics (Including Year Abroad) *BA*
 Elections, Public Opinion and Parties *BA*
 Elections, Public Opinion and Parties (Including Placement Year) *BA*
 Elections, Public Opinion and Parties (Including Six-Month Placement) *BA*
 Elections, Public Opinion and Parties (Including Year Abroad) *BA*
 International Relations *BA*
 International Relations (Including Placement Year) *BA*
 International Relations (Including Six-Month Placement) *BA*
 International Relations (Including Year Abroad) *BA*
 Philosophy, Politics and Economics *BA*
 Philosophy, Politics and Economics (Including Placement Year) *BA*
 Philosophy, Politics and Economics (Including Year Abroad) *BA*

Political Economics (Including Placement Year) *BA*
 Political Economics (Including Six-Month Placement) *BA*
 Political Economics *BA*
 Political Economics (Including Placement Year) *BA*
 Political Economics (Including Year Abroad) *BA*
 Political Theory and Public Policy *BA*
 Political Theory and Public Policy (Including Placement Year) *BA*
 Political Theory and Public Policy (Including Six-Month Placement) *BA*
 Political Theory and Public Policy (Including Year Abroad) *BA*
 Politics *BA*
 Politics (Including Placement Year) *BA*
 Politics (Including Six-Month Placement) *BA*
 Politics (Including Year Abroad) *BA*
 Politics with Human Rights *BA*
 Politics with Human Rights (Including Placement Year) *BA*
 Politics with Human Rights (Including Year Abroad) *BA*

TAUGHT POSTGRADUATE

Conflict Resolution *MA MSc*
 Global and Comparative Politics *MA MSc*
 Ideology and Discourse Analysis *MA*
 International Relations *MA MSc MRes*
 Multilevel Governance in Europe *MA MSc*
 Political Economy *MA MSc MRes*
 Political Science *MA MSc MRes*
 Political Theory *MA*
 Politics *MA Dip*
 Politics (With English for Academic Purposes) *MA*
 Political Science *MRes*
 Public Opinion and Political Behaviour *MA MSc*
 Social Science Data Analysis *MA*

RESEARCH DEGREE

European Politics *Doc Prog MPhil*
 Government *PhD MPhil MA by dissertation*
 Ideology and Discourse Analysis *PhD MPhil Doc Prog*
 International Relations *Doc Prog*
 Political Behaviour *Doc Prog MPhil*
 Political Economy *Doc Prog MPhil*
 Political Theory *Doc Prog MPhil*
 Politics *Doc Prog MPhil*

Health and Human Sciences, School of

SUB HONOURS

Health Science (Care of Adult) *FdSc*
 Health Science (Mental Health) *FdSc*
 Oral Health Science *FdSc*
 Therapeutic Foster Care *CertCE*

HONOURS DEGREE

Approaches to Working Therapeutically with Children, Young People and Families *PGCert*

Health Care *BSc*
 Health Care Practice *BSc*
 Leading and Managing for Change *PGCert*
 Nursing (Adult) *BSc*
 Nursing (Adult) Work Based Learning *BSc*
 Nursing (Mental Health) *BSc*
 Nursing (Mental Health) Work Based Learning *BSc*
 Occupational Therapy *BSc*
 Oral Health Science *BSc*
 Physiotherapy *BSc*
 Practice Education *PGCert*
 Professional Practice *MA*
 Social Work *BA*
 Sports Therapy *BSc*
 Sports Therapy (Including Placement Year) *BSc*
 Sports Therapy (Including Year Abroad) *BSc*

TAUGHT POSTGRADUATE

Advanced Clinical Practice *MSc PGDip*
 Advanced Clinical Practice (Long Term Conditions) *MSc PGDip*
 Advanced Musculoskeletal Assessment (Imaging) *MSc PGDip PGCert*
 Advanced Musculoskeletal Assessment and Practice *MSc PGDip PGCert*
 Advanced Periodontal Practice *MSc PGDip*
 Health Care Management *MSc PGDip PGCert*
 Health Care Practice *MSc PGDip PGCert*
 Health and Organisational Research *MA*
 Health Research *MSc*
 Medical and Clinical Education *MSc PGDip PGCert*
 Nursing (Adult) (pre-registration) *MSc*
 Nursing (Mental Health) (pre-registration) *MSc*
 Occupational Therapy (pre-registration) *MSc*
 Periodontology *MSc PGDip*
 Physiotherapy (pre-registration) *MSc*
 Primary Health Care (Advanced Clinical Practice) *MSc PGDip PGCert*
 Professional Practice (Health Care) *MSc*
 Psychological Well-being Practitioner (Low Intensity) *PGCert Cert*
 Speech and Language Therapy (pre-registration) *MSc*
 Ultrasound Guided Musculoskeletal Interventions *PGCert*

RESEARCH DEGREE

Applied Psychology *PhD MPhil Doctorate* (title to be confirmed)
 Health and Organisational Research *PhD MPhil*
 Health Care Education *Doctorate* (title to be confirmed)
 Health Service Management *DHealthServiceMan*
 Health Studies *PhD MPhil MSc by dissertation*
 Nursing *DNursing*
 Nursing Studies *PhD MPhil MSc by dissertation*
 Occupational Therapy *PhD MPhil MSc by dissertation Doctorate* (title to be confirmed)
 Physiotherapy *DPhysiotherapy PhD MPhil*
 Public Health *PhD MPhil MSc by dissertation*
 Public Health (Health Visiting) *DPublicHealth(HealthVisiting)*

Social Care Education *DSocialCareEducation*
Social Care Practice Management
DSocCarePractice
Social Policy *PhD MPhil MSc by dissertation*
Social Services Management
DSocialServicesManagement
Speech and Language Therapy
DSpeechLanguageTherapy

History

SUB HONOURS

Local Historical Studies *CertCE*
Local Historical Studies (Family and Community
History) *CertCE*

HONOURS DEGREE

American History *BA*
American History (Including Placement Year) *BA*
American History (Including Year Abroad) *BA*
Global History *BA*
Global History (Including Placement Year) *BA*
Global History (Including Year Abroad) *BA*
History *BA*
History (Including Placement Year) *BA*
History (Including Year Abroad) *BA*
History and Criminology *BA*
History and Criminology (Including Placement
Abroad) *BA*
History and Criminology (Including Year Abroad)
BA
History and Film Studies *BA*
History and Film Studies (Including Placement
Year) *BA*
History and Film Studies (Including Year Abroad)
BA
History and Literature *BA*
History and Literature (Including Placement Year)
BA
History and Literature (Including Year Abroad) *BA*
History and Sociology *BA*
History and Sociology (Including Placement Year)
BA
History and Sociology (Including Year Abroad) *BA*
History with Film Studies *BA*
History with Film Studies (Including Placement
Year) *BA*
History with Film Studies (Including Year Abroad)
BA
History with Human Rights *BA*
History with Human Rights (Including Placement
Year) *BA*
History with Human Rights (Including Year
Abroad) *BA*
History with Modern Languages *BA*
Modern History *BA*
Modern History (Including Placement Year) *BA*
Modern History (Including Year Abroad) *BA*
Modern History and International Relations *BA*

Modern History and International Relations
(Including Placement Year) *BA*
Modern History and International Relations
(Including Year Abroad) *BA*
Modern History and Politics *BA*
Modern History and Politics (Including Placement
Year) *BA*
Modern History and Politics (Including Year
Abroad) *BA*
Social and Cultural History *BA*
Social and Cultural History (Including Placement
Year) *BA*
Social and Cultural History (Including Year
Abroad) *BA*

TAUGHT POSTGRADUATE

History *MA PGCert*

RESEARCH DEGREE

History *PhD MPhil MA by dissertation*

Human Resources – Learning and Development

TAUGHT POSTGRADUATE

Higher Education Practice *PGCert*

Human Rights Centre

HONOURS DEGREE

Human Rights *BA*

TAUGHT POSTGRADUATE

Human Rights and Cultural Diversity *MA*
Theory and Practice of Human Rights *MA*

RESEARCH DEGREE

Health and Organisational Research *PhD MPhil*
Human Rights *PhD*

Institute for Social and Economic Research

RESEARCH DEGREE

Applied Social and Economic Research *PhD*
MPhil
Economics *PhD MPhil*
Health Research *PhD MPhil*
Sociology *PhD MPhil*
Survey Methodology *PhD MPhil*

Interdisciplinary Studies Centre

HONOURS DEGREE

American Studies (United States) *BA*
American Studies (United States) (Including Year
Abroad) *BA*
American Studies (United States)with Film *BA*
American Studies (United States)with Film
(Including Year Abroad) *BA*
Criminology and American Studies *BA*
Criminology and American Studies (Including
Year Abroad) *BA*

European Studies *BA*
 European Studies and Modern Languages *BA*
 European Studies with French *BA*
 European Studies with German *BA*
 European Studies with Italian *BA*
 European Studies with Politics *BA*
 European Studies with Spanish *BA*
 Global Studies *BA*
 Global Studies (Including Year Abroad) *BA*
 Latin American Studies *BA*
 Latin American Studies (Including Year Abroad) *BA*
 Latin American Studies with Business Management *BA*
 Latin American Studies with Human Rights *BA*
 Liberal Arts *BA*
 Liberal Arts (Including Year Abroad) *BA*

Essex Pathways Department

SUB HONOURS

Business (Accounting, Finance and Management) *IntlDip*
 International Year One in Business *CertHE*

HONOURS DEGREE

Accounting (4 years Including Foundation Year) *BSc*
 Accounting and Finance (4 years Including Foundation Year) *BSc*
 Accounting and Management (4 years Including Foundation Year) *BSc*
 Accounting with Economics (4 years Including Foundation Year) *BSc*
 Actuarial Science (4 years including Foundation Year) *BSc*
 American History (4 years Including Foundation Year) *BA*
 Art History (4 years Including Foundation Year) *BA*
 Business Management (4 years Including Foundation Year) *BSc*
 Communications and Digital Culture (4 years including Foundation Year) *BA*
 Computer Science (4 years Including Foundation Year) *BSc*
 Computers with Electronics (4 years Including Foundation Year) *BEng*
 Criminology (4 years Including Foundation Year) *BA*
 Data Science and Analytics (Including Foundation Year) *BSc*
 Economics (4 years including foundation year) *BA BSc*
 Electronic Engineering (4 years Including Foundation Year) *BEng*
 English Language and History (Including Foundation Year) *BA*
 English Language and Language Development (4 years including Foundation Year) *BA*
 English Language and Linguistics (4 years including Foundation Year) *BA*

English Language and Sociology (Including Foundation Year) *BA*
 English Language and Teaching English as a Foreign Language (Including Foundation Year) *BA*
 English Literature (4 years Including Foundation Year) *BA*
 Finance (4 years Including Foundation Year) *BSc*
 Financial Economics (4 years Including Foundation Year) *BA BSc*
 Financial Management (4 years Including Foundation Year) *BSc*
 French Studies and Modern Languages (Including Foundation Year) *BA*
 German Studies and Modern Languages (Including Foundation Year) *BA*
 History (4 years Including Foundation Year) *BA*
 History and Literature (4 years Including Foundation Year) *BA*
 Human Rights (4 years including Foundation Year) *BA*
 International Economics (4 years Including Foundation Year) *BA BSc*
 International Relations (4 years Including Foundation Year) *BA*
 International Relations and Modern Languages (Including Foundation Year) *BA*
 Italian Studies and Modern Languages (Including Foundation Year) *BA*
 Language Studies (Including Foundation Year) *BA*
 Law (4 years Including Foundation Year) *LLB*
 Liberal Arts (4 years Including Foundation Year) *BA*
 Linguistics (4 years including Foundation Year) *BA*
 Literature and Art History (4 years Including Foundation Year) *BA*
 Literature and Modern Languages (Including Foundation Year) *BA*
 Literature with Modern Languages (Including Foundation Year) *BA*
 Management Economics (4 years Including Foundation Year) *BA BSc*
 Mathematics (4 years including Foundation Year) *BSc*
 Modern History (4 years Including Foundation Year) *BA*
 Modern Languages (Including Foundation Year) *BA*
 Modern Languages and English Language (Including Foundation Year) *BA*
 Modern Languages and Linguistics (Including Foundation Year) *BA*
 Modern Languages and Teaching English as a Foreign Language (Including Foundation Year) *BA*
 Modern Language with Latin American Studies (Including Foundation Year) *BA*
 Philosophy (4 years Including Foundation Year) *BA*
 Philosophy and History (4 years Including Foundation Year) *BA*

Philosophy and Literature (4 years Including Foundation Year) *BA*
 Philosophy and Modern Languages (Including Foundation Year) *BA*
 Philosophy with Modern Languages (Including Foundation Year) *BA*
 Politics (4 years Including Foundation Year) *BA*
 Politics and Modern Languages (Including Foundation Year) *BA*
 Portuguese Studies and Modern Languages (Including Foundation Year) *BA*
 Sociology (4 years Including Foundation Year) *BA*
 Sociology and Criminology (4 years Including Foundation Year) *BA*
 Spanish Studies and Modern Languages (Including Foundation Year) *BA*
 Spanish Studies with Latin American Studies (Including Foundation Year) *BA*
 Spanish, Portuguese and Brazilian Studies (Including Foundation Year) *BA*
 Telecommunications Engineering (4 years Including Foundation Year) *BEng*

TAUGHT POSTGRADUATE

Accounting with English for Academic Purposes *Dip*
 Applied Linguistics with English for Academic Purposes *Dip*
 Art History with English for Academic Purposes *Dip*
 Computer Science with English for Academic Purposes *Dip*
 Economics with English for Academic Purposes *Dip*
 Electronic Engineering with English for Academic Purposes *Dip*
 Entrepreneurship and Innovation with English for Academic Purposes *Dip*
 European Union Commercial Law with English for Academic Purposes *Dip*
 Finance with English for Academic Purposes *Dip*
 History with English for Academic Purposes *Dip*
 International Trade Law with English for Academic Purposes *Dip*
 Management with English for Academic Purposes *Dip*
 Philosophy with English for Academic Purposes *Dip*
 Politics with English for Academic Purposes *Dip*
 Psychoanalytic Studies with English for Academic Purposes *Dip*

Language and Linguistics

SUB HONOURS

Modern Languages *CertCE CertHE DipHE*

HONOURS DEGREE

English Language and History *BA*
 English Language and History (Including Placement Year) *BA*

English Language and History (Including Year Abroad) *BA*
 English Language and Language Development *BA*
 English Language and Language Development (Including Placement Year) *BA*
 English Language and Language Development (Including Year Abroad) *BA*
 English Language and Linguistics *BA*
 English Language and Linguistics (Including Placement Year) *BA*
 English Language and Linguistics (Including Year Abroad) *BA*
 English Language and Literature *BA*
 English Language and Literature (Including Placement Year) *BA*
 English Language and Literature (Including Year Abroad) *BA*
 English Language and Sociology *BA*
 English Language and Sociology (Including Placement Year) *BA*
 English Language and Sociology (Including Year Abroad) *BA*
 English Language and Teaching English as a Foreign Language *BA*
 English Language and Teaching English as a Foreign Language (Including Placement Year) *BA*
 English Language and Teaching English as a Foreign Language (Including Year Abroad) *BA*
 French Studies and Modern Languages *BA*
 German Studies and Modern Languages *BA*
 International Relations and Modern Languages *BA*
 Italian Studies and Modern Languages *BA*
 Language Studies *BA*
 Linguistics *BA*
 Linguistics (Including Placement Year) *BA*
 Linguistics (Including Year Abroad) *BA*
 Literature and Modern Languages *BA*
 Literature with Modern Languages *BA*
 Modern Languages *BA*
 Modern Languages and English Language *BA*
 Modern Languages and Linguistics *BA*
 Modern Languages and Teaching English as a Foreign Language *BA*
 Modern Languages with Film Production *BA*
 Modern Languages with Latin American Studies *BA*
 Philosophy and Modern Languages *BA*
 Philosophy with Modern Languages *BA*
 Politics and Modern Languages *BA*
 Portuguese Studies and Modern Languages *BA*
 Spanish, Portuguese and Brazilian Studies *BA*
 Spanish Studies and Modern Languages *BA*
 Spanish Studies with Latin American Studies *BA*

INTEGRATED MASTERS

Modern Languages (Translation) *MLang*

TAUGHT POSTGRADUATE

Analysing Language Use *MRes*

Applied Linguistics *MA*
Business Translation and Interpreting (Chinese-English) *MA*
Chinese-English Translation and Interpreting *MA PGDip*
English Language and Linguistics *MA*
Experimental Linguistics *MRes*
Linguistic Studies *MA*
Linguistics *MA MRes*
Psycholinguistics *MA*
Sociolinguistics *MA*
Teaching English as a Foreign Language/English Teaching English to Speakers of Other Languages (TEFL/TESOL) *MA*
Translation and Literature *MA*
Translation and Professional Practice *MA*
Translation, Interpreting and Subtitling *MA*

RESEARCH DEGREE

Analysing Language Use *PhD MPhil*
Applied Linguistics *PhD PhD (Int) MPhil MA by dissertation*
English Language Teaching *PhD MPhil MA by dissertation*
Experimental Linguistics *PhD MPhil*
Linguistics *PhD PhD (Int) MPhil MA by dissertation*
Psycholinguistics *PhD MPhil MA by dissertation*
Sociolinguistics *PhD PhD (Int) MPhil MA by dissertation*

Law, School of

HONOURS DEGREE

English and French Law (Maitrise) *LLB*
Law *LLB*
Law (Including Placement Year) *LLB*
Law (Including Year Abroad) *LLB*
Law (Senior Status) *LLB*
Law and Human Rights *LLB*
Law and Philosophy *LLB*
Law and Politics *LLB*
Law with Business *LLB*
Law with Business (Including Placement Year) *LLB*
Law with Business (Including Year Abroad) *LLB*
Law with Human Rights *LLB*
Law with Human Rights (Including Placement Year) *LLB*
Law with Human Rights (Including Year Abroad) *LLB*
Law with Philosophy *LLB*
Law with Philosophy (Including Placement Year) *LLB*
Law with Philosophy (Including Year Abroad) *LLB*
Law with Politics *LLB*
Law with Politics (Including Placement Year) *LLB*
Law with Politics (Including Year Abroad) *LLB*

TAUGHT POSTGRADUATE

Economic, Social and Cultural Rights *LLM*
European Union Commercial Law *LLM*
International Commercial and Business Law *LLM*

International Human Rights and Humanitarian Law *LLM*
International Human Rights Law *LLM*
International Human Rights Law (Economic Relations) *LLM*
International Trade Law *LLM PGDip*

RESEARCH DEGREE

Human Rights and Research Methods *PhD MPhil*
Law *PhD MPhil LLM by dissertation*

Literature, Film, and Theatre Studies

HONOURS DEGREE

Creative Writing *BA*
Creative Writing (Including Placement Year) *BA*
Creative Writing (Including Year Abroad) *BA*
Drama *BA*
Drama (Including Placement Year) *BA*
Drama (Including Year Abroad) *BA*
Drama and Literature *BA*
Drama and Literature (Including Placement Year) *BA*
Drama and Literature (Including Year Abroad) *BA*
English and United States Literature *BA*
English and United States Literature (Including Placement Year) *BA*
English and United States Literature (Including Year Abroad) *BA*
English Literature *BA*
English Literature (Including Placement Year) *BA*
English Literature (Including Year Abroad) *BA*
Film and Creative Writing *BA*
Film and Creative Writing (Including Placement Year) *BA*
Film and Creative Writing (Including Year Abroad) *BA*
Film Studies *BA*
Film Studies (Including Placement Year) *BA*
Film Studies (Including Year Abroad) *BA*
Film Studies and Literature *BA*
Film Studies and Literature (Including Placement Year) *BA*
Film Studies and Literature (Including Year Abroad) *BA*
Literature and Creative Writing *BA*
Literature and Creative Writing (Including Placement Year) *BA*
Literature and Creative Writing (Including Year Abroad) *BA*
Literature and Sociology *BA*
Literature and Sociology (Including Placement Year) *BA*
Literature and Sociology (Including Year Abroad) *BA*
Multimedia Journalism *BA*
Multimedia Journalism (Including Placement Year) *BA*
Multimedia Journalism (Including Year Abroad) *BA*

TAUGHT POSTGRADUATE

American Literatures *MA*
Creative Writing *MA*
Film and Literature *MA*
Film Studies *MA*
Literature *MA*
Playwriting *MA*
Wild Writing: Literature, Landscape and the Environment *MA*

RESEARCH DEGREE

Creative Writing *PhD MPhil MA by dissertation*
Film Studies *PhD MPhil MA by dissertation*
Film Studies (Creative Practice) *PhD MPhil*
Literature *PhD MPhil MA by dissertation*
Theatre Studies *PhD MPhil MA by dissertation*
Theatre Studies (Playwriting) *PhD MPhil*

Mathematical Sciences

HONOURS DEGREE

Actuarial Science *BSc*
Actuarial Science (Including Placement Year) *BSc*
Actuarial Science (Including Year Abroad) *BSc*
Economics and Mathematics *BSc*
Economics and Mathematics (Including Year Abroad) *BSc*
Finance and Mathematics *BSc*
Finance and Mathematics (Including Year Abroad) *BSc*
Mathematics *BSc*
Mathematics (Including Placement Year) *BSc*
Mathematics (Including Year Abroad) *BSc*
Mathematics and Statistics *BSc*
Mathematics and Statistics (Including Placement Year) *BSc*
Mathematics with Computing *BSc*
Mathematics with Computing (Including Placement Year) *BSc*
Mathematics with Computing (Including Year Abroad) *BSc*
Mathematics with Physics *BSc*
Mathematics with Physics (Including Year Abroad) *BSc*

TAUGHT POSTGRADUATE

Data Science *MSc*
Discrete Mathematics and Its Applications *MSc PGDip*
Financial Decision Making with Applications *MSc PGDip*
Mathematics *Dip*
Mathematics and Finance *MSc PGDip*
Statistics *MSc PGDip*
Statistics and Econometrics *MSc PGDip*
Statistics and Operational Research *MSc PGDip*

RESEARCH DEGREE

Actuarial Science *PhD MPhil MSc by dissertation*

Applied Mathematics *PhD MPhil MSc by dissertation*
Bioinformatics *PhD MPhil*
Bio-Statistics *PhD MPhil MSc by dissertation*
Mathematical Biology *PhD MPhil MSc by dissertation*
Mathematical Sciences *PhD*
Mathematics *PhD MPhil MSc by dissertation*
Operational Research *PhD MPhil MSc by dissertation*
Pure Mathematics *PhD MPhil MSc by dissertation*
Statistics *PhD MPhil MSc by dissertation*

Philosophy and Art History

Art History @ Essex

HONOURS DEGREE

Art History *BA*
Art History (Including Year Abroad) *BA*
Art History and History *BA*
Art History and History (Including Year Abroad) *BA*
Art History and Modern Languages *BA*
Art History with Modern Languages *BA*
Curatorial Studies *BA*
Curatorial Studies (Including Year Abroad) *BA*
Film Studies and Art History *BA*
Film Studies and Art History (Including Year Abroad) *BA*
Literature and Art History *BA*
Literature and Art History (Including Year Abroad) *BA*
Philosophy and Art History *BA*
Philosophy and Art History (Including Year Abroad) *BA*

TAUGHT POSTGRADUATE

Art History and Theory *MA PGCert Dip*
Curating *MA*

RESEARCH DEGREE

Art History and Theory *PhD MPhil MA by dissertation*
Curating *PhD MPhil*

Philosophy @ Essex

HONOURS DEGREE

Philosophy *BA*
Philosophy (Including Year Abroad) *BA*
Philosophy and History *BA*
Philosophy and History (Including Year Abroad) *BA*
Philosophy and Law *BA*
Philosophy and Law (Including Year Abroad) *BA*
Philosophy and Literature *BA*
Philosophy and Literature (Including Year Abroad) *BA*
Philosophy and Politics *BA*

Philosophy and Politics (Including Year Abroad) *BA*
Philosophy and Sociology *BA*
Philosophy and Sociology (Including Year Abroad) *BA*
Philosophy, Religion and Ethics *BA*
Philosophy, Religion and Ethics (Including Year Abroad) *BA*
Philosophy with Human Rights *BA*
Philosophy with Human Rights (Including Year Abroad) *BA*

TAUGHT POSTGRADUATE

Philosophy *MA*

RESEARCH DEGREE

Philosophy *PhD MPhil MA by dissertation*

Psychoanalytic Studies, Centre for

SUB HONOURS

Therapeutic Communication and Therapeutic Organisations *FdA*

HONOURS DEGREE

Psychoanalytic Studies *BA*
Psychoanalytic Studies (Including Placement Year) *BA*
Therapeutic Care *BA*
Therapeutic Communication and Therapeutic Organisations *BA*

TAUGHT POSTGRADUATE

Jungian and Post-Jungian Studies *MA*
Management and Organisational Dynamics *MA PGDip*
Psychoanalytic Studies *MA*
Psychodynamic Counselling *MA Dip*
Refugee Care *MA*

RESEARCH DEGREE

Analytical Psychology *DAnPsych*
Psychoanalytic Psychotherapy *DPsychPsych*
Psychoanalytic Studies *PhD PhD (Int) Doc Prog MPhil MSc by dissertation*
Psychodynamic Psychotherapy *DPsychodynPsych*
Refugee Care *PhD PhD (Int) Doc Prog MPhil MA by dissertation*

Psychology

HONOURS DEGREE

Psychological Studies *BA*
Psychology *BA BSc*
Psychology (Including Placement Year) *BA BSc*
Psychology (Including Year Abroad) *BA BSc*
Psychology with Cognitive Neuroscience *BSc*
Psychology with Cognitive Neuroscience (Including Placement Year) *BSc*
Psychology with Cognitive Neuroscience (Including Year Abroad) *BSc*

TAUGHT POSTGRADUATE

Advanced Psychology *MSc*
Cognitive Neuropsychology *MSc*
Cognitive Neuroscience *MSc*
Language and the Brain *MSc*
Psychology *MSc*
Research Methods in Psychology *MSc*

RESEARCH DEGREE

Neuropsychology *PhD MPhil MSc by dissertation*
Psychology *PhD Doc Prog MPhil MSc by dissertation*

Sociology

HONOURS DEGREE

Communications and Digital Culture *BA*
Communications and Digital Culture (Including Placement Year) *BA*
Communications and Digital Culture (Including Year Abroad) *BA*
Criminology *BA*
Criminology (Including Placement Year) *BA*
Criminology (Including Year Abroad) *BA*
Criminology and the Media *BA*
Criminology and the Media (Including Placement Year) *BA*
Criminology and the Media (Including Year Abroad) *BA*
Criminology with Social Psychology *BA*
Criminology with Social Psychology (Including Placement Year) *BA*
Criminology with Social Psychology (Including Year Abroad) *BA*
Sociology *BA*
Sociology (Including Placement Year) *BA*
Sociology (Including Year Abroad) *BA*
Sociology and Criminology *BA*
Sociology and Criminology (Including Placement Year) *BA*
Sociology and Criminology (Including Year Abroad) *BA*
Sociology and Politics *BA*
Sociology and Politics (Including Placement Year) *BA*
Sociology and Politics (Including Year Abroad) *BA*
Sociology with Human Rights *BA*
Sociology with Human Rights (Including Placement Year) *BA*
Sociology with Human Rights (Including Year Abroad) *BA*
Sociology with Psychosocial Studies *BA*
Sociology with Psychosocial Studies (Including Placement Year) *BA*
Sociology with Psychosocial Studies (Including Year Abroad) *BA*
Sociology with Social Anthropology *BA*
Sociology with Social Anthropology (Including Placement Year) *BA*
Sociology with Social Anthropology (Including Year Abroad) *BA*
Sociology with Social Psychology *BA*

Sociology with Social Psychology (Including Placement Year) *BA*
Sociology with Social Psychology (Including Year Abroad) *BA*

TAUGHT POSTGRADUATE

Advertising, Marketing and the Media *MA*
Criminology *MA*
Criminology and Socio-Legal Research *MSc*
Organised Crime, Terrorism and Security *MSc*
Sociological Research *MA*
Sociology *MA PGDip Cert*
Sociology and Management *MA*
Survey Methods for Social Research *MSc*

RESEARCH DEGREE

Criminology *PhD PhD (Int) MPhil*
Longitudinal Social Research *PhD MPhil*
Sociology *PhD PhD (Int) Doc Prog MPhil MA by dissertation*

2.3.

Validated Programmes of Study

Awards with the following titles, validated by the University for delivery at a Partner Institution, may be conferred or granted by the University.

Colchester Institute

SUB HONOURS

Art and Design *CertHE*
Business Administration *FdA CertHE*
Computing *CertHE*
Construction *CertHE*
Construction Management *FdSc*
Early Years *FdA CertHE*
Education (Lifelong Learning Sector) *CertEd*
Health and Social Care *FdA*
IT Systems and Applications *FdSc CertHE*
Management *FdA*
Management of Hospitality *FdA*
Management of Sport *FdA*
Music Education *DipHE CertHE*
Person Centred Counselling *DipHE*
Person Centred Skills *CertHE*
Photography *FdA*
Popular Music *FdA*
Technical Theatre *FdA*

HONOURS DEGREE

3D Design and Craft *BA*
Construction Management (Site Management) *BSc*
Construction Management (Commercial Management) *BSc*
Counselling Studies *BA*
Creative Performance (Acting) *BA*
Early Years *BA*
Fashion and Textiles *BA*

Film Music and Soundtrack Production *BA*
Fine Art *BA*
Graphic Design *BA*
Health and Social Care *BA*
IT Systems and Applications *BSc*
Management *BA*
Management of Hospitality *BA*
Management of Sport *BA*
Music Education *BA*
Musical Theatre *BA*
Photography *BA*
Popular Music *BA*
Technical Theatre *BA*
Three Dimensional Design and Craft *BA*

TAUGHT POSTGRADUATE

Art, Design and the Book *MA*
Business Administration *MBA*
Contemporary Art and Professional Practice *MA*
Education (Further Education and Skills Sector) *PGCE Cert*
Education (Lifelong Learning Sector) *PGCE*
Management *MBA PGCert*
Management Studies *PGDip*
Sculptural Practice *MA*

Edge Hotel School Ltd

SUB HONOURS

Hotel Management *FdA*

HONOURS DEGREE

Hotel Management *BA*

Higher School of Economics, Perm, Russia

TAUGHT POSTGRADUATE

Business Strategies in a Global Environment *MSc*

Kaplan Open Learning, University of Essex Online

SUB HONOURS

Business and Management *DipHE*
Business and Marketing *DipHE*
Business Studies *DipHE CertHE CertCE*
Criminology and Criminal Justice *DipHE*
Financial Services *FdA CertHE*
Law *DipHE CertCE*

HONOURS DEGREE

Business and Management *BA*
Business and Marketing *BA*
Criminology and Community Justice *BA*
Criminology and Criminal Justice *BA*
Criminology and Policing *BA*
Criminology and Probation Studies (Community Justice) *BA*
Criminology and Youth Justice *BA*
Financial Services *BA*
Law *LLB*

TAUGHT POSTGRADUATE

Business Administration *PGDip PGCert*
Business and Financial Trading Analysis *PGCert*
Business and Management *MSc PGDip PGCert*
Criminology and Criminal Psychology *MSc PGDip*
Finance *MBA*
Human Resource Management *MBA*
Infection Control *MSc PGDip PGCert*
Marketing *MBA*
Public Health *MPH*

Kaplan Singapore

HONOURS DEGREE

Accounting *BSc*
Accounting and Finance *BSc*
Banking and Finance *BSc*
Financial Management *BSc*
Management and Marketing *BSc*

Nanyang Academy of Fine Arts, Singapore

HONOURS DEGREE

Creative Industry Management *BA*
Theatre Arts *BA*

South Essex College of Further and Higher Education

SUB HONOURS

Business Studies *DipHE CertHE*
Counselling *FdA*
Education (Lifelong Learning Sector) *CertEd*
Engineering *CertHE FdSc*
Fashion Communication and Marketing *FdA*
Fashion Design *CertHE DipHE*
Graphic Design *CertHE DipHE*
Hospitality Management *CertHE FdSc*
Interior and 3D Design *CertHE DipHE*
Media Production *FdA*
Music Performance and Composition *CertHE DipHE*
Music Production *CertHE DipHE*
Photography *CertHE DipHE*
Production for Live Performance and Events *FdA CertHE*
Social Studies *CertHE DipHE*
Sports Studies *CertHE DipHE*
Sports Studies (Health and Fitness) *DipHE*
Sports Studies (Teaching and Coaching) *DipHE*

HONOURS DEGREE

Business Studies *BA*
Computer Games Design *BSc*
Computing *BSc*
Counselling *BA*
Criminology and Forensic Investigation *BSc*
Digital Animation *BA*
Early Years Education *BA*
Engineering (progression route) *BSc*
Fashion Communication and Marketing *BA*
Fashion Design *BA*
Fine Art *BA*

Graphic Design *BA*
Hospitality Management (progression route) *BSc*
Interior and 3D Design *BA*
Journalism *BA*
Media Production *BA*
Music Performance, Production and Composition *BA*
Music Production *BA*
Network Technology *BSc*
Photography *BA*
Social Studies *BSc*
Social Work *BA*
Sound Production and Performance Technology *BA*
Special Education Studies *BA*
Sports Studies (Health and Fitness) *BSc*
Sports Studies (Teaching and Coaching) *BSc*
Television Production and Screen Media *BA*

TAUGHT POSTGRADUATE

Education (Lifelong Learning Sector) *PGCE*

Tavistock and Portman NHS Foundation Trust

TAUGHT POSTGRADUATE

Child, Adolescent and Family Mental Well-being: Multidisciplinary Practice *PGCert*
Consulting and Leading in Organisations: Psychodynamic and Systemic Approaches *MA*
Emotional Care of Babies, Children, Young People and Families *Dip Cert*
Foundations of Psychodynamic Psychotherapy *MA PGDip*
From Safeguarding to Permanence – Psychodynamic & Systemic Therapeutic Practice with Children in Complex Settings *MA*
Mental Health and Well-being of Older Adults *PGCert*
Mental Health and Well-being: Multidisciplinary Practice with Young People and Adults *PGCert*
Psychoanalytic Studies *MA*
Psychological Therapies with Children, Young People and Families *MA*
Safeguarding, Risk and Relational Practice in Social Care and Emotional Wellbeing *MA*
Social Work and Emotional Wellbeing *MA*
Social Work and Integrated Care *MA*
Systemic Approaches to working with individuals, families and organisations *PGDip PGCert*
Systemic Psychotherapy *MA*
Working with Children, Young People and Families: A Psychoanalytic Observational Approach *MA PGDip PGCert*
Working in Education: A Psychoanalytic Observational Approach *MA PGDip PGCert*
Working with Adolescents: A Psychoanalytic Observational Approach *MA PGDip PGCert*
Working with Infants and the Early Years: A Psychoanalytic Observational Approach *MA PGDip PGCert*

RESEARCH DEGREE

Advanced Practice and Research (Consultation and the Organisation) *Doctorate* (title to be confirmed)
Advanced Practice and Research (Education and Community Psychology) *Doctorate* (title to be confirmed)
Advanced Practice and Research (Social Work and Social Care) *Doctorate* (title to be confirmed)
Advanced Practice and Research (Systemic Psychotherapy) *Doctorate* (title to be confirmed)
Child, Community and Educational Psychology *DChEdPsych*
Psychoanalytic Child and Adolescent Psychotherapy *Doctorate* (title to be confirmed)

Writtle College

SUB HONOURS

Agriculture *CertHE DipHE*
Agriculture (Crop Production) *DipHE*
Agriculture (Sustainable Environments) *DipHE*
Agricultural Business Management (Crop Production) *DipHE*
Agricultural Business Management (Livestock Production) *DipHE*
Animal Management *DipHE*
Animal Studies *CertHE*
Applied Animal Management *FdSc*
Conservation and Environment *DipHE CertHE*
Cycling Performance *FdSc*
Equine Behaviour *DipHE*
Equine Sports Therapy *DipHE*
Equine Studies *CertHE CertCE BSc (Ord)*
Equine Studies (Behaviour and Welfare) *DipHE*
Garden Design *CertHE*
Horticulture *CertHE DipHE*
Professional Floristry *FdA CertHE CertCE*
Sports and Exercise Performance *DipHE*

HONOURS DEGREE

Agriculture *BSc*
Agricultural Business Management (Crop Production) *BSc*
Agricultural Business Management (Farm Livestock Production) *BSc*
Agricultural Crop Production with Conservation Management *BSc*
Animal Management *BSc*
Animal Science *BSc*
Animal Therapy *BSc*
Business Management (Marketing and Supply Chain Management) *BSc*
Conservation and Environment *BSc*
Contemporary Art and Design *BA*
Equine Behaviour *BSc*
Equine Science (Equitation and Coaching) *BSc*
Equine Science (Health and Exercise) *BSc*
Equine Sports Therapy and Rehabilitation *BSc*
Equine Studies (Behaviour and Nutrition) *BSc*

Equine Performance and Business Management *BSc*
Garden Design Restoration and Management *BSc*
Horticulture *BSc*
Landscape and Garden Design *BSc*
Landscape Architecture *BSc*
Professional Floristry *BA*
Sports and Exercise Performance *BSc*

INTEGRATED MASTERS

Animal Therapy *MAnimTher*
Veterinary Physiotherapy *MVetPhys*

TAUGHT POSTGRADUATE

Animal Health Science *MSc PGDip PGCert*
Animal Welfare and Conservation *MSc PGDip PGCert*
Applied Equine Science *MSc PGDip PGCert*
Applied Equine Science (Behaviour and Welfare) *MSc*
Applied Equine Science (Breeding and Reproduction) *MSc*
Applied Equine Science (Nutrition) *MSc*
Applied Equine Science (Sports Performance) *MSc*
Arable Crop Management *MSc PGDip PGCert*
Conservation Management under Global Change *MSc PGDip PGCert*
Environmental Resource Management *MSc*
Equine Therapy and Rehabilitation *MSc PGDip PGCert*
Garden Design *MA*
Higher Education Practice *PGCert*
Historic Designed Landscapes *MA PGDip PGCert*
Horticulture *MSc PGDip PGCert*
Horticulture (Crop Production) *MSc PGDip*
Landscape and Garden Design *PGCert*
Landscape Architecture *MA PGDip*
Landscape Design *PGDip*
Landscape Management *MSc PGDip PGCert*
Livestock Production Science *MSc PGDip PGCert*
Natural Environment and Society *MSc*
Post Harvest Technology *MSc PGDip PGCert*
Sustainable Land Management under Global Change *MSc PGDip PGCert*
Veterinary Physiotherapy *MSc PGDip*

RESEARCH DEGREE

Agricultural Engineering *PhD MPhil*
Agriculture *PhD MPhil MSc by dissertation*
Animal Science *PhD MPhil MSc by dissertation*
Applied Biology *PhD MPhil MSc by dissertation*
Art and Design *MA by dissertation*
Conservation Management *MSc by dissertation*
Horticulture *PhD MPhil MSc by dissertation*
Landscape Architecture *PhD MPhil MSc by dissertation*

2.4.

The duration, syllabus and rules pertaining to progression and assessment for programmes of study leading to undergraduate and taught graduate/postgraduate awards shall be defined and published annually in the relevant programme specification.

2.5.

First-year students registered on full-time courses may be permitted to change their degree within the first two teaching weeks of the academic year or, on successful completion of the relevant module, at the end of the first year of study, subject to the approval of the Executive Dean or his/her deputy and to the availability of places on the degree they wish to enter.

2.6.

The Executive Dean or his/her deputy may permit a student to follow as part of his or her study for the degree of Bachelor an approved programme of study at an overseas university or comparable institution approved for the purpose in each case.

2.7.

Students may be admitted to programmes of study which are not assessed and to programmes of study leading to the grant of credits which may be accumulated towards an award of the University.

2.8.

For each undergraduate programme of study there is a maximum period within which a student must complete his or her studies. The maximum period is set from the date when the student is

first admitted to sub-degree or an undergraduate programme at the University of Essex. Transfers of programme are still included in the original maximum period. Academic stages in which the student has undertaken a period of intermission are still included in the original maximum period. The Executive Dean or his/her deputy may consider requests for an extension to the maximum period of study in cases of ill-health or other exceptional circumstances. Details of the maximum period of study for each award are published in the Undergraduate Rules of Assessment.

2.9.

For the purpose of applying University policies and procedures, students studying on Integrated Masters Awards will be regarded as Undergraduate students in Stages One, Two and Three, and Taught Postgraduates in Stage Four.

2.10.

Students may be admitted to a programme of study leading to a dual award of the University of Essex and one or more awarding institution(s). The dual award arrangements shall be entered into formally between the University and the partner institution(s) before any candidates are admitted to the programme. The details of the programme shall be published in the relevant programme specification.

Principal Regulations for Taught Masters Programmes

3.1.

In the University the following taught Masters degrees are conferred: Master of Arts (MA), Master of Fine Arts (MFA), Master of Science (MSc), Masters in Language and Linguistics (MLang), Master of Laws (LLM), Masters by Research (MRes), and the following integrated masters awards: Master of Animal Therapy (MAnimTher), Master of Electronic Engineering (MEng), Master of Engineering (MEng), Master of Marine Biology (MMarBiol), Master in Science (MSci), Master of Telecommunication Engineering (MEng) and Master of Veterinary Physiotherapy (MVetPhys).

Admissions

3.2.

A person wishing to be accepted as a student for a taught Masters degree must apply through the Head of Department concerned to be admitted as a registered graduate student within the University.

3.3.

A candidate for a Masters degree must satisfy one of the following admissions criteria:

- (a) hold a Bachelors (Honours) degree awarded by an institution or body with degree awarding powers in the UK;
- (b) be a graduate of first-cycle higher education as defined by the Bologna Declaration or a person holding a degree-level qualification from a recognised overseas institution;
- (c) be a person who is deemed to demonstrate an academic standard equivalent to a Bachelors (Honours) degree, documented through professional or vocational achievement.

In the case of applicants who are not native speakers of English, evidence must be produced of a high level of ability in the language, including writing.

3.4.

Students may be admitted to a programme of study leading to a dual award of the University of Essex and one or more awarding institution(s). The dual award arrangements shall be entered into formally between the University and the partner institution(s) before any candidates are admitted to the programme. The details of the programme shall be published in the relevant programme specification.

A student studying for a dual award shall normally spend at least half their time at the University and the rest of the time at the partner institution(s).

Registration Arrangements

3.5.

Students must register as graduate students of the University and must comply with the Charter, Statutes, Ordinances and Regulations of the University; they must register annually at the beginning of each academic year after acceptance until they present themselves for examination for the degree.

Masters Degree Requirements

3.6.

A Masters degree may be conferred on students who have followed a taught Masters programme approved by the Senate, consisting of systematic courses of lectures and other teaching, and the undertaking of a dissertation or its equivalent. The dissertation or equivalent shall comprise no more than half the work of the programme of study except in the case of the final year of Integrated Masters degrees where the dissertation or equivalent may be up to three-quarters of the work of the final year of the programme of study provided there is clear and appropriate preparation of students for the dissertation or equivalent in the earlier years of the degree.

Students for a taught Masters degree shall:

- (a) spend at least three terms of full-time study in the University or six terms of part-time study;
- (b) be assessed by assignments prescribed in the regulations for the programme of study. In addition students shall present, in a manner acceptable to the Senate, a dissertation or its equivalent as prescribed in the regulations for the programme; candidates are personally responsible for all expenditure connected with the preparation of a thesis or dissertation. Students may also be required to present themselves for an oral examination.

Modular study may be followed for a maximum period of six years.

The attendance requirements do not apply to study by distance learning.

3.7.

A dissertation or its equivalent submitted for a Masters degree may not incorporate, whether in the same or different form, work which has been submitted to this or to any other university for a degree unless the subject of the research is an extension or continuation of research begun for such a degree. In such a case the extent of the material and the degree if any obtained should be indicated (the student may be required to produce the work previously submitted).

A student must state generally in the preface to the dissertation and specifically in references the sources from which the material is derived and the extent to which the candidate has used the work of others including collaborators.

3.8.

Apart from quotations, the dissertation must be presented in English unless the relevant Executive Dean (or his/her deputy) or Dean of Partnerships (or his/her deputy) has given permission in advance for it to be in another language.

3.9.

Assessment in each taught Masters programme shall take place at the time or times described in regulations or otherwise approved by the relevant Faculty Board for that programme. With the consent of the relevant Executive Dean (or his/her deputy) or Dean of Partnerships (or his/her deputy), a candidate may be permitted to submit the dissertation after the approved time.

3.10.

Masters degrees will be awarded by the Senate on the recommendation of a Board of Examiners appointed by the Faculty Board.

Continuation Period

3.11.

Students who have completed the minimum period of study prescribed by the Senate and who have not yet presented themselves for examination will be required on registration to pay an appropriate 'continuation' fee as determined from time to time by the University. Such students shall be entitled to be examined (but not re-examined), to obtain any supervision required and to use University facilities including the Library. They shall be subject to the Charter, Statutes, Ordinances and Regulations of the University at any time they are present in the University.

Principal Regulations for Graduate and Postgraduate Diplomas and Certificates

3.12.

In the University, graduate and postgraduate Diplomas and Certificates are offered.

3.13.

Candidates shall follow a programme of study approved by the Senate on the recommendation of the relevant Faculty Board. The regulations for each programme shall prescribe the period of study, whether in or outside the University, and

the examinations and any other forms of assessment required.

3.14.

A person wishing to be accepted as a student for a Diploma or Certificate programme must apply through the Head of Department concerned to be admitted as a registered student within the University.

3.15.

Diplomas and Certificates shall be granted by the Senate on the recommendation of a Board of Examiners appointed by the Faculty Board.

3.16.

For all purposes of higher degree regulations, programmes of study leading to a Graduate or Postgraduate Diploma or Certificate of the University shall be treated as if they lead to a Masters of the University.

Principal Regulations for Research Degrees

These regulations apply to all students commencing study in the 2016-17 academic year. The examination outcomes noted in 4.33 of the Regulations take effect for all students submitting after 1 January 2017. Any students submitting before this date will be examined in accordance with 4.54-4.57 of the 2015-16 Regulations

4.1.

The University of Essex confers the following research degrees: Masters by Dissertation (MA or MSc), Master of Philosophy (MPhil), Doctor of Medicine (MD), Doctor of Philosophy (PhD) and Professional Doctorate. The Senate has approved *The Code of Practice for Research Degree Programmes* and *The Code of Practice for Professional Doctorates* that should be used in conjunction with these Regulations. For Regulations 4.2 to 4.91 the 'Dean' refers to the Executive Dean (or her/his deputy) or Dean of Partnerships (or her/his deputy).

Research Degree Requirements

4.2.

For all the University's research degrees a student shall:

- (a) attend such classes, seminars and personal consultations as the supervisor(s) shall require at times arranged by the supervisor(s);
- (b) attend Supervisory Panel meetings as required, and produce work and/or other evidence of progress as required by the supervisor and other panel members;
- (c) submit, in accordance with the regulations and rules governing presentation of such

work, a thesis² for examination under a title which has been approved by the Head of Department; and

- (d) be aware of the requirements of these Regulations and the Code of Practice that govern the award for which the student is registered.

4.3.

Full-time students are expected to undertake the equivalent amount of study to a full-time job spending 36 hours per week, averaged across the year, engaged in full-time study at the University except in the case of distance learning or those who have specific permission from the Dean.

Paid Duties

4.4.

Students must, if relevant, fully comply with the terms and conditions of their scholarship/studentship and the requirements of the Home Office.

Full-time students may, with the consent of their supervisor(s), undertake paid duties alongside their studies.

Students employed to teach or demonstrate at the University of Essex are regulated by their contract and other related documents. A student in further completion, or in an extension to their completion period, is not permitted to be employed to teach or demonstrate at the University.

Distance Learning

4.5.

Distance Learning does not normally involve face-to-face contact between a student and their supervisor(s) but instead uses technology to enable supervision from a distance.

A person not resident within the UK may, on the recommendation of the Head of Department, or nominee, be accepted by the Dean as a student for a research degree by part-time or full-time Distance Learning. As part of the recommendation, the supervisor and student will agree details of the supervisory arrangement, which will include:

- (a) the frequency and mode of contact;
- (b) the extent of face-to-face contact envisaged;
- (c) any periods of time to be spent at the University;
- (d) access to local facilities and expertise where relevant (most commonly in the place of employment); and
- (e) arrangements for written reports on research and progress.

² 'Thesis' includes dissertations submitted for the degree of Masters by Dissertation.

MINIMUM AND MAXIMUM PERIODS

4.6

The minimum period is the minimum time a student is required to be registered on the specific research degree, unless exceptional circumstances exist (see 4.11). If a student does not submit for examination in the minimum period they may be permitted to move into a completion period (see 4.10). The maximum period is the maximum time allowed before a student is expected to submit for examination. Extensions to the maximum period will only be agreed in exceptional circumstances approved by the Dean. The normal minimum and maximum periods of study are detailed within regulations relating to specific programmes. Students starting prior to the 2016-17 academic year will need to refer to the appropriate regulations (see www.essex.ac.uk/about/governance/regulations/regulations-higher.aspx).

4.7.

At the end of each academic year during his/her minimum period, a student may be permitted to proceed to the next year by the Research Students' Progress Board (RSPB)³ where it is satisfied that the student has met the requirements as outlined in the relevant milestones document. The RSPB's decision will be reported to the Dean, accompanied by appropriate supporting documents. See 4.13-4.17 for change of status.

4.8.

In exceptional circumstances, the Dean may reduce the minimum period of study, provided that:

- (a) no more than three terms of the period shall be waived (for a full-time PhD) (pro-rata for other awards and part-time students); and
- (b) they are satisfied that the student has completed his or her study and research and is ready to submit the thesis.

COMPLETION PERIOD

4.9.

The twelve-month period between the end of the minimum period and the end of the maximum period is known as the completion period.

4.10.

A student may be permitted to proceed into a completion period by the RSPB where it is satisfied that the student has met the requirements as outlined in the relevant milestones document. The RSPB's decision will be reported to the Dean, accompanied by appropriate supporting documents. Students who

³ RSPB – Research Students' Progress Board throughout these Regulations

are permitted to proceed into a completion period will be required on registration to pay the specified completion fee(s) as determined from time to time by the University. Students in their completion period will only be permitted to use laboratories in special circumstances and with permission of the Head of Department.

4.11.

Where a student has not met the requirements as outlined in the relevant milestones document the Dean may extend the minimum period, on the recommendation of the RSPB. A student who is not permitted to proceed into a completion period shall pay in advance the appropriate fee for the additional terms of his/her extended minimum period.

Permission to Conduct Research Away from the University

4.12.

The Head of Department, or nominee, may give permission during the minimum period to conduct research away from the University that is either:

- i. for an extended period of time in the UK, or
- ii. outside the UK for any length of time. Approved periods of research away from the University must be reported to the Postgraduate Research Education Team.

A student may not spend the first two terms of their research degree programme on research away from the University except in special circumstances approved by the Dean.

Normally the maximum time spent on research away from the University is one third of the minimum period except in special circumstances approved by the Dean.

Permission under this regulation for Tier 4 sponsored students should be made in consultation with the International Services Team to ensure such permission does not breach Home Office requirements.

Change of Status

UPGRADING

4.13.

Upgrading can only take place in the minimum period.

A student for the degree of Masters by Dissertation may be permitted to transfer to the degree of MPhil or MPhil/PhD by the RSPB. The Dean may permit students to count the period of study begun for the Masters towards the minimum period of study required for the degree of MPhil or MPhil/PhD.

A student for the degree of Master of Philosophy may be permitted to transfer to the degree of MPhil/PhD or PhD by the RSPB. The Dean may permit students to count the period of study begun for the MPhil towards the minimum period of study required for the degree of PhD.

Upgrading for Tier 4 sponsored students should be made in consultation with the International Services Team to ensure a change does not breach Home Office requirements.

DOWNGRADING

4.14.

Downgrading may take place at any time prior to the submission of the thesis. Downgrading for Tier 4 sponsored students should be made in consultation with the International Services Team to ensure a change does not breach Home Office requirements.

The Dean may, after a recommendation from the RSPB, require that the registration status of a student for the degree of MPhil, MPhil/PhD or PhD be changed to either the degree of MPhil or Masters by Dissertation as appropriate.

DISCONTINUATION

4.15.

Discontinuation may take place at any time prior to the submission of the thesis.

The Dean may, after a recommendation from the RSPB, require a student to discontinue as a research degree student.

FULL-TIME/PART-TIME

4.16.

Students are not normally allowed to transfer from full-time study to part-time study in the final term of their minimum period or during their completion period.

The Dean may permit transfer from one mode of study to another, eg from full-time to part-time, (including distance learning) or vice-versa. The minimum/maximum period will be adjusted pro-rata. Changes from full-time to part-time, (including distance learning) or vice-versa for Tier 4 sponsored students should be made in consultation with the International Services Team to ensure a change does not breach Home Office requirements.

Change of programme

4.17.

The Dean may permit a change of degree title.

Thesis Requirements

4.18.

The maximum word length for the thesis is specified within each programme.

A thesis submitted for examination must not exceed the maximum word length for the degree unless previously submitted for a higher award.

When a student wishes to submit a thesis that exceeds the maximum word length permission must be sought from the Dean at least one month prior to the submission of the thesis.

4.19.

The thesis will include a summary or abstract of the work not exceeding 300 words in length.

4.20.

The thesis and summary must normally be in English. This does not include quotations. The Dean may approve, at admission to the programme of study, a request for the thesis to be in another language.

4.21.

A student who is about to submit a thesis must give at least two months' prior notice in writing to the Dean. The Head of Department, or nominee, must approve the title of the thesis.

4.22.

Copies of a thesis for examination shall be submitted according to the University Policy on *Thesis Submission, Deposit and Retention*.

4.23.

The form of a thesis may vary. A thesis will normally consist of an investigation by one author of a unified theme of research. Where a thesis includes any work that has been written or produced in collaboration with another person(s), the candidate must explicitly acknowledge this, and must state, normally in a preface to the thesis, the extent and nature of the contribution of the other person(s). This applies whether or not the co-written or co-produced work has been published in any format before the examination of the thesis.

4.24.

A candidate must clearly identify all sources, published and unpublished, from which material in the thesis is derived, and must supply full references to all sources, in an appropriate format, both in the body of the text and in the bibliography or reference list.

4.25.

A candidate must ensure that their thesis does not contain material the publication of which may lead to liability under English law, specifically (but not limited to): intellectual property law; data protection law; defamation law; and discrimination law.

4.26.

A thesis may not incorporate, whether in the same or different form, work that has been submitted to this or to any other Higher Education provider for a degree unless the subject of the research is an extension or continuation of research begun for such a degree. In such a case the extent of the material and the degree, if any, obtained should be indicated. The candidate may be required to produce the work previously submitted. An exception to this regulation will apply where the thesis is resubmitted after unsuccessful submission for a higher award at the University of Essex (see 4.33).

4.27.

89

Upon submission of a thesis for examination, a student becomes a candidate for that degree.

Appointment of Examiners

4.28.

A candidate for a research degree shall be examined by at least two persons appointed for the purpose by the Dean. For student candidates, the examiners shall include a member of the Academic staff of the University of Essex or Partner Institution, as the internal examiner, and an external examiner who shall be independent of the University of Essex and its Partner Institutions. For staff candidates see 4.43 below.

In cases where a re-examination is necessary and the internal examiner has left the University after the original examination he or she shall normally continue to act as the internal examiner for the re-examination.

No person who has been a supervisor of the candidate's work shall be appointed as an examiner nor shall such a person be present at a viva of that candidate except in special circumstances to be approved in each individual case by the Dean and with the agreement of the external examiner.

Notwithstanding the foregoing, a person who has temporarily supervised the candidate's work for not more than twelve months in the absence of the permanent supervisor may, in exceptional circumstances, be appointed an examiner, provided that he or she has not acted as a temporary supervisor during any part of the twelve months before the candidate is examined.

No person who has a professional or personal relationship with the candidate that might give rise to a conflict of interest shall be appointed as an examiner. Except with the prior permission of the Dean both examiners (or, where more than two are appointed, all examiners) shall be present when a viva is held.

For the examination of a candidate for the degree of Doctor of Medicine the external examiner shall normally be medically qualified and/or experienced in the relevant area of research.

The University takes gender equality seriously. When recommending and appointing examiners and, if appropriate, an Independent Chair, every effort shall be made to achieve a reasonable gender balance.

Appointment of an Independent Chair

4.29

As determined by the University Policy on the *Appointment of an Independent Chair*, the Dean may appoint an Independent Chair to oversee the conduct of the viva.

Oral examination/Viva Voce Examination (Viva)

4.30.

The viva must normally be held within three months of the submission of the thesis for examination if submitted in accordance with regulations 4.21 and 4.22.

A candidate must attend a viva, except when the Dean, on sufficient grounds submitted by the examiners, excuses a candidate from the viva.

A candidate will normally only be excused from the viva where the viva has been arranged for a re-examination following a referral period and where the examiners are in agreement that the candidate is now in a position to pass the viva, or where there are exceptional circumstances which prevent a candidate from engaging in the viva process.

A viva will not be waived where one or more examiners has deemed from reading the thesis that the candidate seems likely to fail, only eligible for a lower award or to be referred.

4.31.

A viva may be conducted via video link in accordance with the University Policy on the *Conduct of a Research Degree Viva by Video Link, Skype or an equivalent audio-visual service.*

4.32

Supervisor(s) are not normally permitted to be present at the viva. The student's supervisor can only be present in exceptional circumstances, to be approved on an individual basis by the Dean and with the agreement of the external examiner. It is good practice to invite the supervisor to attend at the end of the viva when the examiners communicate their recommendation to the candidate. The attendance of the supervisor in these circumstances is with the permission of the examiners and the candidate.

Examination Results

FOR ALL RESEARCH DEGREES

Viva outcomes

4.33

The outcomes available for each degree, and any lower award, are specified in the programme requirements below. The outcomes are:

- (a) Pass with *no corrections or minor typographical/presentational corrections*. The candidate makes any corrections prior to submission of the final version of the thesis.
- (b) Pass with *minor corrections* to be made within three months. The examiners must provide a list of corrections that they wish to see made. Minor corrections should not require the candidate to conduct further research or undertake substantial further work. These include typographical errors, clarifying points, rephrasing, editing/adding paragraphs, correcting references, etc. The internal

examiner must confirm in writing that these have been made satisfactorily. Corrections must be made and the thesis submitted within three months.

- (c) Pass with *major corrections* to be made within six months. The examiners must provide a list of corrections that they wish to see made. Major corrections require the candidate to undertake substantial further work but the corrected thesis should not require re-examination. Such major corrections include more extensive editorial revisions, the addition of substantial new material, re-writing of substantial parts of the thesis, re-analysis of existing data, etc. The internal examiner must confirm in writing that these have been made satisfactorily. Corrections must be made and the thesis submitted within six months.
- (d) Referral for re-examination in up to 12 months. The candidate has not met the requirements for the degree examined but may resubmit, on one occasion only, a revised thesis for re-examination within 12 months. The examiners must provide a statement describing the shortcomings of the thesis and the changes required. These may include, amongst other things, editorial corrections and revisions, rewriting a part, parts or the whole of the thesis, the carrying out of further research and/or experimental work. They must also specify the referral period, which should not normally be less than six months or exceed 12 months.

For viva outcomes (e), (f) and (g) (below) the candidate is awarded a degree at a lower level from the qualification for which they were initially assessed (for example awarding a MPhil to a PhD candidate). In such cases, the examiners must clearly provide in their statement how the candidate has met the criteria for the lower award in addition to the reasons for not meeting the criteria for the higher award.

- (e) Award of a (lower award) with minor corrections to be made within three months. The candidate has not met the requirements for the degree examined but has met the requirements for the (lower award) subject to the approval of minor corrections. The examiners must provide a list of the corrections that they wish to see made. Minor corrections should not require the candidate to conduct further research or undertake substantial further work. These include typographical errors, clarifying points, rephrasing, editing/adding paragraphs, correcting references, etc. The internal examiner must confirm in writing these have been made satisfactorily. Corrections must be made and the thesis submitted within three months.
- (f) Award of a (lower award) with major corrections to be made within six months. The

candidate has not met the requirements for the degree examined but has met the requirements for the (lower award) subject to the approval of major corrections. The examiners must provide a list of the corrections that they wish to see made. Major corrections require the candidate to undertake substantial further work but the corrected thesis should not require re-examination. Such major corrections include more extensive editorial revisions, the addition of substantial new material, re-writing of substantial parts of the thesis, re-analysis of existing data, etc. and the internal examiner must confirm in writing that these have been made satisfactorily. Revisions must be made and thesis submitted within six months.

- (g) Referral for a (lower award). The candidate has not met the requirements for the degree examined but may resubmit a revised thesis for re-examination for a (lower award). The examiners must provide a statement describing the shortcomings of the thesis and the changes required. These may include, amongst other things, editorial corrections and revisions, rewriting a part, parts or the whole of the thesis, the carrying out of further research and/or experimental work. They must also specify the referral period, which should not normally be less than six months or exceed 12 months.
- (h) Fail. The examiners must provide a clear statement describing the shortcomings of the thesis.

Failure to agree

4.34.

In the event of disagreement on the viva outcome, the examiners may, after due consideration between themselves, certify that their failure to agree on an outcome is irreconcilable. Two new examiners shall then be appointed. The new examiners shall examine the thesis and conduct a viva. The new examiners may recommend to the Dean any result that was open to the original examiners and their decision on the recommendation shall be final, subject only to any right of appeal that may be given under the provisions of other Regulations.

Publication of Result

4.35.

A candidate shall normally be informed of the result of the viva within one month from the date of the viva. Candidates are entitled to receive copies of the examiners' reports on their thesis on request, when examining is complete.

Final Thesis Submission

4.36.

Before being eligible for the conferment of the degree, the candidate shall deposit a copy or copies of the thesis according to the University Policy on *Thesis Submission, Deposit and Retention*.

Conferment of the Degree

4.37.

The Dean determines the conferment or otherwise of the degree.

Appeals and Complaints

4.38.

A student or candidate has the right to appeal a Research Student Progress Board decision or a viva outcome following the *Appeals Procedures*.

A student or candidate has the right to make a formal complaint following the *Student Concerns and Complaints Procedure*.

Staff Candidature

4.39.

Members of staff of the University and its Partner Institutions may apply to become students for a research degree and will be registered as students. These regulations then apply as a student for a research degree except they will be examined as a member of staff (see 4.43). Students who teach (Graduate Teaching Assistants, Graduate Laboratory Assistants, Graduate Demonstrators) are not considered members of staff for Regulations 4.40-4.46.

4.40.

Members of staff of the University and its Partner Institutions may become candidates for the degree of Doctor of Philosophy, Master of Philosophy or Masters by Dissertation on the following conditions:

- (a) the work must be submitted for examination while the candidate is a member of staff of the University of Essex or a Partner Institution or within twelve months of the candidate ceasing to be a member;
- (b) candidates may submit a thesis or published research work, or both. With the permission of the Dean they may submit the results of research that cannot be presented in these forms. Application for such permission should be made through the Head of Department or nominee;
- (c) the work is examined as published work or a thesis (see 4.45 and 4.46 below). The Dean will determine how the work is categorised for examination;
- (d) in order to make a submission that includes published work, candidates must have been

members of staff for not less than two calendar years before they present themselves for examination;

- (e) candidates who commence their studies as a registered research student and take up employment in the University or a Partner Institution more than six months prior to submission will be examined as a member of staff of the University or Partner Institution.

4.41.

Regulations 4.18-4.26, relating to thesis requirements, shall apply to staff candidates for the degree of Doctor of Philosophy, Master of Philosophy or Masters by Dissertation.

4.42.

Staff candidates shall not be required to register and formal supervision is not required.

4.43.

The viva for staff candidates shall be conducted by two external examiners appointed by the Dean. The report and recommendation of the examiners shall be received by the Dean. The Dean shall appoint an Independent Chair for all staff candidates.

4.44.

Staff candidates must attend a viva, except when the Dean may, on sufficient grounds submitted by the examiners, excuse a candidate from the viva.

4.45

The examiners for a submission by published work shall declare the result of an examination for:

- (a) the degree of Doctor of Philosophy as 'pass', 'minor corrections to the summary' 'resubmission of published work on one further occasion only', 'award of Master of Philosophy' or 'fail';
- (b) the degree of Master of Philosophy as 'pass', 'minor corrections to the summary' 'resubmission of published work on one further occasion only', 'award of Masters by Dissertation' or 'fail';
- (c) the degree of Masters by Dissertation as 'pass', 'minor corrections to the summary' 'resubmission of published work on one further occasion only' or 'fail'.

4.46

The examiners for a submission by thesis may recommend any result that is available for the degree examined (see 4.52, 4.57 or 4.68).

Joint and Dual Awards and Co-supervision

4.47.

Joint and dual awards and co-supervision of research students are determined by the University Policy on *Dual and Joint Research Awards*.

MASTERS BY DISSERTATION

4.48.

A student shall follow a programme of supervised research approved by the Senate.

Minimum and Maximum Periods

4.49.

The normal minimum and maximum periods of study are:

	Mode	Minimum	Maximum
Masters by Dissertation	FT	One year	Two years
	PT	Two years	Three years

Requirements of the Award

4.50.

A dissertation for the degree of Masters by Dissertation is required to present the results of research carried out during the approved period of study and should demonstrate advanced understanding of the area of study. In the dissertation and the oral examination the candidate is required to present the results of research that either forms original work or is an ordered and critical exposition of existing knowledge. The dissertation should set out the relationship between the candidate's work and the wider field of knowledge, and should be expressed clearly and concisely.

In the case of a dissertation involving original creative output, the dissertation must embody the results of research carried out/or output created during the approved period of study and should demonstrate advanced understanding of the area of study. The dissertation must contain a commentary that addresses the originality and artistic relevance of the work. The dissertation should set out the relationship between the candidate's work and the wider field of knowledge/the genre, and should be expressed clearly and concisely.

Word Length

4.51.

The maximum word length of the dissertation is 30,000 words, excluding any references and bibliography. Maximum word length for a dissertation including creative output may vary depending on the format of the dissertation.

Examination Outcomes

4.52.

For the examination outcomes read thesis for dissertation. No lower award. Examiners may recommend one of the following examination outcomes on academic grounds: (a), (b), (c), (d), or (h) (see 4.33 for definitions).

MASTER OF PHILOSOPHY (MPHIL)

4.53.

A student shall follow a programme of supervised research approved by the Senate.

Minimum and Maximum Periods

4.54.

The normal minimum and maximum periods of study are:

	Mode	Minimum	Maximum
Master of Philosophy	FT	Two years	Three years
	PT	Four years	Five years

Requirements of the Award

4.55.

A thesis submitted for the degree of Master of Philosophy must embody the results of research carried out during the approved period of study and should make a contribution to knowledge. In the thesis and the oral examination the candidate is required to present the results of research that either forms original work or is an ordered and critical exposition of existing knowledge. The thesis should set out the relationship between the candidate's work and the wider field of knowledge, and should be expressed clearly and concisely.

In the case of a thesis involving original creative output, the thesis must embody the results of research carried out and/or output created during the approved period of study. The thesis must contain a commentary that addresses the originality and artistic relevance of the work. Within the thesis the student must show evidence of the originality of the work or an ordered and critical exposition of existing knowledge/the genre. The thesis should demonstrate an understanding of the relationship between the candidate's work and the wider field of knowledge/the genre, and should be expressed clearly and concisely.

Word Length

4.56.

The maximum word length of the thesis is 50,000 words, excluding any references and bibliography. Maximum word length for a thesis including creative output may vary depending on the format of the thesis.

Examination Outcomes

4.57.

Lower award: Masters by Dissertation. When the original examination was for a PhD and the

candidate was referred for a MPhil there is no lower award.

Examiners may recommend one of the following examination outcomes on academic grounds: (a), (b), (c), (d), (e), (f), (g) or (h) (see 4.33 for definitions).

DOCTOR OF PHILOSOPHY (PHD)

4.58.

A student shall follow a programme of supervised research approved by the Senate.

Minimum and Maximum Periods

4.59.

The normal minimum and maximum periods of study are:

Minimum

	Mode	Minimum	Maximum
Doctor of Philosophy	FT	Three years	Four years
	PT	Six years	Seven years

CONFIRMATION

4.60.

All PhD students are initially registered as MPhil/PhD except in individual cases where an MPhil student is allowed by the Dean to upgrade in accordance with Regulation 4.13.

4.61.

At the end of the first year of full-time study (or the equivalent for part-time) students studying in the Faculty of Social Sciences or Faculty of Humanities may have their PhD registration confirmed. Students studying in the Faculty of Science and Health may have their PhD registration confirmed during the first term of the second year of full-time study (or the equivalent for part-time). Partner Institutions shall determine the confirmation requirements for their programmes.

4.62.

The RSPB shall decide that a student's PhD status is confirmed only when it is satisfied that the student has produced work of sufficient quality and quantity to provide evidence of appropriate PhD-level progress. The RSPB's decision will be reported to the Dean, accompanied by appropriate supporting documents.

4.63.

In exceptional cases, if the RSPB is satisfied that a student has produced work of sufficient quality and quantity to provide evidence of appropriate PhD-level progress and the agreed milestones for

confirmation had been met, PhD status could be confirmed earlier.

4.64.

A student whose PhD status is confirmed shall have his/her registration changed to PhD.

If a student's PhD status is not confirmed at the first meeting of the RSPB that considers the confirmation decision, their progress will be re-evaluated at the next meeting held in the same academic year.

4.65.

If a student's PhD status is not confirmed at the second meeting, the RSPB shall recommend to the Dean that the student's status be changed to MPhil or Masters by Dissertation, or that the student be required to withdraw. The Dean may accept or reject the recommendation, taking into account all the circumstances. Where the recommendation to change the student's status is accepted by the Dean the minimum period of study will be reduced accordingly.

REQUIREMENTS OF THE AWARD

4.66.

A thesis submitted for the degree of Doctor of Philosophy must embody the results of research carried out during the approved period of study. In the thesis and the oral examination the candidate is required to conduct and present original investigations that make a significant contribution to knowledge, to test ideas, whether the candidate's own or those of others, to understand the relationship of the theme of the investigations to a wider field of knowledge and to express him/herself clearly and concisely.

In the case of a thesis involving original creative output, the thesis must embody the results of research carried out and/or output created during the approved period of study. The thesis must contain a commentary that addresses the originality and artistic relevance of the work. Within the thesis and oral examination the student must show evidence of the originality of the work, demonstrate an understanding of the relationship of the theme of the thesis to a wider field of knowledge, make a significant contribution to knowledge/the genre, and must express him/herself clearly and concisely.

WORD LENGTH

4.67.

The maximum word length of the thesis is 80,000 words, excluding any references and bibliography. Maximum word length for a thesis including creative output may vary depending on the format of the thesis.

EXAMINATION OUTCOMES

4.68.

Lower award: Master of Philosophy (MPhil). Examiners may recommend one of the following examination outcomes on academic grounds: (a), (b), (c), (d), (e), (f), (g) or (h) (see 4.33 for definitions).

INTEGRATED DOCTOR OF PHILOSOPHY (PHD)

4.69.

A student shall follow a programme approved by the Senate.

The first year shall comprise an intensively taught period of training at the end of which the student will be formally assessed. On successful completion, the first year will be followed by three years of supervised research following the requirements set out for PhDs. In some programmes, the student will receive further training in professional and research skills in the second year that will also be formally assessed.

Minimum and Maximum Periods

4.70.

The normal minimum and maximum periods of study are:

	Mode	Minimum	Maximum
Integrated Doctor of Philosophy	FT	Four years	Five years
	PT	Eight years	Nine years

Confirmation

4.71.

For students on an Integrated PhD, the process of confirmation occurs in the second year for students studying in the Faculty of Social Sciences or the Faculty of Humanities, and in the third year for students studying in the Faculty of Science and Health. The confirmation process is as per 4.66-4.69.

Requirements of the Award

As per 4.66.

Word Length

As per 4.67.

Examination Outcomes

As per 4.68.

DOCTOR OF PHILOSOPHY BY PROGRAMME (PHD)

4.72.

A student shall follow a three-year programme approved by the Senate. In addition to the three years of supervised research following the requirements set out for PhDs, the programme commences with a six-month, intensively taught, period of training at the end of which the study will be formally assessed as specified at the time of admission.

Minimum and Maximum Periods

4.73.

The normal minimum and maximum periods of study are:

	Mode	Minimum	Maximum
Doctor of Philosophy by Programme	FT	Three years	Four years
	PT	Six years	Seven years

Confirmation

As per 4.60-4.65.

Requirements of the Award

As per 4.66.

Word Length

As per 4.67.

Examination Outcomes

As per 4.68.

PROFESSIONAL DOCTORATES

4.74.

A student shall follow a programme of study approved by the Senate. The requirements for each programme shall prescribe the period of study, whether in or outside the University and the form of assessment required of students.

Minimum and Maximum Periods

4.75.

The mode, minimum and maximum periods of study are prescribed in the requirements of each programme.

Completion Period for Full-time Professional Doctorates

4.76.

There is no completion period for students on full-time Professional Doctorate programmes. If a student is not ready to submit his/her thesis at the

end of the minimum period the Dean, on the recommendation of the Research Students Progress Board, shall extend the minimum period. The appropriate full-time fee is payable for the additional terms of the extended minimum period.

Requirements of the Award

4.77.

A thesis submitted for a professional doctorate must embody the results of research carried out during the approved period of study. In the thesis and the oral examination the candidate is required to conduct and present original investigations that make a significant contribution to the nature of practice within the profession or to the way theory is applied, to understand the relationship of the theme of the investigations to a wider field of knowledge and to express him/herself clearly and concisely.

Word Length

4.78.

The maximum word length of the thesis is 40,000 words, excluding any references and bibliography.

Examination Results

4.79.

Lower award: Masters by Dissertation. Examiners may recommend one of the following examination outcomes on academic grounds: (a), (b), (c), (d), (e), (f), (g), or (h) (see 4.33 for definitions).

DOCTOR OF MEDICINE (MD)

4.80.

A student shall follow a two-year part-time programme of supervised research approved by the Senate. Students shall be jointly supervised by a member of the Academic or Research staff of the University and an appropriately qualified and experienced associate supervisor employed by an NHS Trust associated with the University of Essex.

Minimum and Maximum Periods

4.81.

The normal minimum and maximum periods of study are:

	Mode	Minimum	Maximum
Doctor of Medicine	PT only	Two years	Three years

Requirements of the Award

4.82.

A thesis submitted for the degree of Doctor of Medicine must embody the results of research carried out during the approved period of study and make a significant contribution to the field in some subject of Medicine. In the thesis and the oral examination the candidate is required to conduct and present original investigations that make a significant contribution to knowledge, to test ideas, whether the candidate's own or those of others, to understand the relationship of the theme of the investigations to a wider field of knowledge and to express him/herself clearly and concisely.

Word Length

4.83.

The maximum word length of the thesis is 65,000 words, excluding any references and bibliography.

Examination Outcomes

4.84.

No lower award. Examiners may recommend one of the following examination outcomes on academic grounds: (a), (b), (c), (d) or (h) (see 4.33 for definitions).

Principal Regulations for Higher Doctorates

4.85.

The degrees of Doctor of Letters (DLitt), Doctor of Science (DSc) and Doctor of Laws (LLD) may be conferred by the Senate of the University on candidates who have published work of distinction and merit constituting a substantial, important and original contribution to knowledge or scholarship.

4.86.

The following may become candidates for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws:

- (a) graduates of the University of Essex of not less than ten years' standing following conferment of an appropriate Bachelor's degree or Master's degree or not less than seven years' standing following conferment of the degree of MPhil or PhD;
- (b) members of the academic staff, or honorary or visiting members of staff, of the University of Essex who have served for not less than three years and who are graduates of an approved institution of not less than ten years' standing following conferment of an appropriate Bachelor's degree or Master's degree, or not less than seven years'

standing following conferment of the degree of MPhil or PhD.

4.87.

A person who wishes to become a candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws must apply in writing to the Vice-Chancellor. The written application must include a full curriculum vitae and a list of the published work that he or she proposes to submit for examination. The Vice-Chancellor, acting on behalf of the Senate and after consulting the appropriate Dean, shall decide whether or not a person be accepted as a candidate.

4.88.

A candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws must submit in writing to the University:

- (a) three copies of the published work submitted for examination and of a list of this work;
- (b) a 300 word summary, including a title for the collection of work;
- (c) the appropriate fee.
- (d) Candidates submitting work of which they are not the sole authors shall submit also a statement explaining the part which they have played in the work in such a way that it can be related to each publication included in the submission; the extent of the contribution of others will be taken into account by the examiners in assessing how far the candidate's work meets the criterion for award of the degree. Work that has not been published and appeared in print shall not be taken into account by the examiners.

4.89.

A candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws shall be examined by three examiners appointed for the purpose by the Dean; not more than one examiner may be a member of the academic staff of the University of Essex, except in the case of candidates who are themselves members of the academic staff of the University of Essex who shall be examined by three external examiners. Candidates may be required by the examiners to present themselves for oral examination.

4.90.

The examiners shall recommend that the result of the examination shall be 'pass' or 'fail'. The Vice-Chancellor on behalf of the Senate shall receive the report and recommendation of the examiners. The Vice-Chancellor determines the conferment, or otherwise, of the degree.

4.91

One copy of the publications submitted by a successful candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws may be retained by the University Library and will in that event become the property of the University.

Regulations relating to Registration

Registration and Payment of Fees

5.1.

Student status commences at first registration for a programme of study and continues, unless it is otherwise terminated, until the award is conferred or granted.

5.2.

All prospective or continuing students must register each year at the required times in accordance with procedures approved from time to time by the University. The University reserves the right, on academic grounds, not to allow students to register after term has started. Only exceptionally and with the special permission of the Academic Registrar will prospective or continuing students be allowed to register late. A late fee will be charged in such cases, of an amount to be determined from time to time by the Academic Registrar.

5.3.

Students who have commenced a programme of study but who fail to re-register or are prevented by the operation of other Regulations from re-registering by the end of the period of twenty-eight days starting with the first day of the Autumn term shall be deemed to have withdrawn permanently from the University and from their programme of study and shall thereupon cease to be students of the University. This Regulation does not apply to students excluded temporarily by the Vice-Chancellor, continuation students or completion students, whose registration is governed by Regulations 5.14. and 5.15. respectively.

5.4.

In accepting an offer of a place at the University students agree to be bound by all the relevant provisions of the Charter, Statutes, Ordinances and Regulations of the University, which include the Code of Student Conduct. This undertaking is confirmed at Registration.

5.5.

All prospective or continuing students, full-time or part-time, will sign at Registration a statement undertaking that they will comply with the Charter, Statutes, Ordinances and Regulations of the University.

5.6.

Students shall notify the University as soon as possible of any subsequent change in the information which they have given at Registration relating to name, address, choice of module, choice of options, and source of financial support.

5.7.

A student who loses his/her Registration Card must inform the University immediately, and a duplicate Registration Card may be issued on payment of a fee determined from time to time by the University.

5.8.

All students are required to register with a doctor in the vicinity of their term-time residence within four weeks of registering with the University. Students who register with a doctor other than the Health Centre on the Colchester campus may be required to notify the University of his/her name.

5.9.

No prospective or continuing student will be permitted to attend lectures, classes or laboratories or to use the University Library, computing or any other facilities of the University until he/she has registered and paid or made satisfactory arrangements to pay the tuition fees due.

5.10.

Fees and other charges must be paid at the times prescribed by the University. Students who have not paid fees and other charges including accommodation charges by the required date will be liable for a late payment charge of an amount, or rate of interest, to be determined from time to time by the University. Fee refunds will only be made in accordance with the policy published by the Director of Finance.

5.11.

The use of University facilities may be withdrawn from students who have not paid or made satisfactory arrangements to pay the tuition fees due to the University. In the context of this Regulation the term 'University facilities' is to be interpreted to comprise all forms of academic instruction and supervision, the Albert Sloman Library, computing facilities and residential accommodation owned or administered by the University. A student who incurs a debt at another academic institution for which the University of Essex is liable to repay that institution during the programme of study will be deemed to be in debt to the University of Essex and therefore subject to Regulations 6.5. and 6.30. until the debt is paid.

Except with the permission of the Director of Finance

- (a) no applicant may register for a new course at the University where they are in any form of debt to the University or its companies
- (b) no student may register for a further period of study on their existing course where that debt relates to the fees for their course or ancillary academic costs.

5.12.

Persons who hold office in the Students' Union and are not otherwise registered as students shall be required to register as full-time occasional students, without fee, during their period of office and will abide by the Charter, Statutes, Ordinances and Regulations of the University.

5.13.

Former students who have withdrawn permanently or been required to withdraw permanently or been deemed to have withdrawn permanently from the University will be considered for readmission to a programme of study in line with the University's *Procedure for Handling Requests from Former Students for Readmission to the University*. Where a student has been de-registered automatically under 5.27, then their readmission would first be considered under 5.28.

5.14.

Students who have completed the minimum period of study prescribed by the Senate for the programme which they are following and who are required under Regulations or rules to register and pay a continuation fee are required to register each year as continuation students and shall remain subject to the Charter, Statutes, Ordinances and Regulations of the University. A continuation student who fails so to register will nevertheless be deemed to have done so and will be permitted and required to pay the appropriate continuation fee in arrears until his or her programme of study is completed or his or her permanent withdrawal from the University is recorded by the Registry; a student who fails to register and pay the appropriate fee as required each year will not however be entitled to use University facilities.

5.15.

Students who have completed the minimum period of study prescribed by the Senate for the programme which they are following and who are required under Regulations to register and pay an annual completion fee, as prescribed from time to time, are required to register each year as completion students and shall remain subject to the Charter, Statutes, Ordinances and Regulations of the University. Completion students may, however, be permitted to pay prescribed fees termly. Students who, by the end of the specified period have not presented themselves for examination, fail to pay, or make acceptable arrangements to pay, the appropriate completion fee shall be deemed to have withdrawn permanently from the University and from their research degree and shall thereupon cease to be students of the University.

5.16.

Completion students must present themselves for examination by the end of the final term (including the relevant vacation period) of their completion year. Where a student has extenuating circumstances that affect their ability to submit

their thesis by the agreed deadline they may request an extension to their submission deadline of up to two weeks without having to register for the next term or pay the prescribed fee for that period. Requests for an extension must be made in advance of the existing deadline. Students who fail to present themselves for examination by the deadline shall be deemed to have withdrawn permanently from the University and from their research degree unless they are permitted an extension to their maximum period for which they must register and pay the prescribed fee for that period.

5.17.

Other cases which are not governed by Regulation 5.16 where a student has extenuating circumstances that affect their ability to submit their thesis by the agreed deadline will be considered by the Executive Dean or his/her deputy on a case-by-case basis.

Temporary Withdrawal (Intermission)

5.18.

Permission may be given to students to withdraw temporarily (intermit) from the University during their programme of study with a view to returning at a later date. Students must make a written application to their Department and must give acceptable reasons for their request. The Head of the student's department, or his/her nominee, will consider the request and come to a decision whether or not to give permission to the student to intermit from the University. In some cases, the Head/nominee may refer the request to the Executive Dean or his/her deputy who will make a decision whether or not to give permission to intermit. The Head/nominee or Executive Dean or his/her deputy may prescribe conditions which shall be fulfilled before the student may resume their programme of study.

If permission is given, the student will be registered as an intermitting student for the whole of the period of their absence, and will comply with the Charter, Statutes, Ordinances and Regulations of the University.

An intermitting student may be required to pay an appropriate fee as determined from time to time by the University.

All students are required to re-register upon return to the University following a period of intermission. Students who fail to do so within 28 days will be deemed to have withdrawn permanently and may be re-admitted only with the permission of the Academic Registrar.

Special procedures apply to students applying to intermit after the sixth week of the Spring term (ie week 21) of a final year undergraduate course or week 30 in the first and second year of an undergraduate course (see Regulation 5.19).

A research student may apply for a period of intermission at any point during their minimum period; however periods of intermission will be

linked to the University's term dates. During the completion year, requests for intermission will normally only be approved on medical grounds and other exceptional circumstances.

5.19.

Students following a final year undergraduate course will be permitted to intermit from the University only if the request has been made to the relevant Head of Department, or his or her nominee or the Executive Dean or his/her deputy, before the Monday of the sixth week of the Spring term (ie week 21) in the year in question. The final deadline to request permission to intermit in the first and second years is 4pm on Friday of week 30 (or the working day two weeks immediately prior to the first day of the examination period). Exceptionally the Executive Dean or his/her deputy may approve requests after this date. If permission is given, regulation 5.18 above applies.

If permission is not given, students must submit themselves, or will be deemed to have submitted themselves, for assessment in the main examination period in the normal way (see also Regulation 6.27. relating to the award of aegrotat degrees).

5.20.

(a) Taught

A student who is permitted or required to intermit from the University is not entitled to attend any teaching and is not expected to be present at the University. Students' computing accounts will remain active throughout the intermission period. Limited library borrowing rights are available.

(b) Research

A student who is permitted or required to intermit from the University shall receive no supervision and is not entitled to attend any modules or training events, and is not expected to be present at the University. Students' computing accounts will remain active throughout the intermission period. In the term prior to returning from intermission, limited library borrowing rights are available.

Note: All decisions to grant intermission are governed by a set of guidelines that are published by the Academic Section.

5.21.

In order to be and remain enrolled at the University any student that is subject to an immigration requirement must regulate his/her immigration status by adhering to the requirements of their visa, leave to remain or other immigration status.

5.22.

It is noted that the student has accepted the personal responsibility to adhere to those requirements by accepting the visa, leave to remain or other immigration status. The University has an obligation to report to the Home Office where a student fails to adhere to those requirements.

5.23.

The University reserves the right to withdraw immigration sponsorship and/or de-register a student subject to immigration control who fails to adhere to the immigration requirements of their visa, leave to remain or other immigration status or where a student seeks to (or unintentionally) undermine(s) the University's obligations in respect of the UK immigration system.

5.24.

At the discretion of the Academic Registrar or nominee a student who is not able to present all the required immigration and associated documentation or evidence and is able to evidence that they are reasonably attempting to provide that information may be able to 'register with conditions'. Such registration may not last longer than 28 days from the date of expected registration or to the limits required by our obligations as an immigration sponsor or to a limit up to 28 days as specified by the Academic Registrar or nominee. Written notification of the conditions shall be provided to the student and this shall include the date by which termination of registration with conditions is automatic.

5.25.

Registration with conditions shall be deemed to terminate upon expiration of the time limit or where a student fails to present themselves to the Academic Registrar or nominee within 24 hours of being requested to do so or at the request of the student should he/she wish to withdraw from the University. There is no right of appeal against automatic termination. Where a student demonstrates they have met the conditions then the Academic Registrar or nominee shall alter his or her registration accordingly.

5.26.

By registering with conditions a student shall have all the normal rights, responsibilities and privileges afforded to every registered student save the right to intermit or change his or her programme of study. Notwithstanding those rights, the termination of registration will proceed in line with 5.25 above.

5.27.

Where the University record for an individual student indicates that the student has not presented to the Academic Registrar or nominee:

- (a) a current valid passport for the period of study
- (b) a current valid Biometric Residence Permit, visa or similar as required for the period of study
- (c) current valid UK contact details for the period of study

or where the record indicates that:

- (d) their residence in the UK is unlawful or contrary to our obligations as a sponsor, then the student shall be deemed to have withdrawn immediately. The student shall be notified of this in writing without delay.

5.28.

Where a student that has deemed to have withdrawn under 5.27 subsequently presents the required evidence within a period of 14 days from the date of withdrawal then provided there are no concerns in relation to their academic progression, discipline, debt or restrictions imposed by their immigration status the Academic Registrar or nominee may exercise discretion to reinstate their registration. Should concerns be evident then their readmission shall be considered in line with the *Procedure for Handling Requests from Former Students for Readmission to the University* in line with 5.13 above.

Regulations relating to Academic Affairs

Administration of Teaching

6.1.

Lectures and classes will begin on the hour. To enable students to move from one to the next, lectures and classes shall end not later than ten minutes to any hour.

6.2.

Availability of optional modules may be subject to student demand and timetabling constraints. Every effort is made to timetable optional modules in such a way that clashes are minimised. However, where a student is enrolled for two modules with a timetable clash between lectures, the student is required to change one of the conflicting modules. Students may request special permission from the Executive Dean or his/her deputy to be exempted from this requirement. Each request will be judged on its merits.

Administration of Examinations

6.3.

Examinations shall take place at times determined by the relevant Programme of Study. Publication of examination dates and times will be published by the Academic Registrar in a timely manner and in such a way that gives adequate notice to staff and students.

6.4.

Students must comply with the requirements of the Examinations Office in relation to examination entry. Alterations to examination entry after the published deadline will be made at the discretion of the Registrar and Secretary and may be subject to a fine.

6.5

The result of any examination taken by a student who is in debt to the University will not normally receive formal recognition by the University where that debt relates to the fees of their course or ancillary academic costs, unless otherwise determined by the Registrar and Secretary after consideration of individual circumstances.

6.6.

Attendance at all examinations associated with the approved programme of study is compulsory and any student who fails to present himself or herself for such an examination at the time and place published by the Registrar and Secretary, except when prevented from doing so by illness or other sufficient cause, will be deemed to have failed in that part of the examination. Misreading of the examination timetable will not be regarded as 'sufficient cause'.

101

6.7.

Invigilators shall have the power to remove any candidate from the examination room for good cause.

6.8.

No candidate will be admitted to the examination room later than 55 minutes after the start of any examination of more than one hour's duration. No candidate will be permitted to leave the examination room permanently during the first 55 minutes or during the last 30 minutes of an examination of more than one hour's duration. For examinations with a duration of up to one hour candidates will be admitted to the examination room up to 10 minutes after the start of the examination and will be permitted to leave the examination room permanently only with the permission of the invigilator.

6.9.

Where a candidate is unable for good cause to attend a written examination in the place arranged for the examination permission may be given by the Registrar and Secretary for the examination to be held elsewhere, provided that it is simultaneous and invigilated.

6.10.

Project or other work which is part of an examination must be submitted by the required date, otherwise such work may be left out of account in the assessment of the examination result.

Assessment

6.11.

Modules may be assessed by coursework or by examination or by a combination of both. Coursework may include class tests, project work, field work and laboratory work and other appropriate forms of assessment. The detailed methods and rules of assessment for individual modules are available from Departmental Offices or their equivalent.

6.12.

Students are examined at the end of each year of their course and must satisfy the examiners before being permitted to proceed. Examinations for groups of students on specified modules or courses may take place at times other than the main examination period in May/June.

6.13.

Students who do not satisfy the examiners in the examinations may be permitted to re-sit specified examinations, to undertake a repeat period of study, full-time or part-time, or be required to leave the University.

6.14.

In degree courses of three years' duration, the final class of degree will normally be based upon the assessment of the second and final years of

study. In degree courses of four years' duration, the assessment of the third year of study may be taken into account in assessing the final class of degree. The detailed rules of assessment for the award of first degrees are approved by the Senate and are available from the Student Services Hub.

6.15.

Students are required to be regular and punctual in their attendance at such instruction as may be prescribed by the relevant department in relation to individual modules.

6.16.

Students are required to see members of academic or professional services staff to discuss their attendance, conduct and progress when required to do so.

6.17.

A student who is absent from teaching for more than one week during term shall inform the Head of Department as soon as possible, in writing, giving the reason for his or her absence. A student who is absent for more than two consecutive weeks during term must provide medical evidence in the case of illness, or appropriate corroboration where there are other reasons for absence, at the earliest opportunity.

Academic Progress

6.18.

Students will be permitted to proceed with their programmes of study only if their progress is satisfactory.

6.19.

The progress of each student shall be reviewed at regular intervals during the academic year by academic departments. Where necessary Heads of Department or, in the case of students following joint degrees, the Director of the course, shall inform the Executive Dean or his/her deputy of any student whose progress gives cause for concern. The Executive Dean or his/her deputy may refer a student to the Progress Committee, which shall be appointed annually by the Faculty Education Committee. In such cases the Progress Committee shall act on behalf of the Faculty Education Committee and may require a student whose progress is unsatisfactory to withdraw from the University. First and Second Year Boards of Examiners may also require a student whose progress is unsatisfactory to withdraw from the University. A student who is required to withdraw from the University on the grounds of unsatisfactory progress has the right of appeal in accordance with the procedures approved by the Senate.

6.20.

A student who is prevented by ill health or other serious impediment from meeting the normal requirements of his or her programme of study for more than six consecutive weeks, may not, except with the permission of the Executive Dean

or his/her deputy, count that term as part of the requirements of the programme of study for which he/she is registered.

Cheating

6.21.

(a) It is an academic offence for a student to engage in unfair academic practices or to cheat in any examination, or in any other submitted part of his or her University work, whether or not such work is formally assessed. A student may be found guilty of an academic offence whether or not there has been intention to deceive; that is, a judgement that negligence has occurred is sufficient to determine guilt.

(b) Academic offences include:

- i. plagiarism, that is, using or copying the work of others (whether written, printed or in any other form) without proper acknowledgement in any assignment, examination or other assessed work
- ii. repeating work previously submitted for another assignment without full acknowledgement of the extent to which that previous work has been used
- iii. falsifying data or evidence
- iv. submitting a fraudulent claim of extenuating circumstances
- v. assisting another student to commit an academic offence
- vi. submitting written work produced collaboratively unless this is explicitly allowed
- vii. copying the work of another candidate or otherwise communicating with another candidate in an examination
- viii. introducing any written, printed or electronically stored information into an examination other than material expressly permitted in the instructions for that examination
- ix. defacing or interfering with exam script booklets

This list is not exhaustive.

(c) Allegations of academic offences shall be dealt with in accordance with procedures determined by the Senate. Previous offences shall be taken into account.

6.22.

In submitting any piece of work (eg dissertation, thesis, essay or report) a student shall acknowledge any assistance received or any use of the work of others.

Award and Conferment of Degrees

6.23.

A candidate who has fulfilled the requirements of the prescribed programme of study and has satisfied the examiners for the degree of Foundation Degree in Arts or Foundation Degree

in Science shall be eligible for conferment of the degree in one of the following classes:

Pass

Merit

Distinction

6.24.

A candidate who has fulfilled the requirements of the prescribed programme of study and has satisfied the examiners for the degree of Bachelor of Arts or Bachelor of Science or Bachelor of Engineering or Bachelor of Laws (Ordinary) shall be eligible for conferment of the degree. These awards are unclassified.

6.25.

A candidate who has fulfilled the requirements of the prescribed programme of study and has satisfied the examiners for the degree of Bachelor of Arts or Bachelor of Science or Bachelor of Engineering or Bachelor of Laws or Bachelor of Business Administration with Honours shall be eligible for conferment of the degree in one of the following classes:

Class I

Class II Division i

Class II Division ii

Class III

6.26.

Candidates who do not satisfy the examiners in the final examinations of the final year of study for the degree may be re-assessed in accordance with the rules of assessment for Undergraduate awards. Marks will be capped excepted where a candidate is repeating the year. Candidates will be required to undertake the appropriate registration and pay the fee determined by the University. Students who are not fully registered shall not be permitted in the intervening year to receive instruction or supervision at the University of Essex. Students who are given the opportunity to be re-assessed shall be subject to the Statutes, Ordinances, and Regulations of the University at any time they are present in the University.

6.27.

In the case of illness or other sufficient cause affecting a candidate an honours degree and occurring after the Sunday of the sixth week of the Spring term (ie week 21) of the candidate's final year course of study but before the end of the final examinations, the candidate may on the recommendation of the Board of Examiners concerned and at least one external examiner be eligible for conferment of an aegrotat degree. Candidates who were required to be examined during the January examination period in their final year, and who were affected by illness or other sufficient cause which occurred during this period, and which was not an ongoing problem, may also be considered for an aegrotat degree. Candidates who have conferred on them an aegrotat degree may present themselves for

examination for the honours degree at the next available opportunity which will normally be within one year. Such candidates shall be required to register partially and to pay an appropriate fee as determined from time to time by the University. They shall be subject to the Statutes, Ordinances and Regulations of the University at any time they are present in the University. It shall be open to the examiners to recommend conferment of an Honours degree under conditions prescribed in Regulation 6.25. to candidates who present themselves for examination under this Regulation.

6.28.

- (a) The Chair of a Board of Examiners may suspend any particular decision of the Board where:
 - i. the decision contravenes either a University regulation, policy, or procedure
 - ii. the decision, in the judgement of the Chair, leads to unfairness or inconsistency
- (b) In signing the grids at the conclusion of the Board meeting, the Chair shall indicate next to his/her signature that he/she has not approved the decision relating to the relevant candidate number(s).
- (c) The Chair shall then refer the decision to a sub-Committee of Senate by notifying the Vice-Chancellor's Office. The sub-committee shall be chaired by the Vice-Chancellor or his/her nominee, and shall consist of not fewer than three further members of Senate who are also senior members of academic staff. Where possible, the members should be chosen from disciplines cognate with that in the case under consideration. The sub-committee shall review the facts of the case and taken an independent and final decision. Any decision open to the original Board of Examiners shall be available to the sub-Committee. The sub-committee may wish to view the grids of other students in order to ensure consistency.
- (d) The decision of the sub-committee shall not require the agreement of external examiners but the external examiner shall be informed of the decisions.

6.29.

In the event of a candidate's death, the Board of Examiners may recommend to the Senate the award of a degree, diploma or certificate *post obitum* provided that it is satisfied that there is sufficient evidence that the candidate would have successfully completed the intended award and that the candidate had successfully completed at least two-thirds of the programme of study.

6.30.

No student, past student, or other person may represent himself or herself as a graduate of the University of Essex until such time as a degree of the University has been conferred.

6.31.

Except with the special permission of the Registrar and Secretary, no degree of the University shall be conferred upon, or certificate or diploma of the University granted to, a person who is in debt to the University in respect of education fees related to that qualification (see also Regulation 6.5). Normally, no student in any type of unauthorised debt to the University or its companies may attend graduation or other similar event nor receive services of the University or its companies until said debt is cleared.

6.32.

Students who are eligible for conferment of degrees may have them conferred upon them either at a congregation for the conferment of degrees or in absentia.

6.33.

Students attending a congregation of the University for the conferment of a degree or otherwise shall be required to wear dress suitable to the occasion.

Intellectual Property Rights

6.34.

Intellectual property rights generated by a student in the course of his/her study lie with the student, except in specific cases relating to commercial exploitation where agreement has been reached between the University and the student or where otherwise covered by an existing agreement between the student and his/her sponsoring body.

Assessment by Dissertation (Immigration Control)

6.35.

Any undergraduate or post-graduate taught student subject to immigration control who enters a dissertation period where that dissertation represents the totality of education during that time (regardless of 'term time' or otherwise) is required to continue to engage with their studies by being present on campus on a regular (full time) basis.

6.36.

Either the student should meet with their supervisor on at least a monthly basis and, where appropriate, a full plan of the research to be undertaken and the approach will be agreed by the supervisor and the supervisee which outlines expected progress during the period. Departments may require students to present themselves on a regular basis in order to confirm attendance at the University. Any prolonged period away from campus may prevent the University from being able to sponsor the

student's visa and result in their withdrawal from the University.

Work-placement and study Abroad (Immigration Control)

6.37.

Students subject to immigration control on a full time work placement or study/work abroad activity are required to attend on a full time basis and adhere to relevant local requirements; failure to do so could result in the student being withdrawn from the University and the cancellation of his or her visa sponsorship.

6.38.

Students on an Essex Abroad (or work experience abroad) programme or placement who are subject to immigration control may not re-enter the United Kingdom without first notifying the Essex Abroad office except at the end of each (host university) term (returning at the beginning of the next) or the end of the academic year as appropriate. If they leave the programme they must notify the Essex Abroad office immediately. Failure to do so could result in the student being withdrawn from the University and the cancellation of his or her visa sponsorship.

6.39.

If a decision taken in accordance with University student progress or assessment regulations conflicts with the University's legal or compliance obligations then the matter shall be referred to the Registrar and Secretary who shall decide upon a course of action.

6.40.

A student may appeal that decision to the Vice-Chancellor, in writing, within five days of the Registrar and Secretary's decision on the grounds of:

- (a) Substantive factual error; and/or
- (b) Extraordinary circumstances where the student was physically unable to support the University in discharging its compliance obligations.

6.41.

The Vice-Chancellor will accept or reject the appeal within 10 days and their decision is final; there is no further right of appeal.

6.42.

Where the Vice-Chancellor is unavailable to review the appeal within the timescale, the Deputy Vice-Chancellor or Pro-Vice-Chancellor(s) may consider the appeal.

General Regulations

Academic Conduct

7.1.

Students are required to acquaint themselves with formal communications from academic departments and administrative sections of the University. These may take the form of written correspondence, sent by internal or external mail, notices posted on official noticeboards, information published in the myEssex student portal, and messages sent by electronic mail. Students are expected to access their University email at least once per week.

7.2.

Any student whose behaviour interferes with the satisfactory conduct of instruction may be required by a member of the academic staff to withdraw from a lecture, laboratory or class.

7.3.

A student may not make a personal recording of a teaching event, supervisory meeting, oral examination or other formal meeting or committee which considers the student's academic progress or performance without the permission of all other individuals present. If this permission is granted, the recording may be made for the personal use of the student only, in support of their studies and learning. The recording must not be made publicly available or shared for other purposes without the consent of those present. Disabled students who have difficulty with notetaking are encouraged to contact Student Support for further information on when recording is permissible and other access strategies.

Members Conduct

7.4.

Members of the University are required to give their names and either their home or University address when asked to do so by persons carrying written authority from the Registrar and Secretary and producing it if so requested.

7.5.

Members of the University wishing to invite guests to the University shall do so only in accordance with the rules and regulations of the University and shall accept responsibility for the conduct of and for any loss or damage caused by their guests while on the University site. No member of the University shall knowingly invite a person who has been excluded by the Registrar and Secretary to any University site.

7.6.

All users of IT facilities at the University must comply with the *Guidelines for Use of IT facilities*.

7.7.

Notices may be posted only in authorised places and the organisation or individual(s) responsible for the notice must be clearly identified on the notice.

7.8.

The Registrar and Secretary may from time to time declare any area of the University land and premises to be out of bounds; access to or continued presence in such areas is forbidden without written permission from the Registrar and Secretary.

7.9.

The University accepts no responsibility for property lost or damaged on University premises.

7.10.

Members of the University may not enter or remain in any University building without authority when the building is officially closed.

7.11.

Areas under the control of building contractors may not be entered without written permission. The roofs of University buildings are out of bounds and access is forbidden without written permission.

7.12.

The furniture and equipment and other property owned by the University may not be moved within any building to another room nor removed from any building without permission. Furniture and equipment and other property moved in contravention of this Regulation may be recovered without notice by authorised University staff.

7.13.

The University operates a No Smoking Policy, which complies with statutory requirements and applies to members, visitors, contractors and any other users of University facilities.

7.14.

For reasons of safety, when children under the age of 12 need to be brought into University buildings, it is required that the children must be closely supervised at all times. The University will require any children who are not so supervised to be removed from the building. Under no circumstances will children under the age of 12 be permitted in workshops and science department laboratories. The University accepts no liability for injury or harm to children.

Visitors between the age of 12 and 17 years will be permitted to enter University buildings, other than the Library, provided that they are in a supervised group. No parties or receptions for such groups will be permitted unless the room booked exits directly onto a square.

7.15.

For reasons of safety and to protect the University's estate, members and visitors, the Registrar and Secretary may at any time exclude

non-students from any University-owned property or land. Any person subject to such exclusion shall be informed in writing of the decision. The person has a right of appeal, which must be lodged with the designated Pro-Vice-Chancellor* within 15 working days from the date on which notification of the exclusion is despatched. An exclusion shall remain in force while the designated Pro-Vice-Chancellor reviews the case. Any decision to exclude remains in force indefinitely. Any person excluded in this way can request for the exclusion to be lifted three years from the date on which it came into force. Any such request must be put in writing to the Registrar and Secretary.

*currently Pro-Vice-Chancellor (Research)

7.16.

No dogs, except for guide dogs, may be brought into or kept in any University building. All dogs brought on to the University site or property by members of the University, guests or visitors, must be kept on a lead at all times. No pets may be brought into or kept in accommodation owned or administered by the University.

7.17.

The possession and use of weapons subject to public licensing is prohibited on the University site or on other University-owned and University-occupied property. The possession and use of air rifles, air pistols and similar items which are not subject to public licensing is also prohibited.

7.18.

Persons who make use of the University's sports facilities must comply with the rules for their use published from time to time by the Registrar and Secretary.

7.19.

Members of the University shall not create, or cause to be created, excessive noise which causes discomfort, inconvenience or annoyance to authorised users of the University premises.

7.20.

In order to ensure the safety of members of the University, their guests and other visitors, and the equitable access to facilities for all, the restrictions set out in the Rules Governing the Use of the Square (Wivenhoe Park campus) and Rules Governing the Driving and Parking of Vehicles within University Grounds shall apply.

7.21.

When a fire alarm sounds in any part of the University or any University-owned or administered accommodation, members of the University and their guests are required to leave that part or accommodation immediately and not to return until told that it is safe to do so by a fire officer or authorised member of the University staff

Immigration Status Requirements and extraordinary removal of University (Immigration) Sponsorship

7.22.

Where a student holds a visa or similar that is sponsored by the University, or, where the University is subject to an obligation in relation to immigration control in respect of that student, the student is responsible for ensuring adherence with the requirements of his/her visa or similar. Students have an additional responsibility to support the University in its sponsor (Tier 4 or similar) obligations to the Home Office.

7.23.

Where a student fails to adhere to those requirements and/or they fail to co-operate with the University or their actions or inactions impact upon the University's ability to adhere to its own obligations to the Home Office then, where necessary and upon the Academic Registrar or nominee bringing that situation to the attention of the Registrar, the Registrar (or nominee) may

- (i) decide there is no case to answer or that the case is unproven;
- (ii) require the student demonstrate adherence with the requirements of their immigration status and/or their support of the University's responsibilities;
- (iii) withdraw the student and/or revoke the student's visa sponsorship;
- (iv) refer the matter to the Proctor; or
- (v) refer the matter to a Progress Committee or Research Students' Progress Committee.

7.24.

Where the Registrar takes the decision to withdraw visa sponsorship the student shall be notified of that decision in writing immediately.

7.25.

A student is able to appeal to the Vice-Chancellor, in writing, within five days of the Registrar's decision (iii, above) on the grounds of

- (a) substantive factual error; and/or
- (b) extraordinary circumstances where the student was physically unable to comply to the requirements of his/her immigration status and that same circumstance physically prevented them from communicating the situation to the University.

7.26.

The Vice-Chancellor will accept or reject the appeal and their decision is final; there is no further right of appeal.

7.27.

Where the Vice-Chancellor's rejects the appeal or 10 days elapsing from the Registrar's decision to withdraw visa sponsorship (whichever is shorter) the immigration sponsorship shall be withdrawn and the student must leave the University and comply with the exit requirements of their visa or similar.

7.28.

Where the Vice-Chancellor is unavailable to review the appeal within the timescale the Deputy Vice-Chancellor or Pro-Vice Chancellor(s) may consider the appeal.

Immigration Status Requirements for those students subject to immigration control

7.29.

In order to be or remain registered at the University a student must adhere to the requirements of his or her own immigration status as identified by the Home Office or successor body together with actively supporting the University in the discharge of its obligations as an immigration sponsor. Specific requirements in relation to this will be outlined by the Academic Registrar from time to time and notified to students at the offer stage and/or during (re-) /registration or where this is not possible at any point in their period of studies. Students should be notified at the earliest possible opportunity of any changes that have an impact upon the University's obligations and in turn upon their registration and/or studies.

7.30.

Students are obliged to report any change in their immigration status without delay as well as ensuring the record of their personal details and any other required information identified by the University is fully up to date.

Placement, Work Experience and Essex Abroad

7.31.

Students are obliged to attend, full time, all aspects of their placement or study abroad as outlined in their placement (or similar) agreement. Where no such agreement exists, all such placements shall be full-time.

7.32.

Students subject to immigration control who fail to attend on a full time basis (or in the case of Essex Abroad, re-enter the United Kingdom without advising the Essex Abroad team in advance) will be subject to 7.22-7.28 above and may be withdrawn from the University and have their sponsorship cancelled.

Regulations for Scholarships, Bursaries, Studentships, Travel Grants and Prizes

Student Finance

It is important that you are able to finance your undergraduate or postgraduate studies, so we aim to provide you with comprehensive information to ensure you make the right decisions and find out about any available funding. Go to our Student Finance website for further information at:

www.essex.ac.uk/studentfinance

Scholarships, Bursaries and Studentships

UNDERGRADUATE STUDENTS

8.1

The University offers a range of Scholarships and Bursaries for undergraduate students which are published on our website at: www.essex.ac.uk/studentfinance

POSTGRADUATE STUDENTS

8.2.

The University offers a range of Scholarships and Studentships for postgraduate students which are published on our website at: www.essex.ac.uk/studentfinance

RESEARCH STUDENTS

8.3.

Information on the Government support available for postgraduate research students is published on our website at: www.essex.ac.uk/studentfinance

Travel Grants

9.1.

The University offers a range of Travel Grants. The sum available in each fund will be offered to one student. Applicants must be registered students of the University on the intended date of travel. For further information see our website at: www.essex.ac.uk/essexabroad/study/funding.aspx

Prizes

10.1.

The following University and departmental prizes are awarded, normally on an annual basis. The detailed criteria for the award of each prize and the sum awarded are available on application to the Academic Registrar.

SCHOOL OF BIOLOGICAL SCIENCES

The Abel Imray Project Prize
The Alex Boughton Award
The Institute of Biology Bioscience Student Award
The Society of Biology Student Award
The Biotechnology Prize
The British Technology Project Prize
The David Whytock Memorial and Syngenta Prize
The Environmental Prize
The Environmental and Conservation Prize
The Glaxo Smith Kline Prize
The Human Performance Unit Project Prize
The IBMS President's Prize
The John Gorrod Prize
The John Shire Memorial Prize
The John Shire Prize for Biology
The Molecular Medicine Prize
The Marine Biology Prize
The MSc Cardiac Rehabilitation Prize
The MSc Sports Science Prize
The OUP Achievement in Biosciences Prize
The Pearson Life Science Award
The Physiological Society prize for Best Final Year Project
The Reproductive Immunology Congress Prize
The Richard Watson Prize
The Scholar Athlete Award

SCHOOL OF COMPUTER SCIENCE AND ELECTRONIC ENGINEERING

The KF Bowden Memorial Prize (First Year)
The KF Bowden Memorial Prize (Second Year)
The British Telecom Project Prize (Final Year)
The British Telecom Project Presentation Prize (Final Year)
CCFEA MSc Project Prize
Institute of Electrical & Electronics Engineers UK & RI Communications Chapter Prize (Final Year)
Institute of Electrical & Electronics Engineers UK & RI Communications Chapter Prize (PGT)
Institute of Electrical & Electronics Engineers UK & RI Communications Chapter Prize (Second Year)
The Institute of Engineering and Technology Prize
The MSc Project Prize in the area of Computer Science
The MSc Project Prize in the area of Telecommunications & Data Communications
The R A Brooker Prize (PGT)
The Computer Science and Electronic Engineering Prize (Final Year)

The Devdas Korappath Gopal Prize (Second or Final Year)
The Teamcast Prize
The O'Reilly Academic Prize (First Year)
The Frank Thilo Prize (Second Year)
The Telecom Technologies Prize (Final Year)
The Telecom Technologies Prize (Postgraduate)
The Telecom Technologies Prize (Second Year)
The two10degrees Prize (Final Year)
The Wind River Systems Prize (Final Year)

EAST 15 ACTING SCHOOL

The Sir John Gielgud Charitable Trust Award
The Alan Bates Bursary
The Lilian Baylis Award
The John Hartley Award

DEPARTMENT OF ECONOMICS

Department of Economics Best Second Year Undergraduates Performance Prize
Department of Economics Best Third Year Undergraduate Performance Prize
Economics Best First Year Performance
Economics Department Prize for the Best MSc Dissertation
The Department of Economics Prize for Best MSc Performance
The Pearson Education First Year Management Essay Prize for BE400
Roy Bailey Prize for the Best Term Paper
The Scholar Athlete Award
Third Year Project Prize

EDGE HOTEL SCHOOL

Best Mark of the Year
FdA Highest Mark of the Year Award
Student of the Year
Professional Performance of the Year

ESSEX ABOARD OFFICE

Study Abroad Tempest Photography Prize

ESSEX BUSINESS SCHOOL

The Craig Hiemstra Memorial Prize
The Cengage Learning Prize for BE132 Auditing
The Chartered Financial Analyst Society of the UK Prize
The EBS Annual PGT Prize in Accounting Programmes
The Essex Business School Director's Prize for the best student in Accounting
The Essex Business School Director's Prize for the best student in Finance
The Essex Business School Director's Prize for the best student in Marketing, Entrepreneurship & Global Strategy

The Essex Business School Director's Prize for the best student in Management, Marketing & Organisation
The Business School Director's Prize for the best Postgraduate Dissertation
The EFC (Essex Finance Centre) Annual PGT Prize
The EMC (Essex Management Centre) Annual PGT Prize
The First Year Ivor Benveniste Prize
The McGraw-Hill Finance Prize for First Year
The McGraw-Hill Finance Prize for Second Year
The McGraw-Hill Finance Prize for Third Year
The Pearson Finance Prize for BE610
The Pearson Finance Prize for BE334 Financial Markets and Monetary Policy
The Pearson Finance Prize for BE650 Modern Banking
The Routledge Prize for the Best Performance in BE112 Business Law I
The Routledge Prize for the Best Performance in BE116 Business Law II
The Routledge Prize for the Best Performance in BE136 Employment Law
The Routledge Prize for the Best Performance in BE137 Insolvency Law
The Routledge Prize for the Best Performance in BSc Business Management
The Pearson Education First Year Management Essay Prize

DEPARTMENT OF GOVERNMENT

Award for the Best Empirical Dissertation
Best First Year BA Politics Student
Best First Year BA International Relations Student
Best First Year Joint Degree Student
Book Prize for the Best Joint Degree
First Year Journal Prize
PhD Prize for Outstanding Achievement in PhD
Research Study Abroad Tempest Photography Prize
The Eric Tanenbaum Prize for Best PGT Student
The Ernesto Laclau Prize for Best PGT Political Theory Student
The Jean Blondel Prize for the Best Second Year Student
The Jean Blondel Prize for the Best Undergraduate Degree Result
The Leatherland Book Prize for the Best 2nd Year Student
The Oscar Arias Prize for the best Undergraduate Dissertation
The Prize for the Best First Year Student
The Scholar Athlete Award

SCHOOL OF HEALTH AND HUMAN SCIENCES

BADT Outstanding Achievement BSc (Hons) Oral Health Science Prize

British Association of Dental Therapists – Outstanding Achievement BSc (Hons) Oral Health Science
 British Society of Dental Hygiene Therapy BSc Nursing (Adult) Prize for Best Performance
 BSc Nursing (Mental Health) Prize for Best Performance
 BSc Prize for Best Overall Score (Physiotherapy and Occupational Therapy)
 BSc Prize for Outstanding Performance
 BSc WBL Mental Health Prize for Best Overall Mark
 Chartered Society of Physiotherapy Prize
 Doctorate in Clinical Psychology Best Overall Academic Performance
 First Year Journal Prize
 Foundation Degree Adult Care for Best Overall Mark
 Foundation Degree Mental Health for Best Overall Mark
 HHS Prize for Best Undergraduate Performance Final Year
 Interprofessional Learning Prize for completing student with Best Overall Score
 Kimmy Eldridge Service Enhancement Prize
 Kimmy Eldridge outstanding progress Foundation Degree Oral Health Science
 MSc Prize for Best Overall Student Performance
 Outstanding Achievement Foundation Degree Oral Health Science Studies
 Sandra Clark Memorial Prize
 Steve Moores Memorial Prize
 The Dan O'Neill Prize for the Best Dissertation (Final Year)
 The Hannah Witheridge Award for Clinical Excellence
 The Tavistock Trust for Aphasia Prize

DEPARTMENT OF HISTORY

Best Performance by a Second Year on a History Degree Prize
 Best Performance on HR100 Prize
 Best Performance on HR111 Prize
 The Essex Society for Family History Prize
 MA Dissertation Prize
 The Department of History Independent Research Project Prize
 The Friends of Historic Essex Prize
 The Harry Lubasz Memorial Prize for the Best Performance on HR211
 The Humanities Faculty Prize for the Best Overall Degree Performance by a Final-Year History Student
 The John S Appleby Prize for the best MA Dissertation on an Essex History Theme
 Ruth Bensusan Prize
 The Simon Collier Essay Prize
 The Pop Ronson Memorial Prize for the Best Independent Research Project on a Local History Topic

HUMAN RIGHTS CENTRE

Deborah Fitzmaurice Memorial Prize
 The Faculty of Law & Management prize for the best student in HRC Masters Programmes
 The Kevin Boyle Memorial Prize for the Best Student in MA Theory and Practice of Human Rights

INTERDISCIPLINARY STUDIES CENTRE

BA Liberal Arts Prize
 The American Studies Prize
 The American (United States) Studies Prize
 Enlightenment Prize
 The ISC Dissertation Prize
 The Ede and Ravenscroft Prize (Second Year)
 The Jean Monnet Prize
 The John Ross Final Year Prize for French
 The John Ross Second Year Prize for French
 The Latin American Studies Prize
 The Study Abroad Tempest Photography Prize

ESSEX PATHWAYS DEPARTMENT

Andreas Polyviou Assignment Prize
 Andreas Polyviou Computing Year Mark Prize
 Best Reflective Event Prize
 Highest Year Mark Award for International Foundation Programme
 Highest Year Mark Prize for Year Zero
 The Graduate Diploma Highest Year Mark Prize
 The International Diploma in Business Highest Year Mark Prize
 The Jack Kay Book Prize

KAPLAN OPEN LEARNING, UNIVERSITY OF ESSEX ONLINE

Career Student of the Year
 Inspirational Graduate of the Year
 Top Business Graduate
 Top Criminal Justice Graduate

DEPARTMENT OF LANGUAGE AND LINGUISTICS

The Andrew Radford Prize
 The John Roberts Prize for Modern Languages
 The John Ross Final Year Prize for French
 The John Ross Second Year Prize for French
 The Martin Atkinson Prize for Linguistics
 The Scholar Athlete Award
 The Translation Prize

SCHOOL OF LAW

Elizabeth-Anne Gumbel Prize
 Ellisons' Solicitors Commercial Property Law Prize
 Gordon Sagar Prize
 Hammonds Prize for Commercial Law
 The Kevin Boyle Memorial Prize for the best student in MA Theory and Practice of Human Rights
 Oxford University Press Prize
 Palgrave Prize

Pearson Education Prize
Southend & District Law Society Prize
Sweet and Maxwell Prize
Suffolk and North Essex Law Society Prize
The Dalloz Prize for the Best Performance by a student in Stage 2 of the LLB English and French Law
The Princess Margriet of the Netherlands Prize for the Best PALLAS Student
The Lord Slynn of Hadley Award for Best Dissertation
The Robert Wight Memorial Prize for Tort Law
The School of Law Prize for the Best Performance in Jurisprudence
The School of Law Prize for Best Student on the LLM in UK Human Rights & Public Law
The School of Law Prize for Equity and Trusts

DEPARTMENT OF LITERATURE, FILM, AND THEATRE STUDIES

Department of Literature, Film, and Theatre Studies Prize for Best Literature Final Year
Ede and Ravenscroft Prize First Year Undergraduate
Ede and Ravenscroft Prize Second Year Undergraduate
Enlightenment Prize
Francis Barker Memorial Prize
MA Dissertation Prize
The Scholar Athlete Award
University of the Third Age Literature Prize for Top Second Year Student

DEPARTMENT OF MATHEMATICAL SCIENCES

Institute of Mathematics and its Applications Prize
The Scholar Athlete Award
Timothy Jarvis Prize
Townsend MSc Dissertation Prize
Winsten Prize

SCHOOL OF PHILOSOPHY AND ART HISTORY

Art History Prize
Barbara Crawshaw Prize for the Best Second Year Performance in Philosophy
Roy Beston Memorial Prize
Bishop/McKinlay Prize
Sir Andrew Carnwarth Travel Prize
The Cioffi Prize
Ede and Ravenscroft Prize (First Year)
Ede and Ravenscroft Prize (Second Year)
The External Examiner's Prize
Philosophy Second Year Prize
The Thomas Puttfarken Dissertation Prize
The Thomas Puttfarken Research Prize
The Mark Sacks' Memorial Prize

CENTRE FOR PSYCHOANALYTIC STUDIES

BA Psychoanalytic Studies/BA Therapeutic Care
First Year Student Prize
David Holt Prize
Hannah Curtis Prize
Paul Ford Memorial Prize
The Kegerreis Prize for Best Mark on BA (Hons) Therapeutic Communication and Therapeutic Organisations
The Sigmund Freud Memorial Student Prize

DEPARTMENT OF PSYCHOLOGY

British Psychological Society Undergraduate Award (Final Year)
The Departmental Prize (Final Year Undergraduate)
The First Year Psychology Department Prize
The Margaret Bell Prize for Outstanding Contribution to the Department
The Michael Lodge Memorial Prize
The Michael Lodge Memorial Prize (Final Year Undergraduate) Second Prize
The Michael Lodge Memorial Prize (Final Year Undergraduate) Third Prize
The MSc Psychology Prize for Best Dissertation
The MSc Psychology Prize
The Psychology Prize (Second Year Undergraduate) First Prize
The Psychology Prize (Second Year Undergraduate) Second Prize
The Psychology Prize (Second Year Undergraduate) Third Prize
The Second Year Psychology Prize
The Ray Meddis Prize (Final Year Undergraduate)
The Robert Ferry Memorial Prize (Postgraduate)
AT Welford Memorial Prize (Second Year Undergraduate)

DEPARTMENT OF SOCIOLOGY

The Enlightenment Prize
First Year Sociology
First Year Journal Prize
The Fuller Bequest Criminology Prize
The Fuller Bequest First Year Prize
The Fuller Bequest Project Prize
The Fuller Bequest Second Year Prize
The Fuller Bequest Undergraduate Prize
The Fuller Postgraduate Prize
Inner Wheel Club of Southend-on-Sea Prize
The David Lockwood Prize
The David Roberts Prize
The Don Pike Award
The Sage Quantitative Methods Prize
The Scholar Athlete Prize

Library Regulations

Library Opening Hours

11.1.

Information on Library opening hours for the Colchester, Loughton and Southend campuses is available on the Library website at: <http://libwww.essex.ac.uk/hours.htm>

Admission to the Library

11.2.

All members of the University as defined in Ordinance 1 are entitled to use the Library as readers. Members of the public and visitors to the university may apply, in writing, to the University Librarian for access to the Albert Sloman Library (ASL) at Colchester and may be required to provide a written recommendation. External readers associated with commercial organisations and businesses will be charged a fee if they are permitted to use the Library.

11.3.

The right of borrowing from the Library under the conditions laid down in the Regulations is granted to the following:

members of Council, employees of the university, including academic, research and professional services staff, and registered students of the University. Members of the public and external library users may be granted borrowing rights at the discretion of the University Librarian or his/her representative. External library users associated with commercial organisations and businesses will be charged a fee, at a rate determined from time to time by the University Librarian, if they are granted borrowing rights.

11.4.

The right to access the Library's subscribed electronic resources is granted to university employees, including members of academic, research and professional services staff, and registered students of the University. Members of the public and external library users may be granted 'walk in' access to some electronic resources via PCs on the library premises, in compliance with existing licensing agreements and at the discretion of the University Librarian. Users associated with commercial organisations and businesses will not be granted access to subscribed electronic resources, in compliance with current licensing arrangements for academic institutions.

11.5.

In registering as a student of the university all students agree to abide by the Library Regulations. It is the reader's responsibility to ensure that the contact information held by the University is up to date.

11.6.

All Library users should carry their University ID card or Library card as a means of identifying themselves as registered users of the Library. Such identification may be requested at any time by Library staff.

Borrowing

11.7.

No books shall be removed from the Library unless the borrower has first had the loan issued to them. All borrowers must produce their University ID card or a Library card when borrowing items from the Library. If a borrower's Library card or ID card is lost or stolen, the matter should be reported to the Library Helpdesk, and, in the case of students, to the Student Services Hub. Student ID cards may be replaced upon application to the Student Services Hub. Members of staff may obtain a replacement ID card from Human Resources office and all other borrowers may apply to the Library Helpdesk.

11.8.

Reference books, periodicals and books of special value or rarity may not be borrowed from the Library.

11.9.

A borrower is responsible for any book which remains on their Library record. Loans are strictly non-transferrable. Books borrowed by one reader must not be passed on to another but must first be returned to the Library and re-issued.

PERIOD OF LOAN

11.10.

The standard loan period for books in the main ASL collection is two weeks during term time. Shorter loan periods apply for books in high demand (one week and four hours). At The Forum Library, Southend, the standard loan period is four weeks and one week for books in high demand. The standard loan period at the Loughton campus library is one week.

11.11

Members of Council and members of the academic research and professional services staff and graduate students may borrow books from the ASL at Colchester, other than those in categories referred to in 11.8. and shorter loan books referred to in 11.10, for extended periods up to the last Friday of the current term.

11.12.

The latest date for return for each book will be stamped inside the book or shown on a printed receipt for self-service. Library users are expected to check their online Library record regularly to ensure they are aware of any changes to the indicated return dates for all borrowed items.

BORROWING ALLOWANCE

11.13.

Borrowing allowances for all categories of Library user are shown on the Library website.

VACATION BORROWING

11.14.

Undergraduate students may borrow books from the ASL at Colchester for vacation periods from two weeks before the end of the term.

11.15.

Members of Council and members of the academic, research and professional services staff and graduate students may borrow books from the ASL at Colchester for the next term two weeks before the end of the current term..

RECALL AND RETURN OF BOOKS ON LOAN (COLCHESTER CAMPUS)

11.16.

Any library book may be recalled from a borrower while on loan. Where the original loan is for more than one week, the return date may be altered to an earlier date. Borrowers will be notified by email of any change to the due date.

11.17.

A borrower who retains a short loan (high demand) book beyond the prescribed loan period may lose the privilege of borrowing this category of books for ten weeks.

11.18.

A borrower who has books in his/her possession which he/she failed to return in response to a recall notice will lose the privilege of borrowing any library books until the recalled item is returned.

11.19.

Reminders of book return dates will be sent one day before the book is due for return and on the first day the book is overdue, with periodic reminders thereafter including a note of any fines which have accrued.

Library users are expected to check their email account and online library record regularly to ensure they are aware of the return dates for all items they have borrowed.

11.20.

A reminder or a specific recall notice will be sent via University email and a 'final demand' notice will be sent by post to all current addresses held on the borrower's record.

11.21.

Borrowers from any of the University's libraries will be held responsible for any book they have on loan and will be required to pay the cost of replacement of any book lost, damaged or defaced whilst in their possession, together with an administrative charge to cover the cost of

ordering, cataloguing and processing the replacement item. Where the University Librarian or his/her representative agrees that a replacement copy rather than the cost of the lost or damaged item is acceptable an administrative charge will also apply to cover the cost of cataloguing and processing the replacement copy.

FINES

11.22.

The current rates for Library fines levied for overdue items, including the maximum fine applicable, are shown on the Library website.

11.23.

Fines and charges for lost books will be regarded as debts to the University and in the case of non-payment a defaulter will be excluded from all further use of the Library services until such debts have been paid. Final year students with outstanding Library debts may be excluded from their graduation ceremony.

Inter-Library Loans

11.24.

If the amount of a fine is in question the University Librarian's decision shall be final.

11.25.

Books borrowed from other libraries are subject in each case to the conditions imposed by the lending library.

General (Colchester Campus)

11.26.

Silence must be observed in the Library reading areas and in the Postgraduate Study area; quiet conversation is allowed in group study spaces and all other areas of the Library.

11.27.

Smoking (including the use of e-cigarettes), and the use of matches or open lights is strictly forbidden in all parts of the Library.

11.28.

Food and drink (other than bottled water) is not allowed in designated Library reading areas. Cold snacks and lidded drinks are permissible in the landing and group work areas. Hot and/or smelly food is strictly forbidden throughout the Library building. All food and drink (including bottled water) is forbidden in the Special Collections room.

All rubbish must be disposed of responsibly using the bins provided.

11.29.

The reservation of seats, study spaces or PCs in the Library is not permitted. Books and other articles left on chairs and tables may be removed by the Library staff. The Library accepts no

responsibility for personal belongings left in the building.

11.30.

All readers leaving the Library must be prepared to show any books or other library materials in their possession to a member of the Library staff, on request.

11.31.

A warning bell will be rung thirty minutes before closing time and at regular intervals after that. All readers must vacate the Library by closing time, and may be asked to vacate their seats after the first bell has been rung.

11.32.

Any person whose conduct in the Library is disorderly or in breach of Library regulations will be subject to the University's Code of Student Conduct.

The Forum Library, Southend

11.33.

Readers using the shared library in The Forum building (Southend) are jointly subject to its regulations and, where applicable, the University Library regulations.

Loughton Campus Library

Readers using the library at the Loughton campus are subject to the University Library regulations where applicable.

Code of Student Conduct in Residential Accommodation

INTRODUCTION

1. This code provides a framework for resolving complaints and dealing with incidents and allegations of misconduct that arise within University-owned, managed or administered accommodation. This code aims to resolve issues as efficiently and effectively as possible to assist residents in maintaining the quiet enjoyment of their accommodation, by ensuring that all residents are fully informed about the obligations and responsibilities of the University as Landlord and the resident as Tenant.

Scope

2. Only full-time registered students at the University will be entitled to occupy student accommodation owned, managed or administered by the University and designated for student occupation. The Registrar and Secretary will have the discretion to allow part-time registered students or University or visiting staff to occupy student accommodation if that is necessary for the better operation of the accommodation, or if the accommodation is surplus to student requirements.
3. Each occupier of student accommodation must enter into a contract with the University before taking up occupation. The contract will set out the period that the student accommodation will be made available and the applicable charges (as determined by Council from time to time). The contract will also set out the standard terms and conditions that the occupiers must observe and signify an agreement to abide by the Residents' Conduct Regulations contained within this code.

Permitted Occupiers

4. A permitted occupier is a person or persons who is permitted to live with a registered Student in that accommodation and is then named in the University's offer of student accommodation.

Behaviour of guests

5. Students residing in University-owned, managed or administered accommodation will be held responsible for the conduct of their guests and any permitted occupier and for

any damage caused by their guests or permitted occupier whilst they are on the University site. Guests may only be invited in accordance with the rules and regulations of the University. No person who has been excluded by the Registrar and Secretary from any University site may be invited as a student guest.

Partner Institutions

6. Students eligible for an award of the University of Essex following a programme of study validated by the University but delivered by a partner institution are registered with the relevant partner institution and come under the jurisdiction of the regulations for student conduct of the partner institution concerned. The University of Essex as Landlord may, however, instigate legal proceedings against a student studying at a partner institution following discussion with the person responsible for student conduct at the partner institution.

BROAD PRINCIPLES

Burden of Proof

7. The operation of this code is not a criminal process and therefore allegations of breaches of the Residents' Conduct Regulations need not be proved 'beyond a reasonable doubt'. The standard of proof applied is the 'balance of probabilities', which means that when assessing the evidence objectively, the view is that it is more likely than not that the allegation is true.

Alcohol and Drug Use

8. Being under the influence of alcohol or drugs will not normally be accepted as a mitigating factor or an excuse for behaviour leading to breaches of the Residents' Conduct Regulations.

Mental Health, Illness or Disability

9. Where there is concern that mental health, illness or disability may have impacted or continues to impact negatively on a student's behaviour and/or conduct, the proceedings may be adjourned at any stage for the matter to be considered by the Academic Registrar, who will determine whether proceedings are resumed under either the *Fitness to Study Policy*, the *Code of Student Conduct in Residential Accommodation*, the *Code of Student Conduct*, the *Fitness to Practise Procedure* or any other procedure or policy as appropriate.

Advice, Support, Representation and Guidance

10. All students have the right to be accompanied at any stage in the procedure described in this Code by a student or employee of the University or a representative of SU Advice, who provide independent advice, support, guidance and representation for students. Non-members of the University and legal representatives are not permitted to be present at any stage of the Residents' Conduct process.

Confidentiality

11. The operation of this code seeks to protect individual confidentiality at all stages throughout the operation of these procedures and the University will seek to ensure that confidentiality is maintained. This approach will not prevent the University from disclosing information where necessary for the discharge of its duties or as required by law, nor will it prevent the University, where appropriate from disclosing information about any outcome under this procedure.

Recording of Offences

12. Records relating to Residents' Conduct offences will be held by the Student Progress Team or his/her nominee, on a central database and will not normally be included in the student's centrally held academic file.
13. Records of Residents' Conduct cases are destroyed six academic years after the academic year in which the incident is dealt with.

Monitoring

14. The members of academic staff, students and other staff involved in the operation of the Code of Student Conduct in residential accommodation meet at least annually to review the operation of the Code of Conduct, consistency of decisions and other related matters as well as to undertake relevant training. The Student Progress Team provides annual reports to the Student Experience Committee, the Academic Quality and Standards Committee and Education Committee in relation to conduct and recommendations are considered and made to Senate as appropriate via the Education Committee.

ROLES AND RESPONSIBILITIES

15. Under these procedures, the following persons shall be deemed to be the authorised persons for dealing with student conduct in the residences:

The Associate Proctor (Residents' Conduct)

16. The Associate Proctor (Residents' Conduct), or his/her nominee, will have the power to respond to misconduct in accommodation owned or managed by the University. The Associate Proctor (Residents' Conduct) is an appropriate member of the University's Professional Services staff with responsibility for this area of work as part of their substantive role.

Residence Life (formerly the Residents' Support Network)

17. Residence Life is an accommodation-based service to help make sure you gain a positive experience of living and learning. There is a Residents' Assistant (RA) in each area of accommodation whose role is to get to know their residents, encourage communication and organise a range of social activities. Residence Life operates outside of office hours when other University support services are closed. The RAs also respond to concerns and complaints residents may have. As well as being able to help with various problems or concerns, the RAs also have information about other sources of help and support available on and off campus. Your RA will usually be able to assist you; however, on some occasions they may need to refer the matter to a Community Assistant or Residence Life Co-ordinator. Further information, including contact details, can be found on our website at: www.essex.ac.uk/accommodation/support/reslife.aspx

The Proctor

18. The Proctor is a permanent member of the Professional Services. S/he is responsible for investigating alleged breaches of the Student Conduct Regulations and has the power to impose a range of penalties, including the payment of fines and repair/replacement costs for minor offences. More serious cases are referred by the Proctor to the Student Conduct Officer for consideration by a Student Conduct Committee and the investigating Proctor is required to attend to present the case against the student.

The Student Conduct Officer

19. The Student Conduct Officer is appointed by the Council on the recommendation of the Vice-Chancellor and is an officer of the University and fulfils a number of duties.
20. The Student Conduct Officer considers all referrals from the Proctor of serious offences and all appeals in the first instance and

convenes Student Conduct Committees and Committees of Appeal as appropriate.

The Student Conduct Panel

21. The Student Conduct Panel is a pool of trained staff and student volunteers from which members of Student Conduct Committees are drawn.

SU Advice

22. SU Advice provides a 'confidential, independent and impartial' service that is not part of the University. They provide support and guidance to students throughout the process and seek to ensure that the University procedures are properly adhered to. In addition to advising students on their cases, SU Advice is also able to provide trained advisers to accompany students to meetings with the Associate Proctor (Residents' Conduct), the Proctor and Student Conduct Committees. Students are actively encouraged at every opportunity to engage with the service.

Conflict of Interest

23. Although it is intended that the named post holders will undertake these functions in the majority of cases, there may be special circumstances, including a conflict of interest, requiring another post holder to undertake the functions assigned to the post holder referred to in the document.

RESIDENTS' CONDUCT REGULATIONS

24. The following behaviour shall normally be treated as a minor breach of the Residents' Conduct Regulations and will normally result in action being taken by the Associate Proctor (Residents' Conduct) or his/her nominee:

- 1) encouraging or assisting others to infringe a regulation;
- 2) exhibiting indecent or abusive language or behaviour in University student accommodation;
- 3) exhibiting disorderly behaviour in University student accommodation;
- 4) creating or causing to be created, excessive noise which causes discomfort, inconvenience or annoyance to authorised users of University student accommodation;
- 5) behaviour that is discourteous, disrespectful or uncooperative towards a member of the University;

- 6) committing theft of foodstuffs or other minor items within University student accommodation⁴;
- 7) failing to comply with the University's *No Smoking Policy*⁵;
- 8) the use of lighted candles, tea lights, incense sticks or any other device which has a naked flame without the permission of the Registrar and Secretary⁶;
- 9) misusing fire detection equipment, fire prevention equipment or firefighting appliances within University student accommodation;
- 10) sounding or raising of a fire alarm within University student accommodation when it is unwarranted;
- 11) failing to immediately evacuate any part of University student accommodation, when a fire alarm sounds or returning before being told it is safe to do so by a fire officer or authorised member of the University staff;
- 12) interfering with, or impeding, or failing to comply with any member of the University in carrying out his/her duty or proper function within University student accommodation;
- 13) failing to disclose name and other relevant details to an employee of the University when it is reasonable to require that such information be given;
- 14) interfering with any mechanical, electrical or other services or installations within University student accommodation without the authority to do so;
- 15) causing actual or potential damage to, or defacement, misappropriation or unauthorised use of University student accommodation;
- 16) behaving in a manner within University student accommodation that amounts to harassment, as defined by the University's *'Guidelines for Dealing with Harassment and Bullying'*;
- 17) behaving in a manner which obstructs, frustrates or disrupts:
 - i. any meeting or other function (including social or sporting activities) authorised to take place within University student accommodation;

⁴ As defined in Sections 1 to 7 of the Theft Act 1968 and relevant case law thereunder.

⁵ The prohibition on smoking includes the use of electronic cigarettes or 'vaping'

⁶ The Registrar and Secretary will give permission only in exceptional circumstances, for example, where candles are used for religious purposes and where the lighting of candles is accepted practice for that form of religious worship. In no circumstances will permission be given for unattended candles or devices.

- ii. the satisfactory conduct of the administrative work associated with the provision of University student accommodation;
- 18) publishing any matter (including expressed orally or in writing, sign or visible representation, including electronically) which is threatening, abusive or insulting or constitutes harassment or makes others fear violence, including:
 - i. by printing or displaying in University student accommodation or
 - ii. by publishing or distributing to any visitor or member of the University within University student accommodation;
 - iii. by using in any form of meeting or gathering within University student accommodation;
 - 19) using social media in a way that constitutes a breach of any other Residents' Conduct Regulation or the terms and conditions of the accommodation contract;
 - 20) failing to comply with the terms and conditions of the contract for accommodation;
The following behaviour shall normally be treated as a serious breach of the Residents' Conduct Regulations which may result in conduct action being taken by the Proctor according to the provisions of the University's Code of Student Conduct:
 - 21) using, selling or possessing within the University any controlled drug⁷;
 - 22) possessing within the University any offensive weapon⁸;
 - 23) exhibiting threatening, intimidating or violent behaviour;
 - 24) committing theft within the University;
 - 25) behaviour that is likely to cause injury or impair safety on University premises;
 - 26) interfering with or impeding, by the use of intimidation, violence, threat of violence or physical obstruction, the supply or delivery of any goods or services to the University, or interfering with or impeding the entry into the University of any lawful visitor;
 - 27) failing to comply with a resolution previously imposed by the Associate

Proctor (Residents' Conduct) or his/her nominee;

- 28) making an allegation which is deemed to be vexatious, malicious or false.

RESIDENTS' CONDUCT PROCEDURES

25. The purpose of this procedure is:
 - 1) to establish whether a particular incident(s) took place and whether a Resident was involved in that incident;
 - 2) to establish whether a resident or an authorised guest of a resident is in breach of the Residents' Conduct Regulations;
 - 3) to determine what action should be taken against a resident who has breached the Residents' Conduct Regulations.

Minor breach of Residents' Conduct Regulations

Referral to the Associate Proctor (Residents' Conduct)

26. When, in the opinion of the Associate Proctor (Residents' Conduct) or his/her nominee, misconduct may have occurred within University student accommodation and action against a student is justified, s/he will supervise an investigation. In investigating the matter s/he may convene meetings to formally discuss the allegations with the subject of the complaint, the person making the complaint and any other persons involved.

Power of the Associate Proctor to impose a temporary non-contact resolution pending the outcome of an investigation

27. When, pending the outcome of a conduct investigation, in the opinion of the Associate Proctor, it is necessary for the protection of any person, property, the reputation of the University or the student him/herself, the Associate Proctor may impose on any student a requirement that the student has no contact, or restricted contact, with a specified person or persons. Failing without good reason to comply with such a requirement shall amount to a breach of *Residents' Conduct Regulation 27*.

Attendance at a meeting with the Associate Proctor (Residents' Conduct)

28. Meetings with the Associate Proctor or his/her nominee take precedence over all other academic and social engagements and attendance is mandatory during term-time. The time of the Associate Proctor or his/her nominee is valuable and students who fail to attend meetings unnecessarily delay investigations. Students who fail to attend a meeting with the Associate Proctor

⁷ As defined by the Misuse of Drugs Act 1971 and the Regulations promulgated thereunder or any legislation for the time being in force modifying or replacing the Act and substances prohibited under the Psychoactive Substances Act 2016.

⁸ As defined in the Prevention of Crime Act 1953 or, without good cause, any article as defined in Section 139 of the Criminal Justice Act 1988 and the Regulations promulgated thereunder or any legislation for the time being in force modifying or replacing that Act.

(Residents' Conduct) or his/her nominee, without good reason, will be subject to a fine of £25. Repeated failure to attend meetings or unauthorised absence from a meeting will result in either the Associate Proctor (Residents' Conduct) proceeding in the student's absence, including the imposition of an appropriate penalty where necessary or a direct referral of the matter to the Proctor for consideration.

Joint Hearings

29. If two or more students are involved in related misconduct, the Associate Proctor (Residents' Conduct) or his/her nominee may at his/her discretion deal with the cases together.

Advice, Support, Representation and Guidance

30. All students are permitted to bring a student or employee of the University or a representative of the Students' Union Advice Centre to the meeting with the Associate Proctor (Residents' Conduct) or his/her nominee and are actively encouraged to do so.

Incidents in communal areas of residential accommodation

31. Where, after investigation, the Associate Proctor is satisfied that a breach of these regulations has occurred in a communal area of residential accommodation, but it has not been possible to identify the student(s) responsible for the breach, the Associate Proctor may, if s/he considers it appropriate in the circumstances of the case, impose an appropriate penalty on all the registered occupants of the particular accommodation.

Penalties

32. Following investigation the Associate Proctor (Residents' Conduct) or his/her nominee will determine the severity of the breach. S/he may deal with minor breaches regardless of whether the student admits or denies guilt. In such cases the Assistant Proctor (Residents' Conduct) or his/her nominee, has the power, on behalf of the Proctor and Senate, to make one or more of the following resolutions:
- i. That the allegation should be dismissed and no further action taken.
 - ii. To issue a formal written warning to the student.
 - iii. To require the student to make an apology and or restitution to parties affected by the breach of regulations.

- iv. To confiscate without compensation, any item prohibited by the terms and conditions of the Residents' Contract.
 - v. To require the student to have no contact, or restricted contact, with a specified person or persons.
 - vi. To impose a fine not exceeding £150 except in relation to allegations of the unwarranted sounding or raising of a fire alarm within the University, for which the Associate Proctor (Residents' Conduct) or his/her nominee has the authority to impose a maximum fine of £500.
 - vii. To require the student to pay the whole or part of the cost of repair to, or replacement value of, any property that has been damaged or stolen.
 - viii. To require a student to attend a specified course or programme and to pay a reasonable cost for such a course or programme.
 - ix. To require the student to move to alternative University student accommodation.
 - x. To recommend to Accommodation Essex that the student should be refused University student accommodation in the future.
 - xi. To exclude the student from the whole or any specified part or parts of the University student accommodation and its precincts for a defined period or periods, including suspension of any non-academic facilities.
 - xii. Any other proportional action specified by the Associate Proctor (Residents' Conduct) or his/her nominee as s/he thinks proper.
33. The Penalty or penalties imposed will be determined by reference to the facts of the case, precedent in similar previous cases, any aggravating and mitigating factors, and the student's conduct history. Penalties will not last beyond the student's current programme of study. The imposition of a penalty, or part thereof, may be suspended pending any further breach of the same or other Residents' Conduct Regulations for a specified period of time.

Formal Notification

34. Students will be formally notified in writing, of the outcome of the investigations and subsequent decision and advised of the procedure for submitting an appeal.
35. All outcomes are monitored by the Student Conduct Officer.

Serious or Persistent breach of Residents' Conduct Regulations

Referral to the Proctor

36. If after investigation the Associate Proctor (Residents' Conduct) determines that the case is a serious breach of the Residents' Conduct Regulations or where there has previously been a series of minor offences, the case will be referred to the Proctor for investigation under the University's *Code of Student Conduct*. This does not prevent the Associate Proctor (Residents' Conduct) or his/her nominee from referring the case to the police.
37. The Associate Proctor (Residents' Conduct) will send a written notification to the student concerned, of the referral, the allegation or allegations and draw his/her attention to the University's *Code of Student Conduct Procedures*.

Temporary Exclusion from Accommodation

38. When, in the opinion of the Proctor, it is necessary for the protection of any person, property, the reputation of the University or the student him/herself, the Proctor may request the student to leave University student accommodation immediately or request the student to move to alternative University student accommodation pending the outcome of conduct proceedings. In such cases conduct proceedings will be initiated at the earliest opportunity. The Proctor may not suspend or relocate a student unless the student has been given the opportunity to make representations in person to the Proctor. Where for any reason it appears to the Proctor that it is not practicable for the student to attend in person, the student will be entitled to make written representation.

RESIDENTS' CONDUCT APPEALS PROCESS

Grounds for Appeal

39. A student has the right of appeal to the Student Conduct Officer, against any resolution of the Associate Proctor (Residents' Conduct) or his/her nominee on one or more of the following grounds:
 - i. That there is evidence now available which for good reason was not previously available, which might have materially affected the outcome; or
 - ii. That the Associate Proctor (Residents' Conduct) or his/her nominee departed from the Residents' Conduct Procedures in a manner that may be prejudicial to the interests of the accused student; or
 - iii. That the facts set out in the findings of the Associate Proctor (Residents' Conduct)

or his/her nominee do not warrant the resolution that there was a breach of regulations; or

- iv. That the penalty imposed by the Associate Proctor (Residents' Conduct) or his/her nominee was unreasonable with regard to all the circumstances of the case.

Written Notice of Appeal

40. A student may exercise their right to appeal by completing an Appeal Form, setting out concisely the grounds for appeal and sending it to the Student Progress Team within fifteen working days of the date on the letter containing the official notification of the outcome of conduct proceedings. The Student Conduct Officer has absolute discretion to extend this submission deadline to thirty working days from the date on the official outcome letter, providing that the student is able to show to the satisfaction of the Student Conduct Officer, that circumstances beyond his/her control prevented the standard time limit being adhered to and that injustice would result from adhering to it.
41. Upon receipt of an appeal, the Student Conduct Officer will review all the evidence in the case and determine whether or not the grounds set out in the appeal form have merit or should be dismissed. If the appeal is dismissed the student will be notified in writing within ten working days from the date of receipt of the appeal.
42. Where the Student Conduct Officer determines that the finding(s) of guilt are **not justified** by the evidence the Student Conduct Officer will void the finding(s) of guilt, dismiss the charge(s) against the student and any penalty or penalties imposed will be revoked. The student will be informed of the Student Conduct Officer's decision within ten working days from the date of receipt of the appeal.
43. Where the Student Conduct Officer determines that the finding(s) of guilt are **justified** by the evidence but that the penalty/penalties imposed by the Associate Proctor or his/her nominee are unduly harsh, the Student Conduct Officer will refer the case back to the Associate Proctor or his/her nominee, setting out his/her reasons and instructing the Associate Proctor or his/her nominee to reconsider the penalty/penalties in light of the Student Conduct Officer's reasoning. If the Associate Proctor or his/her nominee accepts the Student Conduct Officer's reasoning the Associate Proctor or his/her nominee accepts the Student Conduct

Officer's reasoning the Associate Proctor or his/her nominee will impose an alternative (lesser) penalty. If the Associate Proctor or his/her nominee does not accept the Student Conduct Officer's reasoning and believes that the original penalty/penalties should be maintained the case will be automatically referred to a Student Conduct Committee of Appeal

Convening a Student Conduct Committee of Appeal

44. On referring the case to a Conduct Committee of Appeal the Student Conduct Officer will request from the Associate Proctor (Residents' Conduct) or his/her nominee, against whose decision the appeal is made, a Statement of the Case. This Statement will include details of the charge or charges in respect of which the decision was made, a brief summary of the evidence and of the relevant findings, the decision, details of any penalty imposed, a brief comment as to the reason for such findings, decision and penalty and any further information which the Associate Proctor (Residents' Conduct) or his/her nominee, considers to be relevant.
45. Within fifteen days of the lodging of the appeal, the Student Progress Team will provide the student with a copy of the Statement of Case, details of the practical arrangements for the appeal hearing and the names of members of the Student Conduct Committee of Appeal, together with a reply slip which must be returned to the Student Progress Team, within five working days of the date of the letter. The student has the right to object to any member of the proposed Conduct Committee of Appeal and the grounds for any objection must be clearly stated and the Student Conduct Officer will rule whether the objection is valid.

Withdrawing an Appeal

46. The student may, within ten days of the despatch of the Statement of the Case by the Student Conduct Officer, withdraw the appeal. A student who fails to withdraw the appeal within this period must proceed with it unless the Student Conduct Committee of Appeal gives permission for its withdrawal.

Attendance at Student Conduct Committees

47. Students are required to attend any meeting of a Student Conduct Committee of Appeal. Students who fail to attend a Student Conduct Committee of Appeal, without good reason will be subject to a fine and the appeal will automatically be dismissed.

Advice, Support, Representation and Guidance

48. All students are permitted to bring a student or employee of the University or a representative of SU Advice to help him/her in presenting their appeal to the Committee and are actively encouraged to do so.

Composition of a Student Conduct Committee of Appeal

49. A Student Conduct Committee of Appeal will consist of two appointed members of the Student Conduct Panel (who shall constitute the quorum and one student member of the University selected from a pool of trained student volunteers. One of the two appointed academic members of the Committee will act as Chair of the proceedings and will have an additional casting vote upon a tie. No member of the Student Conduct Committee of Appeal will be from the same Department/School/Centre as the student subject to proceedings.

Order of Proceedings

50. The order of proceedings for a Student Conduct Committee of Appeal will normally be as follows:
 - a. Introduction of those present;
 - b. The Chair determines on what grounds the student is appealing and which charges/penalties are being appealed;
 - c. The Associate Proctor (Residents' Conduct) or his/her nominee sets out his/her view regarding their original resolution;
 - d. The student presents the case for the appeal and is present with their representative whenever oral evidence is being heard by the Committee;
 - e. The Committee has the opportunity to question both the Associate Proctor (Residents' Conduct) or his/her nominee and the student;
 - f. Either party may call witnesses who shall attend only to present their evidence and to answer any questions that the Committee or the other party may put to them through the Chair. Once their evidence has been heard and there are no more questions, witnesses are required to withdraw;
 - g. The Associate Proctor (Residents' Conduct) or his/her nominee and student are invited to provide a final summary;
 - h. The Associate Proctor (Residents' Conduct) or his/her nominee and the student withdraw whilst the Panel reach

their verdict upon guilt where this is being appealed;

- i. The Associate Proctor (Residents' Conduct) or his/her nominee and the student return for the delivery of the verdict upon guilt where this is being appealed;
- j. If the Committee confirms the decision to find the student guilty of a breach of the Conduct Regulations, the student is given the opportunity to put forward a case for mitigation of penalty;
- k. The Associate Proctor (Residents' Conduct) or his/her nominee informs the Committee of any previous breaches of the Residents' Conduct Regulations;
- l. The Associate Proctor (Residents' Conduct) or his/her nominee and the student withdraw whilst the Committee consider whether the penalty under appeal appropriate;
- m. The decision of the Committee is then presented orally to the student.

Student Conduct Committee of Appeal Resolutions

51. A Conduct Committee of Appeal will make one or more of the following resolutions:
 - a. Rescind the resolution of the Associate Proctor (Residents' Conduct) or his/her nominee, that the student be found guilty and that any consequential penalties should also be rescinded;
 - b. Confirm that the student be found guilty;
 - c. Either confirm or amend a penalty issued by Associate Proctor (Residents' Conduct) or his/her nominee, provided that any amendment is consistent with the powers of the Associate Proctor (Residents' Conduct) or his/her nominee that made the original resolution. It should be noted that this means that penalties may be increased as well as decreased.

Procedural Irregularity

52. Any appeal following the formal conclusion of the Student Conduct Committee of Appeal may be made on the grounds of procedural irregularity only, specifically that the Committee departed from the prescribed procedures. A student who wishes to appeal against the outcome of these procedures on the grounds of procedural irregularity should write to the Academic Registrar within four weeks of the date of the Student Conduct Committee of Appeal hearing, setting out in detail the nature of the evidence to support the claim that there were procedural irregularities in the process. If *prima facie* there is evidence to support the claim then the case will be reviewed by the Pro-Vice-Chancellor (Education). If the Pro-Vice-Chancellor determines that there were procedural irregularities in the process then the case will be referred to a new Student Conduct Committee of Appeal.

The Office of the Independent Adjudicator (OIA)

53. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures letter.

Code of Student Conduct

INTRODUCTION

1. The Code of Student Conduct is built on the principle that all students are expected to maintain a standard of conduct which supports the University's commitment to excellence in education and scholarship and promotes good order and the good name and reputation of the University.
2. In accepting an offer of a place at the University, students agree to be bound by all relevant provisions of the Charter, Statutes, Ordinances and Regulations of the University, which include this Code of Student Conduct.

Scope

3. The Student Conduct Regulations and Procedures apply in relation to any activities engaged in, or services and facilities enjoyed, as a student of the University, or in the vicinity of any premises owned, leased or managed by the University. Disciplinary action may also be taken against a student in respect of any alleged misconduct wherever and whenever it may have taken place when it is considered necessary to protect the interests and reputation of the University and its members.

Behaviour of Guests

4. Members of the University will be held responsible for the conduct of their guests and for any damage caused by their guests whilst they are on the University site. Guests may only be invited in accordance with the rules and regulations of the University. No person who has been excluded by the Registrar and Secretary from any University site may be invited as a student guest.

Partner Institutions

5. Students eligible for an award of the University of Essex following a programme of study validated by the University but delivered by a partner institution are registered with the relevant partner institution and come under the jurisdiction of the regulations for student conduct of the partner institution concerned. This code of conduct does not, therefore, apply to students registered at partner institutions.

BROAD PRINCIPLES

Burden of Proof

6. The University's Student Conduct Procedures are not a criminal process and therefore allegations of breaches of the Conduct Regulations need not be proved 'beyond a reasonable doubt'. The standard of proof applied is the 'balance of probabilities', which means that, when assessing the evidence objectively, the view is that it is more likely than not that the allegation is true.

Alcohol and Drug Use

7. Being under the influence of alcohol or drugs will not normally be accepted as a mitigating factor or an excuse for behaviour leading to breaches of the Student Conduct Regulations.

Mental Health, Illness or Disability

8. Where there is concern that mental health, illness or disability may have impacted or continues to impact negatively on a student's behaviour and/or conduct, the proceedings may be adjourned at any stage for the matter to be considered by the Academic Registrar, who will determine whether proceedings are resumed under either the *Fitness to Study Policy*, the *Student Code of Conduct*, the *Fitness to Practise Procedures* or any other procedure or policy as appropriate.

Advice, Support, Representation and Guidance

9. All students have the right to be accompanied at any stage during the procedure described in the Code of Student Conduct by a fellow student, member of staff or a representative of the Students' Union Advice Centre, which provides independent advice, support, guidance and representation for students. Non-members of the University and legal representatives are not permitted to be present at any stage of the conduct process.

Criminal Investigations and Convictions

10. Students are required to notify the Proctor immediately of any conviction of a criminal offence in a court of law or formal caution for a criminal offence by a police officer⁹.
11. In cases of alleged breaches of conduct which would also constitute serious offences

⁹ For the purposes of internal disposal, a conviction includes being put on probation, being given absolute or conditional discharges, being bound over, being given a formal caution or being issued a Penalty Notice for Disorder or being dealt with by way of Community Resolution. Students need not declare parking or speeding offences which are subject to fixed penalties.

under criminal law, the Conduct Procedures will not be invoked or will be suspended until the matter has either been prosecuted, or a decision not to prosecute has been taken, at which time the Student Conduct Officer will decide whether disciplinary action will be taken or continued. Conviction by the Police or in a Criminal Court will be taken as conclusive evidence that the alleged offence has occurred, and no further investigation will be required by the University.

12. Under the circumstances, the focus of any subsequent University conduct proceedings will include an assessment of the risk posed to staff or students. Any penalty imposed by the criminal court will be taken into account.
13. Whilst criminal investigations are underway, the student is obliged to keep the University informed of any progress and/or change in status regarding his/her case. The Proctor, or nominee, will review the case on a monthly basis and will make recommendations to the Student Conduct Officer if any action is required during this period.
14. Where the victim of an alleged offence chooses not to report the matter to the police or does not co-operate with their inquiries, and irrespective of whether or not a preference for internal disposal has been indicated, the University reserves the right not to invoke the Student Conduct Procedures.
15. In cases where an allegation is withdrawn, the University reserves the right to continue with its investigations and invoke internal Conduct Procedures or refer the matter to the Police as appropriate.
16. In the case of a criminal conviction, where proceedings (including bail conditions, period of time spent on remand, period of time served in custody following conviction) result in a student being absent from his/her studies for a period of fewer than 12 months the student will normally be required to intermit from their studies for this period. It is the student's responsibility to notify the Student Progress Team of the date of their release from custody and the matter will then be referred to a Student Conduct Committee to determine whether the nature of the offence poses an ongoing risk to the University community, and whether there are any outstanding matters of concern that have not been addressed by the criminal proceedings.
17. In the case of a criminal conviction, where proceedings (including bail conditions, period of time spent on remand, period of time served in custody following conviction) result in a student being absent from his/her studies for a period of 12 months or more, the student will be deemed withdrawn from the University. The student may then reapply for admission to the University, and as part of the standard readmission process will be required to

declare criminal convictions to be considered prior to a decision regarding an offer of a place. For further information on admissions procedures in respect of criminal convictions see:

www.essex.ac.uk/dbs/documents/student-membership-and-dbs-policy-april-2014.pdf

Conduct in University-Owned or Managed Accommodation

18. On entering into a contract for University-owned or administered accommodation, students confirm that they will abide by the terms and conditions of their tenancy agreement. Breaches of these terms and conditions will be dealt with in accordance with the procedures set out in the *Code of Student Conduct in Residential Accommodation*.

Professional Misconduct

19. For students subject to the *Fitness to Practise Procedure*, conduct which affects professional suitability may also be referred to other relevant University authorities to be handled in accordance with the appropriate procedures.

Conferral of Degrees

20. Except with the special permission of the Senate, no degree of the University will be conferred upon, or certificate or diploma of the University granted to, a person who is subject to on-going action in accordance with the procedures contained in this Code of Student Conduct, including the hearing of any appeal under the procedures or outstanding criminal investigations or proceedings.

Essex Abroad

21. Serious breaches or repeated minor breaches of conduct are considered by Student Conduct Committees. Students sanctioned by a Student Conduct Committee for a breach of the Student Conduct Regulations are automatically prohibited from undertaking study abroad as a component of their degree programme.
22. Students have a right of appeal against this automatic prohibition and may exercise this right by following the *Procedure for Dealing with Prospective Essex Abroad Students with a record of Serious Misconduct*.

Confidentiality

23. The operation of the Student Code of Conduct seeks to protect individual confidentiality at all stages.
24. Throughout the operation of these procedures, the University will seek to ensure that confidentiality is maintained. This approach will not prevent the University from disclosing information where necessary for the discharge of duties or as required by law, nor will it prevent the University, where appropriate, from disclosing information about any outcome under this procedure.

Recording of Offences

25. Records relating to conduct offences will be held by the Student Progress Team on a central database and will not normally be included in the student's centrally held academic file.
26. Records of conduct cases are destroyed by the Student Progress Team six academic years after the academic year in which the incident is dealt with.

Monitoring

27. The members of academic staff, students and other staff involved in the operation of the Code of Student Conduct meet at least annually to review the operation of the Code of Conduct, consistency of decisions and other related matters as well as to undertake relevant training. The Student Progress Team provides annual reports to the Student Experience Committee, the Academic Quality and Standards Committee and Education Committee in relation to conduct and recommendations are considered and made to Senate as appropriate via the Education Committee.

ROLES AND RESPONSIBILITIES

Student Progress Team

28. The Student Progress Team co-ordinates all aspects of the administration and delivery of the Student Code of Conduct across all University campuses. All matters related to Student Conduct should be addressed to this office in the first instance. Contact details can be found at: www.essex.ac.uk/students/experience/code-conduct.aspx

The Proctor

29. The Proctor is a permanent member of the Professional Services. S/he is responsible for investigating alleged breaches of the Student Conduct Regulations and has the power to impose a range of penalties, including the

payment of fines and repair/replacement costs for minor offences. More serious cases are referred by the Proctor to the Student Conduct Officer for consideration by a Student Conduct Committee and the Proctor is required to attend to present the case against the student.

The Student Conduct Officer

30. The Student Conduct Officer is appointed by the Council on the recommendation of the Vice-Chancellor and is an Officer of the University and fulfils a number of duties.
31. The Student Conduct Officer chairs the Student Conduct Panel. In addition, s/he considers all referrals from the Proctor of serious offences and all appeals in the first instance and convenes Student Conduct Committees and Committees of Appeal as appropriate.

The Student Conduct Panel

32. The Student Conduct Panel is a pool of trained staff and student volunteers from which members of Student Conduct Committees are drawn.

SU Advice

33. SU Advice is a 'confidential, independent and impartial' service that is not part of the University. It provides support and guidance to students throughout the process and seeks to ensure that the University procedures are properly adhered to. In addition to advising students on their cases, SU Advice is also able to provide trained advisors to accompany students to meetings with the Proctor and Student Conduct Committees. Students are actively encouraged to engage with the service at every opportunity.

Conflict of Interest

34. Although it is intended that the named post holders will undertake these functions in the majority of cases, there may be special circumstances, including a conflict of interest, where another post holder will be substituted.

STUDENT CONDUCT REGULATIONS

35. The following behaviour shall be treated as a breach of the Conduct Regulations which may result in disciplinary action being taken:
 1. infringing or attempting to infringe a Regulation of the University;
 2. encouraging or assisting others to infringe a Regulation of the University;
 3. having been convicted of a criminal offence in a court of law or formally

- cautioned for a criminal offence by a police officer or dealt with for a criminal offence by way of Community Resolution by a police officer¹⁰;
4. failing to disclose the conviction of a criminal offence by a court of law or a formal caution by a police officer for a criminal offence¹⁴;
 5. using, selling or possessing within the University any controlled drug¹¹
 6. possessing within the University any offensive weapon¹²
 7. behaving in such a way as may reasonably be deemed to harm the reputation of the University of Essex or its relationship with the local or wider community and/or attracting adverse publicity;
 8. exhibiting threatening, intimidating or violent behaviour;
 9. exhibiting indecent or abusive language or behaviour;
 10. exhibiting disorderly behaviour;
 11. behaving in a manner that amounts to harassment as defined by the University's *'Guidelines for Dealing with Harassment and Bullying'*;
 12. creating, or causing to be created, excessive noise which causes discomfort, inconvenience or annoyance to authorised users of the University premises;
 13. behaviour that is discourteous, disrespectful or uncooperative towards a member of the University;
 14. committing theft within the University¹³;
 15. committing or attempting to commit any fraud¹⁴ in relation to any monies due to the University or any debt owed to the University by any student;
 16. behaviour that is likely to cause injury or impair safety on University premises;
 17. misusing fire detection equipment, fire prevention equipment or fire-fighting appliances within the University;
 18. sounding or raising of a fire alarm within the University when it is unwarranted;
 19. failing to immediately evacuate any part of the University or University-owned or administered accommodation, when a fire alarm sounds or returning before being told it is safe to do so by a fire officer or authorised member of the University staff;
 20. interfering with, or impeding, or failing to comply with any member of the University in carrying out his/her duty or proper function as such;
 21. failing to disclose name and other relevant details to an employee of the University or the Students' Union when it is reasonable to require that such information be given;
 22. interfering with or impeding, by the use of intimidation, violence, threat of violence or physical obstruction, the supply or delivery of any goods or services to the University, or interfering with or impeding the entry into the University of any lawful visitor;
 23. interfering with any mechanical, electrical or other services or installations within the University without the authority to do so;
 24. causing actual or potential damage to, defacement, misappropriation or unauthorised use of the property of the University and its grounds;
 25. disposing of litter inappropriately within the University;
 26. behaving in a manner which obstructs, frustrates or disrupts:
 - a. any lecture, class or other instruction, or any laboratory work, or any examinations, authorised to be held, given or undertaken within the University; *or*
 - b. any meeting or other function (including social or sporting activities) authorised to take place within the University; *or*
 - c. the satisfactory conduct of the administrative work of the University or its public or official functions, activities or legal duties;
 27. publishing any matter (including expressed orally or in any writing, sign or visible representation, including electronically) which is threatening, abusive or insulting or constitutes harassment or makes others fear violence, including:
 - a. by printing or displaying within the University *or*

¹⁰ For the purposes of internal disposal a conviction includes being put on probation, being given absolute or conditional discharges, being bound over, being given a formal caution or being issued a Penalty Notice for Disorder or being dealt with by way of Community Resolution. Students need not declare parking or speeding offences which are subject to fixed penalties.

¹¹ As defined in the Misuse of Drugs Act 1971 and the Regulations promulgated thereunder or any legislation for the time being in force modifying or replacing that Act and substances prohibited under the Psychoactive Substances Act 2016;

¹² As defined in the Prevention of Crime Act 1953 or, without good cause, any article as defined in Section 139 of the Criminal Justice Act 1988 and the Regulations promulgated there under or any legislation for the time being in force modifying or replacing that Act

¹³ As defined in Sections 1 to 7 of the Theft Act 1968 and relevant case law thereunder

¹⁴ As defined in Section 1 of the Fraud Act 2006

- b. by publishing or distributing to any visitor or member of the University or
 - c. by using in any form of instruction, meeting or gathering (including social and sporting activities) or
 - d. by broadcasting to any visitor or member of the University;
28. using electronic and social media in a way that constitutes a breach of any other Student Conduct Regulation;
 29. misusing the University's name or any University record or document; or forging or falsifying any University record or document; or making any false statement either verbally or in writing in relation to any academic examination or assessment or University administrative function or service; or being party to impersonation in relation to any academic examination or assessment or University administrative function or service. This includes, but is not limited to, the use of false documentation or false statements in the process of gaining admission to the University;
 30. behaving in a manner that causes, or is likely to cause, death, unnecessary suffering, harm or distress to any species of wildlife or domesticated or semi-domesticated animal;
 31. failing to comply with the terms and conditions of the contract for Accommodation;
 32. failing to comply with the 'Guidelines for Use of IT Facilities';
 33. failing to comply with any direction or restriction, imposed by the Librarian in respect of the use of Library facilities;
 34. failing to comply with the University's *No Smoking Policy*¹⁵;
 35. failing to comply with a resolution previously imposed by the Vice-Chancellor, the Proctor, the Associate Proctor (Residents' Conduct) or his/her nominee, a Student Conduct Committee or a Student Conduct Committee of Appeal, from the time of the notification of the resolution or such other time as is authorised by the Student Conduct Officer, save that a period of 28 days (or exceptionally, a longer period as specified by the Student Conduct Officer) is allowed for the payment of fines, damages and costs;
 36. making an allegation which is deemed to be vexatious, malicious or false;
 37. attempting to undermine or undermining the University's obligations in respect of UK Immigration policy;
 38. failing to comply with the University's policy and procedures for attendance monitoring or misuse of a student registration card or a 'Count-me-in' electronic reader in relation to attendance monitoring;
 39. any other behaviour that is deemed to contravene the general principles of the Code of Student Conduct.

STUDENT CONDUCT PROCEDURES

Minor Incidents

Investigating Allegations

36. When, in the opinion of the Proctor, misconduct may have occurred and action against a student is justified, the Proctor shall supervise an investigation. In investigating the matter, the Proctor will usually convene a series of meetings to formally discuss the allegations with the subject of the complaint, the person making the complaint and any other persons involved. In cases where the alleged offence/s involve/s more than one student, all or any of the cases may be dealt with at the same time.

Power of Proctor to impose a temporary non-contact resolution pending the outcome of an investigation

37. Pending the outcome of a conduct investigation, when in the opinion of the Proctor it is necessary for the protection of any person, property, the reputation of the University or the student him/herself, the Proctor may impose on any student a requirement that the student has no contact, or restricted contact, with a specified person or persons. Failing to comply with such a requirement, without good reason, shall amount to a breach of *Student Conduct Regulation 35*.

Attendance at a meeting with the Proctor

38. Meetings with the Proctor take precedence over all other academic and social engagements and attendance is mandatory during term-time. The Proctor's time is valuable and students who fail to attend meetings unnecessarily delay investigations. Students who fail to attend a meeting with the Proctor without good reason will be subject to a fine of £25. Repeated failure to attend meetings or unauthorised absence from a

¹⁵ The prohibition on smoking includes the use of electronic cigarettes or 'vaping'

meeting will result in either the Proctor proceeding in the student's absence, including the imposition of an appropriate penalty where necessary or a referral of the matter to the Student Conduct Officer for consideration by a Student Conduct Committee.

Joint Hearings

39. If two or more students are involved in related misconduct, the Proctor may at his/her discretion deal with the cases together.

Advice, Support, Representation and Guidance

40. All students are permitted bring a student or employee of the University or a representative of SU Advice to the meeting with the Proctor and are actively encouraged to do so.

Penalties

41. Following investigation, the Proctor will determine the severity of the breach. The Proctor may deal with minor breaches regardless of whether the student admits or denies guilt. It is at the Proctor's discretion what constitutes a minor breach of the Regulations. In such cases, the Proctor has the power, on behalf of Senate, to make one or more of the following resolutions:

- a. That the allegation should be dismissed and no further action taken.
- b. To issue a formal written warning to the student.
- c. To require the student to make an apology and/or restitution to the parties affected by the breach of regulations.
- d. To confiscate without compensation, any item prohibited by the rules and regulations relating to the possession of offensive weapons on University premises.
- e. To require the student to have no contact, or restricted contact, with a specified person or persons.
- f. To impose a fine not exceeding £250, except in relation to allegations of the unwarranted sounding or raising of a fire alarm within the University, for which the Proctor has the authority to impose a maximum fine of £500.
- g. To require the student to pay the whole or part of the cost of repair to, or replacement value of, any property that has been damaged or stolen.
- h. To require a student to attend a specified course or programme and to pay a reasonable cost for such a course or programme.
- i. To require the student to move to alternative University accommodation.

- j. To recommend to Accommodation Essex that the student should be refused University accommodation in the future.
- k. To exclude the student from the whole or any specified part or parts of the University and its precincts for a defined period or periods, including suspension of any non-academic facilities.
- l. Any other proportional action specified by the Proctor as he/she thinks proper.

Penalties Relating to Minor Criminal Convictions

42. In relation to a case referred as a consequence of a student being convicted of a minor criminal offence in a court of law or formally cautioned for a minor criminal offence by a police officer, the Proctor will take into account the safety of persons and property and good order in the University and its precincts, when imposing one or more of the following resolutions:
- a. That the charges against the student should be dismissed and no further action taken;
 - b. To issue a formal written warning to the student;
 - c. To confiscate without compensation, any item prohibited by the rules and regulations relating to the possession of offensive weapons on University premises;
 - d. To require the student to attend a specified course or programme and to pay a reasonable cost for such a course or programme;
 - e. To recommend to Accommodation Essex that the student may not be allocated accommodation in the future;
 - f. To exclude the student from the whole or any specified part or parts of the University and its precincts for a defined period or periods, including suspension of any non-academic facilities;
 - g. Any other proportional action specified by the Proctor as he/she thinks proper.
43. The Penalty or penalties imposed will be determined by reference to the facts of the case, precedent in similar previous cases, any aggravating and mitigating factors, and the student's conduct history. Penalties will not last beyond the student's current programme of study. The imposition of a penalty, or part thereof, may be suspended pending any further breach of the same or other conduct regulations for a specified period of time.

Formal Notification

44. Students will be formally notified in writing of the outcome of the Proctor's investigations and subsequent decision and advised of the procedure for submitting an appeal.
45. All outcomes are monitored by the Student Conduct Officer.

Serious Incidents

Referral to the Student Conduct Officer

46. If the Proctor determines the case to be of a more serious nature or where there has previously been a series of minor offences, the case will be referred to the Student Conduct Officer. This does not prevent the Proctor from referring the case to the police.
47. Students will be formally notified in writing, of the referral, the allegation/s and draw his/her attention to the Conduct Procedures.
48. Upon receiving a referral from the Proctor the Student Conduct Officer will convene a Student Conduct Committee to consider the charges against the student.

Temporary Exclusion from Accommodation

49. When, in the opinion of the Proctor it is necessary for the protection of any person, property, the reputation of the University or the student him/herself, the Proctor may request the student to leave University student accommodation immediately or request the student to move to alternative University Student Accommodation pending the outcome of conduct proceedings. In such cases conduct proceedings will be initiated at the earliest opportunity. The Proctor may not suspend or relocate a student unless the student has been given the opportunity to make representations in person to the Proctor. Where for any reason it appears to the Proctor that it is not practicable for the student to attend in person, the Student will be entitled to make written representation.

Temporary Suspensions and Exclusions Pending a Conduct Hearing or Criminal Trial

50. The Vice-Chancellor may, pending a conduct hearing or a criminal trial, suspend or exclude a student who is the subject of a complaint of misconduct or against whom a criminal charge is pending or who is the subject of a police investigation.
51. Suspension or exclusion pending a hearing is not used as a penalty. The power to suspend

or exclude under this provision is designed to protect a member or members of the University community and will only be used where the Vice-Chancellor is of the opinion that it is in the best interests of either the student or any member of the University community. Written reasons for the decision will be recorded and notified to the student.

'Suspension' includes a total prohibition by the University on attendance at or access to the University and on any participation in University activities.

52. 'Exclusion' involves selective restriction on attendance at or access to the University, or prohibition on exercising the functions or duties of any office or committee membership in the University or Students' Union, the exact detail to be specified in writing.
53. Suspension should only be used where exclusion from specified activities or facilities would be inadequate and as far as is possible, arrangements will be put in place to reduce the impact of suspension on the student's studies. The impact will however depend on the discipline.
54. An order of suspension or exclusion may include a requirement that the student should have no contact of any kind with a named person or persons.
55. The Vice-Chancellor may temporarily suspend or exclude a student with immediate effect. A student who has been temporarily suspended or temporarily excluded by the Vice-Chancellor may make representations in person or in writing to the Vice-Chancellor. Such representations must be made within five working days of the date of the letter notifying the student of the temporary suspension or exclusion or as soon as practicable thereafter, if there is good reason why the student was prevented from making his/her representations within the deadline. Where the student attends in person s/he may be accompanied by a fellow student or member of staff from the University of Essex or a representative from SU Advice.
56. A decision to temporarily suspend or exclude a student will be kept under review by the Proctor who will report any significant changes in the circumstances of the case to the Vice-Chancellor. Should the holding of a disciplinary hearing or criminal trial be delayed for whatever reason, and where the suspension or exclusion has continued for at least four weeks, the student may request a

review of the decision. A review will be conducted by three independent members of the Senate and the student will be given the opportunity to submit written representations and to make representations in person. Should the suspension or exclusion continue after the review, it will remain under constant review by the Proctor who will report any significant changes in the circumstances of the case to the Vice-Chancellor and, at the request of the student, be subject to further independent reviews at four-weekly intervals thereafter, until a disciplinary hearing or criminal trial is held.

57. Breach of a suspension or exclusion order as noted above, will also be regarded as a breach of conduct regulation 35 and may result in an immediate referral to a Student Conduct Committee, who may issue an appropriate penalty commensurate with their powers.
58. The power to temporarily suspend or exclude a student, pending a disciplinary hearing or a criminal trial, is delegated to an appropriate senior office-holder and will be carried out in accordance with the procedure above. In all cases where the delegated power is exercised, the student retains the right to make representations to the Vice-Chancellor.

Convening a Student Conduct Committee

59. The Student Progress Team will inform each student in writing that their case has been referred to a Conduct Committee and the substance of the referral. Details of the practical arrangements for the hearing will be included together with a reply slip which must be returned to the Student Progress Team, within five days of the date of the letter, indicating whether s/he admits to having committed the cited breach/es and whether s/he has any objection to any of the members of the Committee. The grounds for any objection must be clearly stated and the Student Conduct Officer will rule whether the objection is valid.
60. If two or more students are involved in related misconduct, the Student Conduct Officer may determine that the cases are considered together by the same Committee.

Attendance at Student Conduct Committees

61. Students are required to attend any meeting of a Student Conduct Committee appointed by the Student Conduct Officer. The Conduct Committee will proceed in the student's absence, should he/she fail to attend the hearing without good reason.

Advice, Support, Representation and Guidance

62. All students are permitted to bring a student or employee of the University or a representative of SU Advice to help him/her in presenting his/her case to the Committee and are actively encouraged to do so.

Evidence

63. Evidence will normally be presented orally but written evidence or electronic evidence may also be submitted for examination. The Chair has the power to determine whether any material appears irrelevant or appears unduly repetitive.

Witness Evidence

64. It is the responsibility of the student charged and the Proctor to arrange for their respective witnesses to give oral evidence or to submit any written statements. A witness giving oral evidence may be cross-examined. A witness not available for cross-examination may submit evidence in writing, but written evidence may be given less weight than evidence presented in person. The Committee may choose to disregard evidence from witnesses who are not prepared to have their names revealed to the Committee.

Composition of a Student Conduct Committee

65. A Student Conduct Committee will consist of two appointed members of the Student Conduct Panel (who shall constitute the quorum) and one student member of the University selected from a pool of trained student volunteers. One of the two appointed academic members of the Committee will act as Chair of the proceedings and will have an additional casting vote upon a tie. No member of the Student Conduct Committee will be from the same Department, School or Centre as the student subject to the proceedings.

Order of Proceedings

66. The order of proceedings for a Student Conduct Committee will normally be as follows:
 - a. Introduction of those present;
 - b. The Proctor sets out the allegation/s of misconduct;
 - c. The student responds to the allegation/s and is present with their representative whenever oral evidence is being heard by the Committee;
 - d. The Committee has the opportunity to question both the Proctor and the student;
 - e. Either party may call witnesses who shall attend only to present their evidence and to answer any questions that the Committee or the other party may put to

them through the Chair. Once their evidence has been heard and there are no more questions, witnesses are required to withdraw;

- f. The Proctor sums up the allegation/s;
- g. The student provides a final summary;
- h. The Proctor and the student withdraw whilst the Panel reach their verdict;
- i. The Proctor and the student return for the delivery of the verdict;
- j. If the Committee finds the student guilty of a breach of the Conduct Regulations, the student is given the opportunity to put forward a case for mitigation of penalty;
- k. The Proctor informs the Committee of any previous breaches of the Student Conduct Regulations by the student;
- l. The Proctor and the student withdraw whilst the Committee consider an appropriate penalty;
- m. The decision of the Committee is then presented orally to the student.

Adjourning a Student Conduct Committee

67. The Committee may, where it is appropriate to do so, adjourn consideration of a student's guilt or subsequent penalty in order to enable the student charged and/or their representative to be present. The Committee may adjourn a hearing in order to request that the Student Conduct Officer requires a witness to attend for cross-examination. The Committee may postpone its deliberations where a witness that the Committee considers to be a vital witness, fails to attend. The Committee may also adjourn where it is of the opinion that its proceedings are being impeded by any circumstances beyond its control.
68. The Committee will meet to consider an adjourned case, as soon as it is feasible and not later than three months after the adjournment, although the case does not need to be determined at the resumed meeting. Where it is not reasonably practicable for the same members to attend the Committee reconvened to hear an adjourned case, the Student Conduct Officer may co-opt up to three additional members to replace those unable to attend and, if necessary, may appoint from among those co-opted members a new Chair. Where two or more additional members are co-opted, the reconvened hearing will proceed as a new hearing. Where only one additional member is co-opted and the student charged requests it, the reconvened hearing will take the form of a new hearing.

Penalties

69. A Conduct Committee has the power, on behalf of the Senate, to make one or more of the following resolutions:
 - a. That the charges against the student should be dismissed and no further action taken;
 - b. To issue a formal written warning to the student;
 - c. To require the student to make a written apology and/or restitution to the parties affected by the breach of Regulations;
 - d. To confiscate without compensation, any item prohibited by the rules and regulations relating to the possession of offensive weapons on University premises;
 - e. To require the student to have no contact, or restricted contact, with a specified person or persons;
 - f. To impose a fine;
 - g. To require the student to pay the whole or part of the cost of repair to, or replacement value of, any property that has been damaged or stolen;
 - h. To require the student to attend a specified course or programme and to pay a reasonable costs for such a course or programme;
 - i. To require the student to move to alternative University accommodation;
 - j. To recommend to Accommodation Essex that the student should be refused University accommodation in the future;
 - k. To exclude the student from the whole or any specified part or parts of the University and its precincts for a defined period or periods, including suspension of any University academic, residential, social or sporting facilities¹⁶;
 - l. To expel the student from membership of the University¹⁷.

¹⁶ A student expelled or excluded as a result of conduct proceedings shall have no right to a refund.

¹⁷ Expulsion from the University, is compulsory permanent withdrawal from the University and means that the student is no longer eligible to be registered for a programme of study or a component of a programme of study; or to be awarded a degree or exit award from the University or to reside in University Accommodation. Earned credits which have already been ratified by a Board of Examiners can be recorded on a transcript.

Penalties Relating to Serious Criminal Convictions

70. In relation to a case referred to it as a consequence of a student being convicted of a serious, or repeated minor criminal offence(s) in a court of law or formally cautioned for a serious criminal offence by a police officer, a Committee will take into account the safety of persons and property and of good order in the University and its precincts, and make one or more of the following resolutions:
- That the charges against the student should be dismissed and no further action taken;
 - To issue a formal written warning the student;
 - To confiscate without compensation, any item prohibited by the rules and regulations relating to the possession of offensive weapons on University premises;
 - To require the student to attend a specified course or programme and to pay a reasonable cost for such a course or programme;
 - To recommend to Accommodation Essex that the student may not be allocated accommodation in the future;
 - To exclude the student from the whole or any specified part or parts of the University and its precincts for a defined period or periods, including suspension of any University academic, residential, social or sporting facilities²⁰;
 - To expel the student from membership of the University²⁰.
71. Penalties will not last beyond the student's current programme of study. The imposition of a penalty, or part thereof, may be suspended pending any further breach of the same or all conduct regulations for a specified period of time.

Formal Notification

72. Students will be formally notified in writing of the outcome of the committee's deliberations and decision and advised of the procedure for submitting an appeal.

STUDENT CONDUCT APPEALS PROCESS

Grounds for Appeal

73. A student has the right of appeal to the Student Conduct Officer, against any resolution of the Proctor or a Student Conduct Committee on one or more of the following grounds:
- That there is evidence now available, which for good reason was not previously available to the Proctor or the Conduct Committee, which might have materially affected the outcome; or
 - That the Proctor or Conduct Committee departed from the Student Conduct Procedures in a manner that may be

prejudicial to the interests of the accused student; or

- That the facts set out in the findings of the Proctor or Conduct Committee do not warrant the resolution that there was a breach of regulations; or
- That the penalty imposed by the Proctor or Conduct Committee was unreasonable with regard to all the circumstances of the case.

Written Notice of Appeal

74. A student may exercise their right to appeal by completing an Appeal Form, setting out concisely the grounds for appeal (as described above) and sending it to the Student Progress Team at studentconduct@essex.ac.uk within fifteen working days of the date on the letter containing the official notification of the outcome of conduct proceedings. The Student Conduct Officer has absolute discretion to extend this submission deadline to thirty working days from the date on the official outcome letter, providing that the student is able to show to the satisfaction of the Student Conduct Officer, that circumstances beyond his/her control prevented the standard time limit being adhered to and that injustice would result from adhering to it.

Minor cases where the Proctor determined guilt and imposed a penalty

75. Upon receipt of an appeal, the Student Conduct Officer will review all the evidence in the case and determine whether or not the grounds set out in the appeal form have merit or should be dismissed. If the appeal is dismissed the student will be notified in writing within ten working days from receipt of the appeal.
76. Where the Student Conduct Officer determines that the finding(s) of guilt was/were **not justified** by the evidence, the Student Conduct Officer will void the finding(s) of guilt and dismiss the charges(s) against the student and any penalty or penalties imposed will be revoked. The student will be informed of the Student Conduct Officer's decision within ten working days from receipt of the appeal.

77. Where the Student Conduct Officer determines that the finding(s) of guilt was/were **justified** by the evidence, but that the penalty imposed by the Proctor was unduly harsh, the Student Conduct Officer will refer the case back to the Proctor setting out his/her reasons and instructing the Proctor to reconsider the penalty/penalties in light of the Student Conduct Officer's reasoning. If the

Proctor accepts the Student Conduct Officer's reasoning, the Proctor will impose an alternative (lesser) penalty. If the Proctor does not accept the Student Conduct Officer's reasoning and believes that the original penalty/penalties should be maintained, the case will be automatically referred to a Student Conduct Committee of Appeal (in which case the procedure described below will apply).

Appeals against the outcome of a Student Conduct Committee

78. Upon receipt of an appeal, the Student Conduct Officer will determine whether the grounds set out in the appeal merit referral to a Student Conduct Committee of Appeal, or whether the appeal should be dismissed. The student will be informed of the Student Conduct Officer's decision within ten working days from receipt of the appeal.
79. On referring the case to a Conduct Committee of Appeal, the Student Conduct Officer will request from the Chair of the original Conduct Committee or the Proctor, against whose decision the appeal is made, a Statement of Case, which will include details of the charge or charges in respect of which the decision was made, a brief summary of the evidence and of the relevant findings, the decision, details of any penalty imposed, a brief comment as to the reason for such findings, decision and penalty and any further information which the Proctor or Committee Chair concerned considers to be relevant.
80. Within fifteen days of the notification of the Student Conduct Officer's decision to refer the appeal to a Student Conduct Committee of Appeal, the Student Progress Team will provide the student with a copy of the Statement of Case, details of the practical arrangements for the hearing and the names of members of the Student Conduct Committee of Appeal, together with a reply slip which must be returned to the Student Progress Team, within five working days of the date of the letter. The student has the right to object to any member of the proposed Conduct Committee of Appeal and the grounds for any objection must be clearly stated and the Student Conduct Officer will rule whether the objection is valid.

Withdrawing an Appeal

81. The student may, within five days of the despatch of the Statement of the Case by the Student Conduct Officer, withdraw the appeal. A student who fails to withdraw the

appeal within this period must proceed with it unless the Student Conduct Committee of Appeal gives permission for its withdrawal.

Attendance at Student Conduct Committees

82. Students are required to attend any meeting of a Student Conduct Committee of Appeal. Students who fail to attend a Student Conduct Committee of Appeal without good reason will be subject to a fine and the appeal will automatically be dismissed.

Advice, Support, Representation and Guidance

83. All students are permitted to bring a student or employee of the University or Students' Union to help him/her in presenting their appeal to the Committee and are actively encouraged to do so.

84. Composition of a Student Conduct Committee of Appeal

A Student Conduct Committee of Appeal will consist of two appointed members of the Student Conduct Panel (who shall constitute the quorum) and one student member of the University selected from a pool of trained student volunteers. One of the two appointed academic members of the Committee will act as Chair of the proceedings and will have an additional casting vote upon a tie. No member of the Student Conduct Committee of Appeal will be from the same Department/School/Centre as the student subject to proceedings. No member of the original Student Conduct Committee will be a member of the Student Conduct Committee of Appeal.

Order of Proceedings

85. The order of proceedings for a Student Conduct Committee of Appeal will normally be as follows:
 - a. Introduction of those present;
 - b. The Chair determines on what grounds the student is appealing and which charges/penalties are being appealed;
 - c. The Proctor sets out his/her view regarding the original resolution of the Proctor or Conduct Committee;
 - d. The student presents the case for the appeal and is present with their representative whenever oral evidence is being heard by the Committee;
 - e. The Committee has the opportunity to question both the Proctor and the student;
 - f. Either party may call witnesses who shall attend only to present their evidence and

to answer any questions that the Committee or the other party may put to them through the Chair. Once their evidence has been heard and there are no more questions, witnesses are required to withdraw;

- g. The Proctor and student are invited to provide a final summary;
- h. The Proctor and the student withdraw whilst the Panel reach their verdict upon guilt where this is being appealed;
- i. The Proctor and the student return for the delivery of the verdict upon guilt where this is being appealed;
- j. If the Committee confirms the decision to find the student guilty of a breach of the Conduct Regulations, the student is given the opportunity to put forward a case for mitigation of penalty;
- k. The Proctor informs the Committee of any previous breaches of the Student Conduct Regulations by the student;
- l. The Proctor and the student withdraw whilst the Committee consider whether the penalty under appeal appropriate;
- m. The decision of the Committee is then presented orally to the student.

Student Conduct Committee of Appeal Resolutions

86. A Conduct Committee of Appeal will make one or more of the following resolutions:
- a. Rescind the resolution of the Proctor or Conduct Committee, that the student be found guilty and that any consequential penalties should also be rescinded;
 - b. Confirm that the student be found guilty;
 - c. Either confirm or amend a penalty issued by either a Conduct Committee or the Proctor, provided that any amendment is consistent with the powers of the Proctor or the Conduct Committee which made the original resolution. It should be noted that this means that penalties may be increased as well as decreased.

Procedural Irregularity

87. Any appeal following the formal conclusion of the Student Conduct Committee of Appeal may be made on the grounds of procedural irregularity only, specifically that the Committee departed from the prescribed procedures. A student who wishes to appeal against the outcome of these procedures on the grounds of procedural irregularity should write to the Academic Registrar within four weeks of the date of the Student Conduct

Committee of Appeal hearing, setting out in detail the nature of the evidence to support the claim that there were procedural irregularities in the process. If there is irrefutable evidence to support the claim then the case will be reviewed by the Pro-Vice-Chancellor (Education). If the Pro-Vice-Chancellor determines that there were procedural irregularities in the process then the case will be referred to a new Student Conduct Committee of Appeal.

The Office of the Independent Adjudicator (OIA)

88. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures letter.

Immigration Status

89. Where a student's proven conduct or evidence considered in relation to the conduct process brings their immigration status into question, the matter shall be referred to the Academic Registrar without delay for consideration under 7.22-7.28 in the *General Regulations, Academic Conduct*.

Acquittal in a Court of Law

90. A student acquitted in a court of law of an offence related to an incident for which a penalty has been already imposed under the arrangements set out in this document shall have the right of appeal to a Conduct Committee of Appeal provided that such appeal is lodged in writing with the Student Conduct Officer within thirty working days of the date on which the verdict in the court of law is delivered.

Academic Offences Procedures

A. Academic Offences & General Information

A1.

The University and the Students' Union expect all students:

- to behave with honesty and integrity in relation to coursework, examinations and other assessed work;
- to be familiar and act in accordance with the conventions of academic writing (including appropriate referencing of sources);
- to show understanding of ethical considerations and be compliant with the relevant University Procedures.

A student who does not comply with any of these requirements may be charged with having committed an academic offence.

A2.

The following are some examples of academic offences and do not constitute an exhaustive list:

- a. plagiarism, that is, using or copying the work of others (whether written, printed or in any other form) without proper acknowledgement in any assignment, examination or other assessed work;
- b. self-plagiarism, that is, using or copying one's own work that has previously been submitted for assessment, at the University or elsewhere, without proper acknowledgement in any assignment, examination or other assessed work, unless this is explicitly permitted;
- c. false authorship, that is the submission of work for assessment that has been written wholly or in part by a third party and presented as one's own original work;
- d. collusion, that is, submitting work produced collaboratively for individual assessment, unless this is explicitly permitted and acknowledged;
- e. falsifying data or evidence;
- f. unethical research behaviour, that is, conducting research without obtaining ethical approval from the University where such approval is required, or the unauthorised use of information that has been confidentially acquired;
- g. introducing, or attempting to introduce, any written, printed or electronically accessible information into an examination, other than material explicitly permitted in the instructions for that examination;

- h. copying, or attempting to copy, the work of another candidate in an examination;
- i. communicating, or attempting to communicate, with another person, other than an invigilator, during an examination.

DEFINITIONS OF TERMINOLOGY

A3.

An examination is to be defined as any assessment under controlled conditions, including an in-class test.

A4.

A unit of assessment is to be defined as any element of a module which contributes to a final module mark.

B. Investigating an Academic Offence

B1.

Departmental Adjudicators are responsible for the initial investigation of all alleged academic offences within the Department. The Departmental Adjudicator is the Head of Department or a nominee approved by the Executive Dean of the appropriate Faculty, or his/her Deputy. All allegations of an academic offence must be referred to a Departmental Adjudicator, and be investigated and dealt with on a formal basis. Individual members of academic staff are not permitted to make decisions about any case of suspected plagiarism and must refer these to the Departmental Adjudicator. Head of Department shall also be taken to include Head or Dean of School, Director of Area, Centre or Institute or a nominated academic for a partner institution.

B2.

Faculty Adjudicators are responsible for considering:

- a. cases that have been referred to the Faculty by the Departmental Adjudicator;
- b. cases relating to formal examinations;
- c. cases where the student is completing a research degree.

The role of Faculty Adjudicator will be undertaken by the Executive Dean, Deputy Dean (Education) or Deputy Dean (Postgraduate Research Education). For partner institutions, the role of Faculty Adjudicator will be undertaken by the Dean of Partnerships or his/her Deputy. Additional Faculty Adjudicators must be approved by the Executive Dean of the appropriate Faculty.

B3.

Academic Offences Committees are responsible for considering cases that have been referred by the Faculty.

B4.

Adjudicators and Academic Offences Committees are required to:

- a. provide written notification to the student that an allegation is being formally investigated, with confirmation of the module and unit of assessment that is being investigated;
- b. check for any previous academic offences before making a final decision;
- c. consider whether the allegation is in breach of the Code of Student Conduct (see B6);
- d. provide each student an opportunity to respond to the allegation (see B20 to B27);
- e. provide written confirmation to the student, the Department and the Student Progress Team of the decision made. The written confirmation will include a summary of the allegation, a summary of the student's response and the reasons for the decision, as well as a notification of the student's right of appeal;
- f. for cases handled by the Faculty or an Academic Offences Committee, notify the Department of the final decision.

B5.

Appointed Adjudicators act on behalf of Senate. An Academic Offences Committee is a Committee of Senate.

B6.

Where the alleged offence involves an alleged breach of the University's Code of Student Conduct, the Departmental Adjudicator must first consult with the Proctor before proceeding with the investigation. The Proctor will consider how best to proceed on a case by case basis and advise the Initial Adjudicator accordingly.

B7.

Adjudicators and Committees will be required to obtain evidence in finding an allegation to be proven and determining a penalty. Examples of suitable evidence include, but are not limited to, the use of plagiarism detection software, obtaining and annotating allegedly plagiarised material, questioning students on the content of the assignment, inspecting material taken into an examination without authorisation, and comparing a student's work with other work that the student has previously submitted.

ADDITIONAL INVESTIGATIVE RESPONSIBILITIES: UNDERGRADUATE AND POSTGRADUATE TAUGHT STUDENTS

B8.

The Departmental Adjudicator is responsible for the investigation of alleged academic offences relating to coursework submitted by any student undertaking a module in his or her department. Where a student is charged with committing an offence on a module which is not run by a Department, School or Centre, the Department responsible for the student's degree programme shall investigate the allegation.

B9.

The Departmental Adjudicator should not be involved in the investigation of allegations for

modules for which they are responsible for. In such cases a substitute Departmental Adjudicator should be appointed by the Head of Department and approved by the Executive Dean or Deputy Dean (Education).

B10.

The Departmental Adjudicator can take decisions about all suspected academic offences relating to coursework where the offence, if confirmed, will result in a penalty that it is within the Department's power to impose.

B11.

The Departmental Adjudicator will refer to the Faculty any such case where:

- a. the nature or severity of the alleged offence would warrant a more serious penalty than those that the Department can apply (see Section D);
- b. the offence is alleged to have occurred in a formal examination;
- c. the student is student studying towards a Postgraduate Certificate in Higher Education Practice.

B12.

A Faculty Adjudicator of the appropriate Faculty will consider all cases referred to the Faculty.

B13.

The Faculty Adjudicator will refer to an Academic Offences Committee any such case that is suspected to warrant a more severe penalty than those than the Faculty can apply.

ADDITIONAL INVESTIGATIVE RESPONSIBILITIES: POSTGRADUATE RESEARCH STUDENTS

B14.

The Departmental Adjudicator is responsible for the initial investigation of alleged academic offences relating to preliminary drafts of chapters or to papers submitted to a Supervisory Panel or for consideration by a Research Students' Progress Board produced by a research student in his or her own department.

B15.

All allegations relating to work submitted for assessment by a Supervisory Panel or Research Student's Progress Board shall be considered for formal investigation. The Departmental Adjudicator may dismiss allegations where it is deemed that the work has been submitted for formative assessment only.

B16.

Where an offence is alleged by an Examiner during the examination process for a research degree, the examination must be suspended and the allegation referred to a Faculty Adjudicator. If plagiarism is alleged before the viva takes place, then the viva should be held over until the investigation has been completed. If plagiarism is identified during the viva, the Examiners should inform the candidate and suspend the viva. In both cases, the Examiners should prepare a written report for the Faculty Adjudicator. All

allegations brought after the thesis has been submitted will be considered by an Academic Offences Committee.

B17.

The Departmental Adjudicator should not be involved in the investigation of allegations where they are the student's supervisor or a member of the Supervisory Board. In such cases a substitute Departmental Adjudicator should be appointed by the Head of Department and approved by the Executive Dean or Deputy Dean (Postgraduate Research Education).

B18.

The Departmental Adjudicator will refer all allegations of an academic offence for a Postgraduate Research Student to the Faculty. An appropriate Faculty Adjudicator will consider all cases referred to the Faculty.

B19.

The Faculty Adjudicator will refer to an Academic Offences Committee any such case that is suspected to warrant a more severe penalty than those that the Faculty can apply.

MEETING WITH THE STUDENT

B20.

A student has the right to reply to any allegation and must be given the opportunity to meet with the relevant Adjudicator or Academic Offences Committee, before a final decision about the allegation is made.

B21.

Normally, students should be given advanced notice of the scheduled meeting, and access to the relevant documentation, at least one week in advance. A meeting may proceed in the absence of the student (or a written statement) if the Adjudicator or Chair of the Academic Offences Committee is satisfied that due notice has been given to the student.

B22.

A penalty cannot be decided upon by an Adjudicator or Academic Offences Committee unless a meeting has been scheduled and due notice has been given. Cases may be referred to the Faculty or to an Academic Offences Committee without scheduling a meeting with the student.

B23.

Student attendance at a meeting is not compulsory but is strongly advised. If the student attends, they may be accompanied by a student or member of staff of the University or Students' Union to help them in presenting their case. If the student does not wish to attend, they may submit a written response in advance of the meeting.

B24.

At any meeting to discuss an alleged academic offence, or by way of a written statement being provided instead, the student will be given an opportunity to respond to the allegation by way of defence and/or disclose any extenuating circumstances that they wish to be considered in the context of the allegation, or an admission to the charge. Students should ensure that any extenuating circumstances they wish to present are submitted to the relevant adjudicator at the time the case is considered.

B25.

In some instances, such as allegations relating to collusion or group submissions, it may be necessary and appropriate for the Adjudicator or Academic Offences Committee to see more than one student at a time.

B26.

If an allegation of an academic offence has been proven, the student will be invited to disclose any further cases which they wish to be taken into consideration as part of the same offence. Students are warned that all undisclosed offences which come to light will be treated as subsequent offences, potentially carrying heavier penalties.

B27.

All meetings conducted by Faculty Adjudicators and meetings of the Academic Offences Committee will be serviced by a Secretary, acting on behalf of the Academic Registrar. The Secretary of the Committee will notify the student in writing of the time and place in which the case will be heard. During the meeting, the Secretary will take notes, taking particular care to record the reasons for the decision and the deliberation concerning the imposition of any penalty and the alternatives from the set of possible penalties that were considered.

ACADEMIC OFFENCES COMMITTEE

B28.

Academic Offences Committees shall consist of a Faculty Adjudicator in the chair, and two members of staff from outside of the student's department who have no connection with the case in question. Members of the Committee must be drawn from the Panel of potential Academic Offences Committee members. If the Faculty Adjudicator has previously made a judgement relating to the allegation in question, then another Faculty Adjudicator must chair the Committee.

B29.

A representative of the Department in which the alleged offence has occurred (normally the Departmental Adjudicator) will attend the meeting of the Committee to set out evidence relating to

the alleged offence. The Departmental Representative should not present any extenuating circumstances on behalf of the student unless they relate to the Department's procedures or teaching. The Departmental Representative is not a member of the Committee, should not propose or comment on any penalty that might be imposed, and is not permitted to ask questions of the student during the meeting except through the Chair.

B30.

Only members of the Committee and the Secretary shall be present while the Committee is reaching a decision. The student is entitled to be present at all times that the Departmental Representative is in attendance at the meeting.

B31.

The Chair of the Committee shall have the authority to determine the order of proceedings and exclude any material which appears irrelevant to the case.

B32.

The usual pattern of proceedings is:

- a. The members of the Committee have a preliminary discussion without the student, the student's representative or the Departmental Representative being present;
- b. The student, the student's representative and the Departmental Representative enter the room and the Chair introduces all those present;
- c. The Chair checks that the student has received details of the case and any supporting documentation;
- d. The Chair explains the order of proceedings to the student;
- e. The evidence relating to the alleged offence is then presented by the Departmental Representative, and members of the Committee, the student and the student's representative are invited to put questions to the Departmental Representative;
- f. The Chair then invites the student to put forward a case orally if he or she wishes to do so including any extenuating circumstances or other mitigation, and members of the committee (but not the Head) are invited to put questions to the student;
- g. The Chair invites the student's representative to put forward any additional statement;
- h. The Chair invites the student to make any final response;
- i. The student, the student's representative and the Departmental Representative are then asked to leave the room;
- j. The Committee then deliberates and comes to a decision as to whether an offence has been committed;
- k. The Committee then determines the appropriate penalty from the set of penalties available to it, clarifying the reasons for the choice of penalty;

- l. The student and the student's representative are then recalled to the room to be told the decision as to whether the alleged offence is confirmed and, if so, the penalty and the reasons why this is the appropriate penalty. The Departmental Representative may be present during this final stage.

B33.

The Committee may choose to adjourn in order to enable the student or the student's representative to be present, or where this is necessary to obtain further information. The Committee shall meet to consider an adjourned case as soon as it is feasible and not later than three months after the adjournment, although the case need not be determined at the resumed meeting. If necessary, the Executive Dean, or the appropriate Deputy Dean of the relevant Faculty, may co-opt additional members to replace any member not able to attend the reconvened meeting, including a new chair. If there are two new members, the reconvened meeting shall proceed as a new hearing. If there is one new member, the student may request that the meeting proceed as a new hearing.

WITHDRAWN STUDENTS

B34.

Where an academic offence has been alleged and a student has withdrawn, or been required to withdraw, from the University for reasons not related to the allegation; the Academic Offences Procedures will be completed. If the student is found to have committed an academic offence, a notional penalty will be allocated and a record made of the outcome.

The outcome will be communicated to the student in writing.

B35.

Where an allegation of an academic offence arises after the degree has been conferred, the Faculty Adjudicator must consult the Vice-Chancellor who shall determine the procedures to be used in dealing with the case.

C. Determining an Academic Offence and Applying a Penalty

C1.

Adjudicators and Academic Offences Committee are expected to determine whether an academic offence has been proven, before deciding which penalty to apply. Where a professional body (or similar) may be concerned with the intentionality of the offence, a judgement has to be made as to whether the offence was intentional or not.

C2.

A student may be found guilty of an academic offence whether or not there has been any intention to deceive; that is, a judgement that negligence has occurred is sufficient to determine guilt.

C3.

Any allegation can be dismissed before or after a meeting with the student has been held. The Departmental Adjudicator may determine that no offence has been committed at any point of their investigation, but not after a case has been referred to the Faculty. The Faculty Adjudicator may determine that no offence has been committed at any point after a case has been referred to them by the Department, but not after the case has been referred to an Academic Offences Committee. In all cases where formal proceedings have begun, such a decision should be confirmed to the student in writing and noted on the student's record.

C4.

In determining an appropriate penalty, the Adjudicator or Academic Offences Committee will take the following into account:

- a. the degree of severity of the offence;
- b. whether it is a first or subsequent offence, and, if applicable, the nature and severity of the previous offence;
- c. the academic stage the student has reached (first year undergraduate, Masters, etc.);
- d. any extenuating circumstances;
- e. the status of the module enrolment (ie core, compulsory or optional).

C5.

The Adjudicator or Academic Offences Committee should not take a student's array of marks into consideration when allocating a penalty.

C6.

When more than one offence is considered at the same time the offences will normally all be considered as a first offence if the student has not previously been found guilty of an academic offence. A subsequent offence may occur from the point at which a student is found guilty of a first offence.

C7.

If an academic offence is proven, the Adjudicator or Academic Offences Committee shall apply a penalty and may, in addition, require the student to complete an Academic Integrity Tutorial, attendance at which will be considered compulsory. Attendance at an Academic Integrity Tutorial cannot replace a penalty. Failure to attend the Academic Integrity Tutorial will be noted should the student commit any subsequent offences and shall not be considered as a valid claim of mitigation, unless exceptional extenuating circumstances have prevented the student from attending.

PENALTIES FOR UNDERGRADUATE AND POSTGRADUATE TAUGHT STUDENTS

C8.

The following penalties may be applied by Departmental Adjudicators, Faculty Adjudicators and Academic Offences Committees:

- Penalty 1: A formal written warning only;
- Penalty 2: Unit of assessment to be referenced correctly and assessed for an uncapped mark;
- Penalty 3: Unit of assessment to be referenced correctly and assessed for the maximum of a capped pass mark;
- Penalty 4: Mark of zero to be given for the unit of assessment, reassessment available to the Board of Examiners.

The following penalties may be applied by Faculty Adjudicators and Academic Offences Committees:

- Penalty 5: Mark of zero to be given for the unit of assessment, with no resubmission or reassessment permitted.

The following penalties may be applied by Academic Offences Committees only:

- Penalty 6: Mark of zero to be awarded for the module, with no resubmission or reassessment permitted;
- Penalty 7: No longer eligible for full award: Mark of zero to be awarded for the module with no resubmission or reassessment permitted and the student may complete credits for an exit award only;
- Penalty 8: Required to withdraw and no longer eligible for full award: A mark of zero to be awarded for the module no resubmission or reassessment permitted and the Examination Board to be invited to consider the student only for an exit award on the basis of credits already achieved;
- Penalty 9: Required to withdraw with no qualification awarded: A mark of zero to be awarded for the module no resubmission or reassessment permitted and the Examination Board to be invited to ratify credits that have already been achieved for recording purposes.

PENALTIES FOR POSTGRADUATE RESEARCH STUDENTS

C9.

For allegations that have occurred prior to the student's submission for final assessment, the following penalties may be applied by Faculty Adjudicators and Academic Offences Committees only:

- Penalty 1: A formal written warning only;
- Penalty 2: Specified section of submission to be referenced correctly, rewritten or removed, and resubmitted for assessment. For

allegations that have occurred prior to submission for the student's final assessment, the following penalties may be applied by Academic Offences Committees only:

- Penalty 3: No longer eligible for full award: the student's registration shall be downgraded and they will be considered for a lesser award only.
- Penalty 4: Required to withdraw with no qualification awarded.

C10.

For allegations that have occurred after the submission of the student's final assessment, the following penalties may be applied by Academic Offences Committees only:

- Penalty 1: Formal written warning only;
- Penalty 2: The Examiners shall be instructed to consider the non-plagiarised sections of the final submission, for the degree for which it has been submitted;
- Penalty 3: No longer eligible for full award: The Examiners shall be instructed to consider the non-plagiarised sections of the final submission for a lesser award only;
- Penalty 4: Required to withdraw with no qualification awarded.

C11.

For research students where the form of assessment is more akin to taught assessment (as determined by the Adjudicator or Academic Offences Committee), a penalty from those available for Postgraduate Taught students may be applied.

D. Implications of an Academic Offence

D1.

All information relating to suspected academic offences and their outcomes will be recorded on the academic offences database and in the student's file.

D2.

Students with a proven academic offence on record may be prevented from studying abroad under the Study Abroad scheme, and where applicable, the relevant Professional Body may also be informed.

D3.

Where a student who is in receipt of a University scholarship is found guilty of an academic offence by an Academic Offences Committee, the Chair may refer the matter to the appropriate Deputy Dean of the relevant Faculty to determine whether there is good cause to terminate the scholarship.

D4.

For students subject to the Fitness to Practise Procedure, an academic offence that affects professional suitability may also be referred to other relevant University authorities to be handled in accordance with the appropriate procedures.

Academic Offences & the Rules of Assessment

D5.

A Board of Examiners may not overturn any decision on a penalty given in relation to an academic offence by a Departmental Adjudicator, a Faculty Adjudicator or an Academic Offences Committee.

D6.

If reassessment for the module is not on a like-for-like basis then the allocated penalty will be applied to the overall module mark in proportion to the weighting of the penalised unit of assessment.

D7.

In cases where the module mark is determined by either the aggregate of coursework and examination or examination only, whichever is the higher, then any penalty applied to an element of the coursework component will result in the student's final module mark being determined by the aggregate of coursework and examination: the student will not be entitled to have their module mark determined by examination only.

D8.

In cases where a module mark is determined by the best grades of a student's work (for example the best three out of four units of assessments) the unit(s) of assessment to which a penalty has been applied must be included in the final aggregate. The student will not be entitled to have the module mark determined by discounting any such penalties.

D9.

Where a student has a penalised mark for work as a result of an academic offence, the penalty will not be carried forward if the student repeats a year. However, the record of the offence is kept on the student's record and the academic offences database and any further offences will be classified as subsequent offences.

RESUBMITTED WORK

D10.

Where a student is entitled to resubmit work with the correct referencing applied following an academic offence:

- if the student does not take up the opportunity to resubmit the work by the given deadline, a mark of zero will be awarded for consideration by the Board of Examiners;
- any allegations made about the resubmitted piece of work will be treated as a subsequent offence; no other changes may be made to the original submission except for the incorrect references to be edited and/or replaced, and/or for new references to be added. Any unwarranted changes made to the assignment will be treated as a subsequent offence.

E. Academic Offence Appeals

E1.

A student shall have the right of appeal to an Academic Offences Appeal Committee against any decision of a Departmental Adjudicator, Faculty Adjudicator or Academic Offences Committee (hereafter 'the Initial Adjudicator') on the following grounds:

- a. that there is material evidence now available, which could not have reasonably been made available to the Initial Adjudicator and is of such a nature as to cause reasonable doubt as to whether the result might have been different had the material been available;
- b. that the Initial Adjudicator departed from the provisions of sections B, C or D in a manner prejudicial to the interests of the student and causing reasonable doubt as to whether the result might have been different had this not occurred;
- c. that the facts set out in the findings of the Initial Adjudicator do not warrant the resolution that there was an academic offence as charged;
- d. that the penalty imposed by the Initial Adjudicator was unreasonable having regard to all the circumstances of the case.

LOGGING AN APPEAL

E2.

A student who wishes to appeal against the outcome of these procedures should write to the Academic Registrar within five working days of the date on which notification of the decision was sent to the student concerned by the Initial Adjudicator. If the student can show to the satisfaction of the Pro-Vice-Chancellor (Education) that circumstances beyond his or her control prevented this time limit being adhered to and that injustice would result from adhering to it, the Academic Registrar may extend the time limit in which an appeal may be lodged up to the period of thirty days from the date on which the notification of the decision was sent.

E3.

The written appeal shall set out in detail the grounds of the appeal.

E4.

The student may withdraw an appeal as of right at any time before the meeting of the Committee.

DUTIES OF THE PRO-VICE-CHANCELLOR (EDUCATION)

E5.

On receipt of an Appeal the Pro-Vice-Chancellor (Education) shall nominate an Executive Dean or

his/her deputy who has no previous involvement with the case (hereafter the Appointed Dean) to deal with the appeal.

DUTIES OF THE APPOINTED DEAN

E6.

The Appointed Dean shall inform in writing each student who lodges a request for an appeal, normally within ten days of the receipt by him or her of that request, whether or not in his or her judgement the request discloses a proper ground for an appeal.

E7.

If there are proper grounds for an appeal the Appointed Dean shall request that the Initial Adjudicator against whose decision the appeal is made, write a Statement of the Case, which shall include:

- a. details of the charge or charges in respect of which the decision was made;
- b. a brief summary of the evidence and of the relevant findings;
- c. the decision;
- d. details of any penalty imposed;
- e. a brief comment as to the reason for such findings, decision and penalty; and
- f. any further information which the person or body concerned considers to be relevant.

E8.

The Appointed Dean must notify the student and the Initial Adjudicator of the time and place at which the appeal will be heard.

E9.

The Appointed Dean must inform the student of his or her right to bring a student of the University, a member of staff of the University or an employee of the Students' Union to help in presenting the appeal to the Committee.

E10.

The Appointed Dean will provide the student and the Initial Adjudicator with a copy of the statement of the case in advance of the meeting of the Academic Offences Appeal Committee.

Academic Offences Appeals Committee

E11.

An Academic Offences Appeal Committee is a committee of Senate. Members of the Committee must be drawn from the University Academic Offences Panel.

E12.

The meeting of the Academic Offences Appeal Committee will follow the same procedures as an Academic Offences Committee (see B20 to B33) with the following differences:

- a. An Academic Offences Appeal Committee consists of the Appointed Dean in the chair, and two members of staff from outside the

student's Department who have had no connection with the case;

- b. The Initial Adjudicator will normally attend the meeting of the Appeals Committee instead of the Departmental Representative, and, in regards to their role at the meeting, will be bound by the same requirements. The Initial Adjudicator is not a member of the committee. Where the Initial Adjudicator is an Academic Offences Committee, the Chair of the Academic Offences Committee will undertake this role;
- c. Where a Committee has adjourned and it is necessary to co-opt additional members, these must be approved by the Appointed Dean or the Pro-Vice-Chancellor (Education);
- d. The student will not be given a further opportunity to disclose any further cases which they wish to be taken into consideration as part of the same offence (see B26).

E13.

An Academic Offences Appeal Committee shall have the power to:

- a. rescind a resolution of the Initial Adjudicator that the student has committed an offence and rescind all consequential penalties;
- b. confirm a resolution of the Initial Adjudicator that the student has committed an academic offence;
- c. confirm or amend (increasing or decreasing) the penalty allocated by a the Initial Adjudicator, provided that any amendment is consistent with the powers of the original authority.

E14.

An Academic Offences Appeal Committee can only apply a more serious penalty where evidence or information is provided by the student as part of the appeal, or where new evidence is submitted by the Department or Initial Adjudicator at the request of the Appeals Committee that indicates that the offence is more severe.

FURTHER APPEALS

E15.

Any appeal following the formal conclusion of the appeals procedures set out above may be made on the grounds of procedural irregularities in the appeals process only. A student who wishes to appeal against the outcome of these procedures should write to the Academic Registrar within four weeks of the Appeal hearing setting out in detail the nature of the evidence to support the claim that there were procedural irregularities in the appeals process. If *prima facie* there is evidence to support the claim then the case will be reviewed by a Pro-Vice-Chancellor. If the Pro-Vice-Chancellor determines that there were procedural irregularities in the appeals process then the case will be referred to an Appeals Committee for consideration.

E16.

The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of a procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures letter.

F. Reporting on Academic Offences and Appeals

F1.

The Executive Dean is responsible for providing an annual report of the number of cases dealt with by Departments, the Faculty and Academic Offences Committees to the Academic Quality and Standards Committee.

F2.

The number of cases dealt with by an Academic Offences Appeals Committee under these procedures shall be reported by the Academic Registrar to Senate on an annual basis.

GUIDELINES FOR PENALTIES

(UNDERGRADUATE AND POSTGRADUATE TAUGHT)

The guidelines presented below are guidelines only, and it is very important that those making decisions about penalties take the evidence with which they have been provided, including any extenuating circumstances, into account.

Band A – Minor Offence	
Coursework:	
<ul style="list-style-type: none"> ▪ The academic offence relates to a failure to understand or apply the University's academic conventions in regards to proper referencing and acknowledging source material, but where an attempt to do so has been made. ▪ The student is completing a unit of assessment that is early in their studies, or has no previous experience of the particular referencing style (include self-plagiarism), and there has been a failure to understand the University's academic conventions. 	
Examination:	
<ul style="list-style-type: none"> ▪ The student is found to have contravened the rules of the examination and as a result of human error or a misunderstanding, and it is agreed that they have not gained an unfair advantage. 	
Maximum Suggested Penalty:	Penalty 3
Band B – Rather more Serious Offence	
Coursework:	
<ul style="list-style-type: none"> ▪ A significant portion of the work submitted by a student is not original text and has not been referenced properly, either where the student has made no attempt to acknowledge the source material, or where the student would reasonably be expected to have a full understanding of the academic conventions. ▪ The work submitted includes references that are false or incongruous, (ie it appears that the student has not consulted works to which reference is made) but the concern does not relate to false authorship. 	
Examination:	
<ul style="list-style-type: none"> ▪ The student is found to have contravened the rules of the examination by introducing and/or attempting to access a small amount of material to aid their attempt at the examination. ▪ The student is found to <u>have had access</u> to the internet or to have communicated with someone other than an invigilator during an examination 	
Maximum Suggested Penalty:	Penalty 5

Band C – Severe Offence	
Coursework:	
<ul style="list-style-type: none"> ▪ The majority of the work submitted by the student is not original or has not been referenced properly, either where the student has made no attempt to acknowledge the source material, or where the student would reasonably be expected to have a full understanding of the academic conventions. ▪ The student has submitted work that has been written or created by a third party, either wholly or in part. 	
Examination:	
<ul style="list-style-type: none"> ▪ The student is found to have contravened the rules of the examination by introducing and/or attempting to access a significant amount of material to aid their attempt at the examination. ▪ The student is found to <u>have accessed</u> the internet, or communicated with someone other than an invigilator during an examination, <u>about the content of the module</u>. ▪ The student has arranged for the examination to be attempted by a third party on their behalf. 	
Maximum Suggested Penalty:	Penalty 9

Penalties available to Departments (coursework only), Faculties and Academic Offence Committees:
<ul style="list-style-type: none"> ▪ Penalty 1: A formal written warning only ▪ Penalty 2: Unit of assessment to be referenced correctly and assessed for an uncapped mark. ▪ Penalty 3: Unit of assessment to be referenced correctly and assessed for the maximum of a capped pass mark. ▪ Penalty 4: Mark of zero to be given for the unit of assessment with reassessment available to the Board of Examiners.
Penalties available to Faculties and Academic Offence Committees (but not Departments):
<ul style="list-style-type: none"> ▪ Penalty 5: Mark of zero to be given for the unit of assessment, with no resubmission or reassessment permitted.

Penalties available to Academic Offence Committees <u>only</u>:
<ul style="list-style-type: none"> ▪ Penalty 6: Mark of zero to be awarded for the module, with no resubmission or reassessment permitted. ▪ Penalty 7: No longer eligible for full award: Mark of zero to be awarded for the module with no resubmission or reassessment permitted AND the student may complete credits for an exit award only. ▪ Penalty 8: Required to withdraw and no longer eligible for full award: A mark of zero to be awarded for the module with no resubmission or reassessment permitted AND the Examination Board to be invited to consider the student only for an exit award on the basis of credits already achieved. ▪ Penalty 9: Required to withdraw with no qualification awarded: A mark of zero to be awarded for the module with no resubmission or reassessment permitted AND the Examination Board to be invited to ratify credits that have already been achieved for recording

Academic Integrity Tutorials

In addition to a penalty, a student who is found to have committed an Academic Offence may be required to complete an Academic Integrity Tutorial.

Failure to attend the Academic Integrity Tutorial will be noted should the student commit any subsequent offences and shall not be considered as a valid claim of mitigation, unless exceptional extenuating circumstances have prevented the student from attending.

Subsequent Offences

When considering subsequent offences, Adjudicators and Committees have the same authority in relation to the application of penalties and are advised to determine the appropriate Band of the offence first, before deciding on the penalty to apply or action to take. Adjudicators should either select a penalty within the range that is within their authority or refer the matter to the Faculty or Academic Offences Committees as appropriate.

A more severe penalty than that awarded for the first offence should be applied when the nature of the subsequent academic offence is similar to the first offence and where it is adjudged that the student, in the view of the Adjudicator or Committee, has intended to cheat and/or has made little or no effort to understand the University's academic conventions since the first academic offence.

In such cases, for the subsequent offence should be more severe than the penalty for the first offence and may be more severe than the maximum suggested penalty of the appropriate Band. When a student has been found to have committed successive offences at Band B or higher, it would normally be appropriate for a penalty in the range of penalty 6 to penalty 9 to be awarded.

The weight of the first offence should have less impact on the penalty when the nature of the academic offence is different OR where the nature of the academic offence is similar and there is a clear indication that the student has attempted properly to understand the University's academic conventions since the first academic offence, and where this is apparent when the two offences are compared.

In such cases, the penalty for the subsequent offence may be within the maximum suggested penalty of the appropriate Band, but the nature and severity of previous offences should be considered before the penalty is determined.

Progress and Appeals Procedures for Taught Programmes of Study

To Whom do these Procedures apply?

These procedures apply to all students on taught programmes of study, including the following: students on undergraduate courses; students on University of Essex foundation-year courses taught on campus or away; students on postgraduate taught courses; students studying abroad as part of their degrees.

Immigration Status

These procedures focus exclusively on Academic progress and appeals; staff involved in consideration of progress matters or appeals should not consider immigration status. However, all outcomes in relation to a student's continued registration at the University are subject to his or her having met the terms of their immigration status where applicable. The immigration status is a secondary decision but may mean that a student cannot legally accept the decision that has been confirmed as part of the Progress and Appeals Procedures.

Where an immigration status is questioned by the evidence being considered by the progress procedures process then the secretary to a panel or, where being considered at a preliminary stage, the department should seek advice from the Compliance Manager or Head of International Services and who will confirm either that (a) there is no issue to consider or (b) refer the matter to the Academic Registrar who shall review the case and advise the Registrar in accordance with 7.22-7.28 in the *General Regulations, Academic Conduct*.

1.

MONITORING OF STUDENT PROGRESS AND ATTENDANCE¹⁸

- a. Student engagement with their programme of study is primarily measured by attendance and completion of coursework and other assessed work, which are monitored in and by departments. As appropriate and where available, departments will take into account performance in assessed work when

considering the impact of unsatisfactory attendance on a student's academic progress.

- b. Unsatisfactory attendance is determined on the basis of unauthorised absence from timetabled teaching event(s).
- c. Heads of Department are responsible for ensuring that an effective means of monitoring students' attendance and completion of assessments is established and maintained in each department in accordance with the requirements set out below.
- d. Departmental procedures including any formally approved variation to this procedure should be communicated to all students taking modules in the Department. This should include what level of non-submission/non-completion of coursework and other assessed work would be addressed at the Preliminary Stage (see 1f) below) and what would be addressed by a meeting with a departmental Progress Officer as the first action (see Secondary Stage 1g) below.

Progress Procedures for Taught Students

- e. For all taught students, departments should:
 - (i) record and monitor, the attendance of students at all timetabled teaching events.
 - (ii) review regularly the data for all students on their degrees (including joint courses for which they are responsible) including the data for outside options which the student is taking and any compulsory in-session English module, and any available information on assessment due to be completed.
 - (iii) monitor the submission of all coursework and other assessed work.
 - (iv) Keep a record of correspondence with students when undertaking progress procedures.

Preliminary Stage

- f. Where attendance and/or completion of assessments is unsatisfactory:
 - (i) for all taught students, where there has been no attendance in the monitoring period the student will receive an invitation to a meeting normally with their Personal Tutor
 - (ii) for undergraduate students, where the level of attendance is unsatisfactory in the monitoring period, the student will receive a communication from their department in the first instance. If, following a further monitoring period, the level of attendance continues to be unsatisfactory, the student will receive an invitation to a meeting, either a group

¹⁸ There may be professional, regulatory or statutory requirements regarding attendance that have consequences for students beyond those outlined in this procedure.

meeting or an individual meeting normally with their Personal Tutor. Discretion may be applied in the Spring term, where an unsatisfactory level of attendance coupled with satisfactory academic progress may not necessarily result in a meeting, except where the student holds a Tier 4 visa.

- (iii) for all taught students, as determined by the department (see 1d) above) in the case of some instances of non-submission of assessed work the student will receive an invitation to a meeting normally with their Personal Tutor.

Secondary stage

g. In the following instances of unsatisfactory attendance and/or completion of assessed work the student will receive an invitation to a meeting with either their Personal Tutor or equivalent or a departmental Progress Officer:

- (i) failure to attend either a group meeting or an individual meeting with a Personal Tutor or equivalent
- (ii) continued non-attendance following a further one-week monitoring period
- (iii) continued unsatisfactory level of attendance following a further monitoring period
- (iv) as determined by the department (see 1.d) above) some instances of non-submission of assessed work will be referred directly to the Progress Officer

Referral to the Executive Dean or his/her deputy

h. Where a department's efforts to encourage a student to engage with their studies have been unsuccessful and/or where progress measured by the completion of the required assessments is such that the student is unlikely to complete the stage successfully, the Progress Officer should refer the student to the relevant Executive Dean or his/her deputy in the following circumstances:

- (i) failure to attend the required meeting under (g)
- (ii) continued non-attendance following a further one-week monitoring period
- (iii) continued unsatisfactory level of attendance following a further monitoring period, where this suggests the student is unlikely to complete the year successfully
- (iv) unsatisfactory submission of assessed work to an extent that suggests the student is unlikely to complete the year successfully

i. Where a case is referred to the Executive Dean or his/her deputy, he/she may:

- (i) refer the case to a Progress Committee

- (ii) decide to take no further action/refer the case back to the department

- (iii) arrange to meet the student

j. The Executive Dean or his/her deputy may invite the student to a meeting to discuss their progress. Following this meeting the Executive Dean or his/her deputy may:

- (i) refer the case to a Progress Committee

- (ii) permit the student to proceed with or without certain conditions, breach of which would automatically result in the student being referred to a Progress Committee

- (iii) decide to take no further action

Subsequent occurrences of unsatisfactory attendance/non-submission in the same stage of study, where a student has previously been considered under these procedures, may result in an accelerated route through the stages set out in 1f to 1h above where a department decides that the case should be considered at the next stage in the procedures. A department may take into consideration the previous year's attendance record when advising a student and when deciding whether to call a student to a meeting with the departmental progress officer, however, this information shall not be used to accelerate the steps as set out in the Progress Procedures.

k. If a student does not attend a meeting with the Executive Dean or his/her deputy, and their attendance and/or submission of coursework has been unsatisfactory, then it will be assumed that they are no longer engaged in the course and they will normally be withdrawn.

l. Departments operating joint degrees should liaise with the other departments involved to ensure that there is full co-ordination on the monitoring of progress. In the case of multidisciplinary courses the Director of the degree course should liaise with contributing departments.

2.

REFERRAL TO PROGRESS COMMITTEE

a. If an Executive Dean or his/her deputy refers the case of a student to Progress Committee then the Registry will write to inform the student and will copy the letter to the student's department.

b. The student should be given adequate time to seek advice and prepare his or her case before the meeting of the Progress Committee. The letter to the student will indicate the reason for the referral to the Progress Committee.

- c. The student will be invited to attend the meeting and may be accompanied by a student of the University, a member of staff of the University or an employee of the Students' Union.
- d. A meeting may proceed in the absence of the student (and their representative) provided that the Chair of the Progress Committee is satisfied that due notice has been given to the student.
- e. A student who is unable to attend the meeting can ask a student of the University, a member of staff of the University or an employee of the Students' Union to attend on his or her behalf. No person can represent the student in his or her absence unless he or she has expressly been asked to do so by the student.
- f. The student will be invited to submit in advance the following documents:
 - (i) a written statement giving any facts or extenuating circumstances (see section 9 of this document) which the student thinks may have affected his or her engagement
 - (ii) documentary evidence to support any extenuating circumstances put forward. If no such documentation is provided, the Progress Committee may place lesser weight on the extenuating circumstances.

3. COMPOSITION AND FORM OF PROGRESS COMMITTEES

- a. Each Faculty will have a Progress Committee convened and chaired by an Executive Dean or his/her deputy. The quorum for a Progress Committee is three.
- b. A Progress Committee, for each student considered by that Committee, will normally consist of the relevant Executive Dean or his/her deputy and one other member from outside the student's department, selected by the Executive Dean or his/her deputy from a panel approved annually together with
 - (i) for undergraduates, normally the Course Director or nominee
 - or
 - (ii) for graduates, the Director of Graduate Studies or his/her nominee.
- c. The member of staff from the student's department should have no previous experience of hearing the progress issue.

4. CONDUCT OF PROGRESS COMMITTEES

- a. The Progress Committee will consider each case referred by an Executive Dean or his/her deputy.

- b. The Committee should receive papers fully setting out the case. The Head of Department or nominee should be responsible for gathering the required information.
- c. The Progress Committee may take into account performance in any remedial work and tests prescribed for overseas students following a test of proficiency in written and spoken English taken on arrival at the University; the Progress Committee may also take into account failure to attend the module or take the test.
- d. The student should receive copies of all the papers that are presented to Progress Committee, unless the confidentiality of a document precludes showing it to the student, in which case the Executive Dean or his/her deputy may inform the Committee and the student of the existence and general import of the document without divulging the details. The papers will be available to the student when they are available to members of the Progress Committee, normally in advance of the meeting.
- e. When the student is accompanied by a student of the University, a member of staff of the University or an employee of the Students' Union, it must be noted that the person is present to act as the student's advocate and for no other reason.
- f. Meetings of Progress Committees will be conducted in accordance with the Order of Proceedings.
- g. The decision of the Progress Committee may be communicated orally to the student at the conclusion of the meeting. Formal notification of the outcome will be sent to the student in every case.

Progress Committee: Order of Proceedings

NOTE:

This document, which is derived from the Progress Procedures has no formal standing. It is issued to members of Progress Committees and students appearing before them as a guide to the order of proceedings.

The Proceedings are likely to follow the pattern outlined below, although there may be some variation at the discretion of the Chair.

1. The Chair opens the meeting by introducing himself/herself and establishing the names and functions of those in the room.
2. Check that the student has received the details of the case and any supporting documentation.
3. Explain the order of proceedings to the student.

4. Outline the case for referral to Progress Committee.
5. Invite the student to put forward a case orally, if he/she wishes to do so.
6. Invite the members of the committee to put questions to the student.
7. Invite the student's representative to put forward any additional statement.
8. Invite the student to respond and state what his/her preferred outcome would be.
9. The student and his/her representative will then be asked to leave the room. The decision of the Progress Committee will be communicated to the student orally either immediately after the meeting, or at another pre-arranged time. Students will be sent written confirmation of the decision of the Progress Committee.

Adjournment

The Committee may adjourn:

- a. In order to enable the student or the student's representative to be present;
- b. Where this is necessary to obtain further information.

The Committee shall meet to consider an adjourned case as soon as it is feasible and not later than two months after the adjournment, although the case need not be determined at the resumed meeting. If necessary, the Executive Dean or his/her deputy may co-opt additional members to replace any member not able to attend the reconvened meeting, including a new Chair. If there are two new members, the reconvened meeting shall proceed as a new hearing.

If there is one new member, the student may request that the meeting proceed as a new hearing.

5.

POWERS OF PROGRESS COMMITTEE

- a. After consideration of the case, the Progress Committee will make one of the following decisions:
 - (i) that the student be permitted to proceed, with or without specific conditions
 - (ii) that the student be permitted to proceed with a suspended withdrawal, with the withdrawal taking effect if the student's engagement continues to be unsatisfactory within a specified period
 - (iii) that the student be required to withdraw permanently.
- b. In certain circumstances the Progress Committee may deem it appropriate to:
 - (i) permit the student to repeat an appropriate period of study, including all or part of a period of study abroad
 - (ii) permit the student to transfer to another appropriate degree course

(iii) require the student to intermit for a period of time before proceeding

- c. Progress Committee may also attach such conditions as seem likely to assist the future progress of the student.

6.

APPEALS AGAINST THE DECISION OF AN EXECUTIVE DEAN OR HIS/HER DEPUTY OR PROGRESS COMMITTEE

- a. A student who wishes to appeal against the decision of an Executive Dean or his/her deputy or a Progress Committee must do so in writing to the Academic Registrar, stating fully the grounds of the appeal, within five working days of the date of the letter sent informing the student of the decision.
- b. The grounds on which a student may appeal are:
 - (i) that there were procedural irregularities in the arrangements for the meeting with the Executive Dean or his/her deputy or conduct of the Progress Committee (including alleged administrative error) of such a nature as to cause reasonable doubt as to whether the outcome might have been different had they not occurred
 - (ii) that there was evidence of extenuating circumstances which could not reasonably have been made available to the Executive Dean or his/her deputy or Progress Committee, of such a nature as to cause reasonable doubt as to whether the result might have been different had they not occurred
- c. The Academic Registrar will refer to the Pro-Vice-Chancellor (Education) any appeal that meets the criteria stated above (b.1 and b.ii).
- d. Any such appeal shall be forwarded to the appropriate Pro-Vice-Chancellor, who may consult such persons as he or she thinks fit, including the appellant, in arriving at a decision as to whether or not the appeal is well-founded.
- e. If the Pro-Vice-Chancellor decides that the appeal is not well-founded, he or she shall inform the student in writing, stating his/her reasons for so deciding. The communication of this decision shall, in such cases, constitute the formal dismissal of the appeal.
- f. If the Pro-Vice-Chancellor decides that the appeal is well-founded then the case shall be referred to the Progress Appeal Panel.
- g. The Progress Appeal Panel shall consist of an Executive Dean or his/her deputy and two members of staff from outside the student's department who have no connection with the case.
- h. The student shall be invited to attend the meeting and may be accompanied by a student of the University, a member of staff of

the University, or an employee of the Students' Union.

- i. If the student is unable to attend the meeting of the Progress Appeal Panel, the meeting will go ahead and the decisions taken will be valid.
- j. The members of the Progress Appeal Panel will have the papers that were made available to the original Executive Dean or his/her deputy/Progress Committee, together with the student's written statement of the appeal, and any documentary evidence to support any extenuating circumstances put forward. It will be open to the Progress Appeal Committee to call such witnesses as it thinks fit. The Executive Dean or his/her deputy who took the decision/chaired the original committee will have the right to appear before the Progress Appeal Panel.
- k. After consideration of the case the Progress Appeal Panel shall either dismiss the appeal or decide on one of the courses of action defined under the Powers of Progress Committees listed in section 5 of this document.
- l. The decision of the Progress Appeal Panel may be communicated orally to the student at the conclusion of the meeting. Formal notification of the outcome will be sent to the student in every case.
- m. The decision of the Progress Appeal Panel will be final.
- n. Any appeal following the formal conclusion of the appeals procedures set out above may be made on the grounds of procedural irregularities in the appeals process only. A student who wishes to appeal against the outcome of these procedures should write to the Academic Registrar within four weeks setting out in detail the nature of the evidence to support the claim that there were procedural irregularities in the appeals process. If *prima facie* there is evidence to support the claim then the case will be reviewed by an alternate Pro-Vice-Chancellor. If the Pro-Vice-Chancellor determines that there were procedural irregularities in the appeals process then the case will be referred to an appeals panel for consideration, and paragraphs h—m above will apply. The panel would be comprised of academic staff with no previous involvement in the case and would be chaired by an appropriate member of senior academic staff.
- o. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been

exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures.

7. THE TIMING AND ROLE OF THE BOARDS OF EXAMINERS

- a. There are University Rules of Assessment that set out what constitutes passing for each stage of study and eligibility for an overall award.
- b. A Board of Examiners' meeting will be held after the main summer examination period and after the resit examination period in September. However, for some courses it may be necessary to hold Board of Examiners' meetings at other points during the year.
- c. The Board of Examiners sees the marks of each student and in the light of these marks, and any other relevant information, makes a decision about the student's progress in accordance with the Rules of Assessment.
- d. The Board of Examiners shall consider matters of extenuating circumstances. If the extenuating circumstances are of such a nature that a final decision cannot be reached without further investigation then the Board of Examiners should refer the case to the Executive Dean or his/her deputy and empower the Executive Dean or his/her deputy to act on its behalf within the terms of the Rules of Assessment.
- e. A student may appeal against the decision of a Board of Examiners in accordance with the procedures set out in section 10 of this document.

8. PROGRESS PROCEDURES FOR STUDENTS ON COURSES WITH A YEAR ABROAD

- a. All courses for which the Year Abroad comprises part of the assessment for the degree must convene a meeting of a Board of Examiners following the year spent abroad and prior to the start of the next academic year. The Board will ratify the Year Abroad marks, consider extenuating circumstances affecting the year abroad work and make decisions about the students' progress in accordance with the published Rules of Assessment.

- b. It is appropriate for one joint meeting to be convened to consider all courses with a Year Abroad. The meeting shall be chaired by an Executive Dean or his/her deputy. Membership shall comprise one representative from the relevant departments. The quorum, including the Chair, shall be four. External Examiners are not expected to attend these meetings.

9.

EXTENUATING CIRCUMSTANCES

- a. Extenuating circumstances are formally defined as: 'circumstances beyond the student's control which cause the student to perform less well in his or her coursework or examinations than he or she might otherwise have been expected to do (on the basis of other work). In general, extenuating circumstances will be of a medical or personal nature affecting the student for any significant period of time and/or during the examination period.'
- b. As a result of the policy on the late submission of coursework, the University-wide Extenuating Submission of Coursework Policy will be applied in cases where students are unable to submit coursework by the deadline as a result of acceptable extenuating circumstances. Extenuating circumstances in relation to the late submission of coursework are formally defined as: the inability to submit work by the deadline (or to attend the in-class test/presentation) due to circumstances beyond the student's control, of a medical, practical or personal nature which affects the student for the period *immediately preceding* the time of the deadline. Genuine emergencies and circumstances which could not reasonably have been expected will be accepted as extenuating.' Full details of the policy can be found at :
www2.essex.ac.uk/academic/students/ug/crswk_pol.htm
- c. At the time of examination entry the Notes to Students will remind students of the policy for submitting an Extenuating Circumstances Form, about extenuating circumstances which may have affected work during the year. Students should be warned that failure to submit an Extenuating Circumstances Form may mean that the circumstances may not be taken into account by the examiners.
- d. If a student informs a member of staff that extenuating circumstances have affected a piece of coursework he or she is submitting, the member of staff should tell the student to submit an Extenuating Circumstances Form, failing which the extenuating circumstances may not be taken into account by the examiners.

10.

PROCEDURE FOR APPEALS AGAINST THE DECISIONS OF BOARD OF EXAMINERS FOR ALL TAUGHT PROGRAMMES

- a. A student who wishes to appeal against the decision of a Board of Examiners must do so in writing on the Form of Appeal, stating fully and precisely the grounds for appeal. A student appealing against the progress decision of a First or Second Year Board of Examiners must submit a formal appeal within two weeks of the publication of results. In all other cases the appeal must be submitted within four weeks of publication of the results.
- b. A student appealing against the progress decision of a First or Second Year Board of Examiners may consult the Executive Dean or his/her deputy in advance of submitting a formal appeal (see below).
- c. Forms of Appeal are available from the Student Progress Team.
- d. The main legitimate grounds for appeal are the following:
 - (i) extenuating circumstances of which the Board of Examiners was unaware and of which the student could not reasonably have been expected to inform the Board of Examiners in advance, of such a nature as to cause reasonable doubt as to whether the result might have been different had they not occurred.
 - (ii) procedural irregularities in the conduct of the Board of Examiners (including alleged administrative error) of such a nature as to cause reasonable doubt as to whether the result might have been different had they not occurred.
- e. Other grounds will be considered on their merits.
- f. The following are not considered legitimate grounds on which to appeal, and any appeals based exclusively on one or more of these grounds will be rejected automatically:
 - (i) disagreement with a mark or grade and/or appeals against the academic judgement of internal or external examiners. Coursework and examinations cannot be remarked, except in cases of procedural irregularities.
 - (ii) any provisional mark or informal assessment of the student's work by a member of staff that is not the final mark approved by the Board of Examiners.
 - (iii) the retrospective reporting of extenuating circumstances which a student might reasonably have been expected to disclose to the Board of Examiners before their meeting.

- (iv) appeals against the judgement of the Board of Examiners in assessing the significance of extenuating circumstances, and whether and to what extent they affected academic performance.
 - (v) marginal failure to attain a higher class of degree.
 - (vi) appeals where the grounds of complaint concern the inadequacy of teaching or other arrangements during the period of study; such complaints must be raised, in writing, before the examination board meets.
- g. Any other officer of the University who receives a formal appeal from a student concerning his/her result shall forward it to the Academic Registrar.
 - h. The Academic Registrar will acknowledge the appeal within five working days of receipt.
 - i. The Academic Registrar will refer to the Appeals Officer any appeal that meets the criteria stated above (d and e).
 - j. Any such appeal will be considered by the Appeals Officer, who may consult such persons as he/she thinks fit, including the student who has lodged the appeal, in arriving at a decision as to whether or not the appeal is well-founded.
 - k. The Appeals Officer will conduct the investigation as quickly as possible but, particularly during the summer vacation, there may be unavoidable delays. The Academic Registrar will write to the student within six weeks of receipt about the progress of the appeal and will let the student know when he or she can expect to receive a decision.
- n. The review stage will not normally consider the issues afresh or involve further investigation.
 - o. In order to request a review of an appeal, students must complete a Request for Review Form and submit it to the Student Progress Team within four weeks.
 - p. The University will then pass the request to the Pro-Vice-Chancellor (Education) or his/her nominee for consideration. If the Pro-Vice-Chancellor upholds the request for a review then the case will be referred to an alternate Appeals Officer for consideration, and paragraphs j-w will apply.

The Appeals Officer upholds the appeal

- q. If the Appeals Officer decides there are sufficient *prima facie* grounds for putting the case to the Board of Examiners, he/she will forward it, together with his/her written comments, to the relevant Executive Dean or his/her deputy/Head of Department. The Academic Registrar will inform the student, and will subsequently inform the student when the Board of Examiners will meet to reconsider the case. On receipt of the appeal and the Appeals Officer's comments, the Executive Dean or his/her deputy/Head of Department shall cause the Board of Examiners responsible for the assessment against which the student has appealed to reconvene and put before the Board the student's submission, the Appeals Officer's comments and any material relevant to the original assessment. The Executive Dean or his/her deputy/Head of Department will then formally ask the Board to review its decision. The Appeals Officer will have the right to attend and to address the meeting of the Board of Examiners.
 - r. If the Appeals Officer decides to uphold an appeal by a Second Year student on the grounds of extenuating circumstances of which the Board of Examiners was unaware and of which the student could not reasonably have been expected to inform the Board of Examiners in advance, the Appeals Officer will decide whether it is appropriate to ask the Executive Dean or his/her deputy to reconvene the Board of Examiners. If it is the Appeals Officer's view that the likely outcome of such a meeting would be that the Board of Examiners would decide either that the extenuating circumstances should be carried forward to the final year Board, or that the extenuating circumstances would not have a material effect on the results, then the Appeals Officer will not ask the Executive
- ### **The Appeals Officer dismisses the appeal**
- l. If the Appeals Officer decides that there are not sufficient *prima facie* grounds for putting the case to the Board of Examiners, the Academic Registrar will inform the student in writing, stating the reasons for the decision. The communication of this decision shall, in such cases, constitute the formal dismissal of the appeal.
 - m. If a student is dissatisfied with the outcome of the appeal, s/he may request a review on the following grounds:
 - a. procedural irregularity in the appeals process
 - b. consideration of whether the outcome was reasonable given all the circumstances
 - c. that new material evidence is now available which the student was unable, for valid reasons, to provide earlier in the process.

Dean or his/her deputy to reconvene the Board. However s/he will ensure that the Executive Dean or his/her deputy is fully apprised of the extenuating circumstances so that they can be placed before the Board of Examiners in the student's final year.

- s. In causing a Board of Examiners to reconvene, the Executive Dean or his/her deputy/Chair may, at his or her discretion, consult by telephone or in writing any internal or external examiner who is unable to attend the reconvened meeting of the Board.
- t. If, following review of its decision, the Board of Examiners is satisfied that there is no reason to amend its original decision the Executive Dean or his/her deputy/Chair will so inform the Academic Registrar in writing, giving the Board's reasons for reaffirming its original decision and its comments, if any, on the grounds for appeal stated by the student.
- u. If, following review of its decision, the Board of Examiners concludes that its original decision was wholly or partly incorrect to the extent that it decides on a new outcome, the Executive Dean or his/her deputy/Chair will so inform the Academic Registrar in writing and advise him/her of any amended mark or classification.
- v. The decision of the Board of Examiners following review will be communicated in writing to the student by the Academic Registrar stating the grounds for the decision. The communication of the decision shall in all cases constitute the formal conclusion of action taken in accordance with these procedures.

All Appeals

- w. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures.

Consultation with an Executive Dean or his/her deputy

Consultation on progress decisions of a Foundation, First or Second Year Board of Examiners (ie requirement to withdraw permanently, repeat the year, repeat individual modules, resit exams).

- (i) The Executive Dean or his/her deputy of the relevant faculty shall take the actions described under these procedures whether or not the Executive Dean or his/her deputy is Chair of the Board of Examiners responsible for the decision against which the student is appealing. A student who is considering an appeal against a progress decision of the Board of Examiners should write to the Executive Dean or his/her deputy, giving full details of his or her case. Pro-formas are available to help students present their case. Students may wish to consult the SU Advice for advice about their circumstances before completing the form. The Executive Dean or his/her deputy has the power to take action on behalf of the Board of Examiners to change the original decision if the student presents appropriate new evidence to support his or her case.
- (ii) The Executive Dean or his/her deputy may wish to consult members of the Board of Examiners or other members of academic staff before reaching a final decision. The Executive Dean or his/her deputy will contact the student if any additional information or evidence is required from the student. The Executive Dean or his/her deputy will then decide whether or not to change the original decision of the Board of Examiners and will inform the student accordingly. If, after consultation with the Executive Dean or his/her deputy, the student still wishes to appeal, and believes he or she has grounds, the student must submit a formal appeal in writing in accordance with the procedure set out above.

Progress and Appeals Procedures for Research Degree Students

Progress Procedures for Research Students

These procedures focus exclusively on Academic progress and appeals, staff involved in consideration of progress matters or appeals should not consider immigration status. However, all outcomes in relation to a student's continued registration at the University are subject to his or her having met the terms of their immigration status where applicable. The immigration status is a secondary decision but may mean that a student cannot legally accept the decision that has been confirmed as part of the Progress and Appeals Procedures.

Where immigration status is questioned by evidence being considered through a supervisory board or Research Student Progress Committee, then the secretary and/or chair of the supervisory board or RSPC should seek advice from the Compliance Team who will confirm either that (a) there is no issue to consider; or (b) refer the matter to the Academic Registrar who shall review the case and advise the Registrar in accordance with 7.22.-7.28 in the *General Regulations, Academic Conduct*.

Where a supervisor has concerns over the engagement of a research student at any time, they should refer the matter to the Academic Registrar; Heads of Department are responsible for ensuring effective measures are in place within their department in order to achieve this.

1.

MONITORING OF STUDENT PROGRESS

- a. Supervisory meetings, ongoing interaction with one's supervisor, and submission of work to Supervisory Boards and/or Research Students' Progress Committees are the primary means by which research student progress is monitored in and by departments.
- b. Heads of Department (and his/her nominee) are responsible for ensuring that an effective means of monitoring students' progress and attendance is established and maintained in each department in accordance with the requirements set out below.
- c. Heads of Department (or his/her nominee) are responsible for any additional progress monitoring procedures the Department may decide to operate.

- d. Departmental procedures, including norms for formal face-to-face meetings between students and their supervisor(s), should be communicated to all students in the Department.
- e. Students and supervisors are required to communicate, whether face-to-face or by electronic means, to engage in discussion/review of the student's work and progress at least once per month. For part-time students contact should be at least bi-monthly. A record of this monthly contact should be kept in the department in an accessible and immediately available format and then made available upon request.
- f. Supervisors are responsible for making contact with their student if the student fails to meet/communicate with them as expected each month. If the student fails to meet/communicate with the supervisor in that month they should be contacted to arrange another meeting at the earliest possible point in that same month or within two weeks. If this is not possible or they do not attend, then the supervisor should refer the student to the Graduate Director who will arrange a meeting with the student to discuss their progress. If the student continues to fail to meet/communicate with the supervisor, their progress remains unsatisfactory, or they fail to attend the meeting with the Graduate Director, the matter should be considered by the Research Students' Progress Committee.
- g. The Research Students' Progress Committee will arrange an ad hoc meeting with the student to assess their progress and determine whether they should be permitted to continue with their studies.
- h. If a student is co-supervised by staff in two different departments, the lead supervisor should liaise with the second supervisor to ensure that there is full co-ordination on the monitoring of progress.

i. STUDENTS SUBJECT TO IMMIGRATION CONTROL

- a. A student subject to immigration control, including Tier 4 is required to engage with their programme (be present, in person, on a regular basis commensurate with full-time education). Where a student fails to do this their visa sponsorship will be withdrawn.
- b. Information related to PGR progress for students subject to immigration control must be kept in a robust way and be immediately accessible upon request as it is all subject to audit by the Home Office; the information (or a subset as appropriate) should also be

- passed to the Immigration Compliance Team upon request.
- c. Any students subject to immigration control can, in respect of the required monthly meeting under (e) above, have a virtual meeting although this should be very rare; the overwhelming majority in any six month period should be in-person.
 - d. Any student referred to the Graduate Director or where there is concern related to their visa status and or our obligations as a sponsor or similar will be referred to the Immigration Compliance Team by the supervisor or Graduate Director.
 - e. Departments may decide to nominate a member of administrative staff to co-ordinate the responses in relation to immigration requirements.
 - f. Departments may decide to require students to sign in to their department on a regular basis (ie at least 15 days apart and no more than 31 days) in addition to ensuring regular monthly meetings in line with institutional requirements of PGR progression.

Appeals Procedure against a Progress Decision – Postgraduate Research Students

1. A research student who wishes to appeal against the recommendation of a Research Students' Progress Committee that they be downgraded or discontinued must do so in writing on the Form of Appeal, stating fully and precisely the grounds for appeal, within two weeks of receiving notification of the recommendation. Forms of Appeal are available from the Student Services Hub or online.
2. An MPhil/PhD registered student whose PhD status has not been confirmed and who wishes to appeal against the recommendation of a Research Students' Progress Committee that their registration be changed to MPhil or Masters by Dissertation, may appeal using this procedure only after the Research Students' Progress Committee has considered their case twice and has recommended a change of status. There is no right of appeal following a decision of a Research Students' Progress Committee not to confirm PhD status following the first supervisory board meeting.
3. A research student on the first year of an Integrated PhD (a 4-year programme) who wishes to appeal against a progress decision of the Research Students' Progress Committee (eg being downgraded or discontinued) should do so in accordance with the procedures for Appeals against the Decisions of Board of Examiners for all taught programmes.
4. The main legitimate grounds for appeal are the following:
 - (i) Extenuating circumstances of which the Research Students' Progress Committee was unaware and of which the student could not reasonably have been expected to inform the Committee in advance, of such a nature to cause reasonable doubt as to whether the result might have been different had they not occurred.
 - (ii) Procedural irregularities in the conduct of either the Supervisory Board and/or the Research Students' Progress Committee (including alleged administrative error) of such a nature as to cause reasonable doubt as to whether the result might have been different had they not occurred.
 - (iii) That there is *prima facie* evidence of prejudice, bias, or inadequate assessment on part of one or more of the members of the Supervisory Board/Research Students' Progress Committee.
5. Other grounds will be considered on their merits.
6. The following are not considered legitimate grounds on which to appeal, and any appeals based exclusively on one or more of these grounds will be rejected automatically:
 - (i) Prior informal assessments of the student's work by the supervisor.
 - (ii) The retrospective reporting of extenuating circumstances which a student might reasonably have been expected to disclose to the Research Students' Progress Committee before their meeting.
 - (iii) Appeals where the grounds of complaint concern the inadequacy of supervision or other arrangements during the period of study; such complaints must be raised, in writing, before the Research Students' Progress Committee meets.
7. Any other officer of the University who receives a formal complaint from a research student concerning his/her progress shall forward it to the Academic Registrar.
8. The Academic Registrar will acknowledge the appeal within five working days of receipt.
9. The Academic Registrar will refer to the Executive Dean or his/her deputy any appeal that meets the criteria stated above (4 and 5), who may consult such persons as he/she thinks fit, including the student who has lodged the appeal. The Executive Dean or his/her deputy will determine whether or not the appeal is well-founded.
10. In some cases, where the circumstances of the case merit it, the Executive Dean or his/her deputy may arrange a formal hearing to consider the appeal.
11. Such an Appeal Committee shall consist of the Executive Dean or his/her deputy (as

Chair), and two members from outside the student's department who had no previous connection with the student. The Committee shall be serviced by a Secretary.

12. The Appeal Committee may consult such persons, including the student and his or her supervisor, and take such advice as it thinks fit.
13. The student will be invited to be present at the committee whenever oral evidence is being heard by the Committee, and will receive all the papers. He/she may be accompanied by a student of the University, a member of staff of the University or by an employee of the Students' Union.
14. All decisions of the Executive Dean or his/her deputy/Review Committee must be notified to the student, the supervisor and the Director of Research Students in writing, together with a statement of any conditions that are attached to the decision. A copy must also be sent to the Head of Department. If a student's status is altered, a copy of the relevant written statement of arrangements for supervision must be included and the supervisor requested to ensure that the student fully understands these.
15. An appeal following the formal conclusion of the procedures set out above may be made on the following grounds only.
 - a. Procedural irregularity in the appeals process
 - b. consideration of whether the outcome was reasonable in all the circumstances
 - c. new material evidence which the student was unable, for valid reasons, to provide earlier in the process.

A student who wishes to appeal against the outcome of these procedures should write to the Academic Registrar within four weeks setting out in detail the nature of the evidence to support their claim. If *prima facie* there is evidence to support the claim then the case will be reviewed by the Pro-Vice-Chancellor (Education) or his/her nominee. If the Pro-Vice-Chancellor or his/her nominee, determines that there are grounds for appeal, an Appeal Committee will be established, and paragraphs 11-14 above will apply. No member of the Committee will have had any previous involvement in the case.

16. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity

of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures.

Appeals Procedure against an Examination Decision – Postgraduate Research Students (Thesis)

1. A candidate for a research degree whose examination result is 'fail', or 'referred', or is the award of, or option to resubmit for a lower degree, may submit an appeal against that decision on one or more of the following grounds:
 - (i) that there were procedural irregularities in the conduct of the examination (including alleged administrative error) of such a nature as to cause reasonable doubt as to whether the result might have been different had they not occurred; or
 - (ii) that there is *prima facie* evidence of prejudice, bias, or inadequate assessment on the part of one or more of the examiners.
2. In addition, a student may appeal against a decision not to allow resubmission for the degree for which he or she was being examined, on the following grounds:

that there existed circumstances materially affecting the student's performance of which the examiners were not aware when their decision was taken and of which the student could not reasonably have been expected to inform the examiners in advance.
3. An appeal may not be submitted where the grounds of complaint concern the inadequacy of supervisory or other arrangements during the period of study; such complaints must be raised, in writing and preferably during the minimum period of study and research, with the Executive Dean or his/her deputy.
4. Failed candidates shall be informed of their right of appeal. A candidate who wishes to appeal must submit the appeal in writing to the Academic Registrar not later than eight weeks after the notification to him/her of the result of the examination. The candidate's submission must state fully the grounds on which it is based. The Academic Registrar will dismiss any appeals which do not meet the criteria stated above (1 and 2). All other appeals will be referred to the Pro-Vice-Chancellor (Education) to determine where *prima facie* there is evidence to support the claim.
5. The Pro-Vice-Chancellor (Education) shall consider the appeal and may decide that the

case is not well-founded, in which case the appeal or complaint is dismissed and the candidate shall be informed of the reasons.

6. In those cases where the Pro-Vice-Chancellor decides that there is a *prima facie* case, it will be considered by a Committee appointed by the Pro-Vice-Chancellor, consisting of a Pro-Vice-Chancellor as Chair, not fewer than two Deans (including Executive Deans, Deputy Deans (Education), Dean/Deputy Dean of Partnerships, Dean of Postgraduate Research and Education) and Deputy Dean (Postgraduate Research Education)), but excluding the Dean who had originally approved the result, and a student member appointed by the President of the Students' Union.
7. The candidate shall be informed by the Secretary to the Appeal Committee of the date for consideration of the appeal not less than two weeks in advance. Candidates may present their case to the Committee in person, but, if they choose not to or are unable to, the Committee may proceed in their absence. Candidates may be accompanied by a student of the University, a member of staff of the University, or an employee of the Students' Union. A student who is unable to attend the meeting may ask a member of the University, student of the University, a member of staff of the University, or an employee or a member of the full-time staff of the Students' Union, to attend on his or her behalf. No person may represent the student in his or her absence unless he or she has expressly been asked to do so by the student.
8. The onus shall be on the candidate to produce evidence before the Appeal Committee which substantiates the grounds of appeal set out in the original submission to the Pro-Vice-Chancellor.
9. The Appeal Committee, having considered the evidence, and taken such advice as may be necessary, may:
 - (i) reject the appeal, in which case the result originally recommended by the examiners shall stand;
 - (ii) ask the examiners to reconsider their decision for reasons specified by the Appeal Committee; the examiners' report shall be submitted to the Executive Dean or his/her deputy together with the Appeal Committee's statement of the reasons for reconsideration;
 - (iii) determine that the unamended thesis shall be re-examined by new examiners.

EXAMINERS' RECONSIDERATION OF THEIR INITIAL DECISION

10. Where the Appeal Committee determines that the examiners should be asked to reconsider

their decision under paragraph 9(ii), the Executive Dean or his/her deputy shall do the following on receipt of the examiners' report and the Appeal Committee's statement of the reasons for reconsideration:

- (i) Where the examiners agree to amend their decision, accept the amended decision as the revised result of the examination and issue a new results letter to the candidate;
- (ii) Where the examiners decline to amend their decision, accept that the examiners' original recommendation stands and confirm the original result in a letter to the candidate.

RE-EXAMINATION

11. Where the Appeal Committee determines on a re-examination under paragraph 9(iii), the new examiners shall be appointed under the normal procedures. In number they should not be fewer than the original number of examiners nor fewer than two external examiners and one internal examiner. The new examiners shall be given no information about the previous examination except the single fact that they are conducting a re-examination on appeal. The new examiners shall write independent reports on the thesis and shall then examine the candidate orally. Any such re-examination should be chaired by an Independent Chair.
12. The Executive Dean or his/her deputy shall receive the report of the new examiners.
13. The candidate's supervisor shall not be appointed as an examiner.

ALL APPEALS

14. An appeal following the formal conclusion of the procedures set out above may be made on the following grounds only.
 - a. Procedural irregularity in the appeals process
 - b. consideration of whether the outcome was reasonable in all the circumstances
 - c. new material evidence which the student was unable, for valid reasons, to provide earlier in the process.

A student who wishes to appeal against the outcome of these procedures should write to the Academic Registrar within four weeks setting out in detail the nature of the evidence to support their claim. If *prima facie* there is evidence to support the claim then the case will be reviewed by the Pro-Vice-Chancellor (Education) or his/her nominee. If the Pro-Vice-Chancellor or his/her nominee determines that there are grounds for appeal, an Appeal Committee will be established, and paragraphs 6-13 above will apply. The Committee will be comprised of Executive Deans or his/her deputy and will be chaired

by a Pro-Vice-Chancellor. No member of the Committee will have had any previous involvement in the case.

15. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures.

Appeals against a Progress Decision – Professional Doctorate Students

1. A student who wishes to appeal against the recommendation of a Research Students' Progress Committee that they be discontinued or downgraded must do so in writing on the Form of Appeal, stating fully and precisely the grounds for appeal, within two weeks of receiving notification of the recommendation. Forms of Appeal are available from the Student Services Hub or online.
2. The main legitimate grounds for appeal are the following:
 - (i) Extenuating circumstances of which the Examination Board/Research Students' Progress Committee was unaware and of which the student could not reasonably have been expected to inform the Committee in advance, of such a nature to cause reasonable doubt as to whether the result might have been different had they not occurred.
 - (ii) Procedural irregularities in the conduct of either the Supervisory Board and/or the Examination Board/Research Students' Progress Committee (including alleged administrative error) of such a nature as to cause reasonable doubt as to whether the result might have been different had they not occurred.
 - (iii) That there is *prima facie* evidence of prejudice, bias, or inadequate assessment on part of one or more of the members of the Supervisory Board/Examination Board/Research Students' Progress Committee.
3. Other grounds will be considered on their merits, but the following are not considered legitimate grounds on which to appeal, and any appeals based exclusively on one or more of these grounds will be rejected automatically:
 - (i) Prior informal assessments of the student's work by the supervisor.
 - (ii) The retrospective reporting of extenuating circumstances which a student might reasonably have been expected to disclose to the Research Students' Progress Committee before Appeals where the grounds of complaint concern the inadequacy of supervision or other arrangements during the period of study; such complaints must be raised, in writing, before the Research Students' Progress Committee meets.
4. Any other officer of the University who receives a formal complaint from a research student concerning his/her progress shall forward it to the Academic Registrar. The Academic Registrar will refer to the Executive Dean or his/her deputy any appeal that meets the criteria stated (see 2-3 above). The Academic Registrar will acknowledge the appeal within five working days of receipt.
5. Any such appeal will be considered by the Executive Dean or his/her deputy, who may consult such persons as he/she thinks fit, including the student who has lodged the appeal. The Executive Dean or his/her deputy will determine whether or not the appeal is well-founded.
6. In some cases, where the circumstances of the case merit it, the Executive Dean or his/her deputy may arrange a formal hearing to consider the appeal.
7. Such an Appeal Committee shall consist of the Executive Dean or his/her deputy (as Chair), and two members from outside the student's department who had no previous connection with the student. The Committee shall be serviced by a Secretary.
8. The Appeal Committee may consult such persons, including the student and his or her supervisor, and take such advice as it thinks fit.
9. The student will be invited to be present at the committee whenever oral evidence is being heard by the Committee, and will receive all the papers. He/she may be accompanied by a student of the University, a member of staff of the University or an employee of the Students' Union to help him/her in presenting their appeal to the Committee.
10. All decisions of the Executive Dean or his/her deputy /Review Committee must be notified to the student, the supervisor and the Director of Research Students in writing, together with a statement of any conditions that are

attached to the decision. A copy must also be sent to the Head of Department. If a student's status is altered, a copy of the relevant written statement of arrangements for supervision must be included and the supervisor requested to ensure that the student fully understands these.

11. An appeal following the formal conclusion of the procedures set out above may be made on the following grounds only.

- a. Procedural irregularity in the appeals process
- b. consideration of whether the outcome was reasonable in all the circumstances
- c. new material evidence which the student was unable, for valid reasons, to provide earlier in the process

A student who wishes to appeal against the outcome of these procedures should write to the Academic Registrar within four weeks setting out in detail the nature of the evidence to support their claim. If *prima facie* there is evidence to support the claim then the case will be reviewed by the Pro-Vice-Chancellor (Education) or his/her nominee. If the Pro-Vice-Chancellor, or his/her nominee, determines that there are grounds for appeal, an Appeal Committee will be established, and paragraphs 7-10 above will apply. No member of the Committee will have had any previous involvement in the case.

12. The Office of the Independent Adjudicator for Higher Education (OIA) provides an independent scheme for the review of student complaints or appeals. When the University's internal procedures for dealing with complaints and appeals have been exhausted, the University will issue a Completion of Procedures letter. Students wishing to avail themselves of the opportunity of an independent review by the OIA must submit their application to the OIA within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available on request and will be enclosed with the Completion of Procedures.

Appeals Committee for Research Students

APPEAL COMMITTEE

Membership of an Appeal Committee

A1.

An Appeal Committee will be appointed by the Pro-Vice-Chancellor and will consist of a Pro-Vice-Chancellor as Chair, not fewer than two Deans (including Executive Deans, Deputy Deans (Education), Dean/Deputy Dean of Partnerships, Dean of Postgraduate Research and Education and Deputy Dean (Postgraduate Research Education), but excluding the Executive Dean or his/her deputy who had originally approved the

result, and a student member appointed by the President of the Students' Union.

A2.

The Appeal Committee will be serviced by a Secretary.

Order of Proceedings

B1.

The Chair of the Committee shall have the authority to determine the order of proceedings and exclude any material which appears irrelevant to the case.

B2.

The usual pattern of proceedings is:

- a. The members of the Committee have a preliminary discussion without the student, the student's representative or any persons who have been called to give evidence being present.
- b. The student and the student's representative enter the room and the Chair introduces all those present.
- c. The Chair checks that the student has received details of the case and any supporting documentation.
- d. The Chair explains the order of proceedings to the student.
- e. The Chair summarises the evidence relating to the appeal and members of the Committee are invited to put questions to any persons who have been invited to the Committee to give evidence.
- f. The Chair then invites the student to make a statement orally if he or she wishes to do so including any mitigation, and members of the committee are invited to put questions to the student.
- g. The Chair invites the student's representative to put forward any additional statement.
- h. The Chair invites the student to make any final response.
- i. The student, the student's representative and any persons who have been invited to the Committee to give evidence are then asked to leave the room.
- j. The Committee then, having considered the evidence, and taken such advice as may be necessary, comes to a decision regarding the outcome of the appeal. Please see D1.
- k. The student is then recalled to the room to be told the decision as to whether the appeal is well-founded. The outcome of the appeal and the reasons why are explained to the student.

B3.

The Committee may proceed in the absence of the student or the student's representative provided that the Chair is satisfied that due notice has been given to the student.

B4.

Only members of the Committee and the Secretary shall be present while a committee is reaching a decision of the outcome of the Appeal Committee.

Adjournment

C1.

The Appeal Committee may adjourn where this is necessary to obtain further information.

Outcomes of an Appeal Committee

D1.

The Appeal Committee, having considered the evidence, and taken such advice as may be necessary, may:

- (i) reject the appeal, in which case the result originally recommended by the examiners shall stand;

- (ii) ask the examiners to reconsider their decision for reasons specified by the Appeal Committee; the examiners' report shall be submitted to the Executive Dean or his/her deputy together with the Appeal Committee's statement of the reasons for reconsideration;
- (iii) determine that the unamended thesis shall be re-examined by new examiners.

Student Concerns and Complaints Procedure

INTRODUCTION

1. The University is a large community engaged in many activities of both an academic and non-academic nature. From time to time, a student may feel dissatisfied with some aspect of his or her dealing with the University and, when that happens, it is important that the issue is dealt with constructively and as quickly as possible 'without risk of disadvantage or recrimination'. Each case will be considered on its own merit and in accordance with the evidence and circumstances presented.

Principles

2. This procedure will:
 - Be fair and impartial
 - Be transparent and easy to access
 - Be flexible and timely, ensuring that concerns and complaints are dealt with as quickly as possible
 - Clearly communicate processes, decisions and the reasons behind decisions
 - Ensure that decisions are taken without actual or perceived conflicts of interest
 - Ensure an appropriate level of confidentiality
 - Include support for students
 - Use the information gathered to improve services for students and the student experience
 - Will expect all involved to behave appropriately and not allow our procedures to be misused.

Confidentiality

3. Complainants may expect concerns and complaints to be dealt with confidentially and that their privacy will be respected. However, any person who is the subject of a concern or a complaint will be advised, and an appropriately redacted copy of the concern or complaint and any associated evidence will normally be copied to him/her, in order that s/he is given the opportunity to respond. It may also be necessary to disclose information to others in order to deal with the concern or complaint and, in these circumstances, the parties concerned will be informed of such a disclosure.
4. Where a concern or complaint has been raised against a member of staff and has been upheld, the student will be advised of this. However, specific details affecting individual staff members will not normally be

shared, particularly where disciplinary action is being taken.

Advice, Support and Guidance

5. SU Advice provides independent advice, support and guidance and representatives are permitted to accompany students to meetings at any stage of the procedure. The procedure is an internal process and does not have the same degree of formality as a court of law. It is not normally permitted for students to be legally represented at investigative meetings that form part of the procedure.
6. If a complainant appears unable to engage effectively with the procedure, it may be necessary to suspend consideration of their concern or complaint until they have accessed appropriate support.

Timeliness

7. All concerns or complaints should be submitted as soon as possible after the events or actions which have prompted them. The University will not normally consider concerns or complaints which are made more than three months after the event/s complained about unless there is good reason for the delay. The Academic Registrar has absolute discretion to extend this deadline, providing that the complainant is able to demonstrate to the satisfaction of the Academic Registrar, that circumstances beyond his/her control prevented the standard time limit being adhered to.
8. The University will endeavour to complete the processing of a formal complaint and any associated internal review within 90 calendar days. The 90 calendar day timeframe requires students to meet any university deadlines for the submission of material. There will occasionally be circumstances when, for good reason, the University will need to extend the timeframe. Where this is the case the University will notify the student and keep the student regularly informed of progress.

Who can complain using this procedure?

9. This procedure is available to students registered on a programme of study at the University of Essex, wishing to raise a concern or complaint regarding an issue by which they have been materially affected. The term 'student' includes those registered to study with the University of Essex or registered for its awards and those who have recently left the University. Those students who have recently left a programme of study may only raise issues of concern or complaint within three months after the conferral of an

award or withdrawal from their programme. The Academic Registrar has discretion to extend this three month period where he/she considers that there are compelling reasons to do so.

Concerns and Complaints by third parties

10. Concerns and complaints submitted via a third party will not normally be accepted. However, it is recognised that some individuals may be unable to raise a concern or make a complaint on their own. In these circumstances, concerns or complaints brought by permitted third parties (for example, a Students' Union representative or family member) will only be accepted when the individual affected has completed a third party authority form and the Academic Registrar has approved the request. Where a student is represented by a third party the concern or complaint will be dealt with according to the same timescales as other cases.

Collective concerns and complaints

11. Where the issues raised affect a number of students, those students can submit a concern or complaint as a 'group concern/complaint'. In such circumstances, in order to manage the progression of the concern or complaint, the University will normally ask the group to nominate one student to act as group representative. The University will deal with the nominated representative only and will expect him/her to liaise with the other students.

Anonymous concerns and complaints

12. Concerns and complaints received anonymously will not normally be accepted, except where there are compelling reasons, supported by evidence, for the matter to be investigated.

Partner Institutions

13. For students studying under collaborative arrangements, concerns and complaints should be made through the partner institution's complaints procedure.

14. If a complainant does not consider that their complaint has been satisfactorily dealt with, s/he may be able to take their complaint to the Office of the Independent Adjudicator for Higher Education (OIA), within twelve months of the issue of the Completion of Procedures letter. Full details of the scheme are available at www.oiahe.org.uk.

15. Partner institutions will report formally to the University on an annual basis in relation to student complaints in order to identify emerging issues and trends and for action to be identified as appropriate.

Concerns and Complaints involving other organisations or contractors who provide a service on behalf of the University

16. Concerns and complaints regarding the facilities or services of University of Essex Campus Services or any other wholly owned subsidiary are covered by this procedure and begin at stage 1 (see below).

17. If a student wishes to raise a concern or complain about the service or facilities provided on behalf of the University by a company not owned by the University, they should contact the organisation directly and follow their complaints procedure in the first instance.

18. If the complainant remains dissatisfied with the outcome of their complaint they may apply to the University for an internal review of their complaint in line with stage 3 of this procedure (see below).

Concerns and complaints about the Students' Union

19. Students wishing to raise a concern or complain about an aspect of the Students' Union provision, should submit a complaint via the Students' Union complaints process in the first instance.

20. If the complainant remains dissatisfied with the outcome of their complaint they may apply to the University for a review of their complaint in line with stage 3 of this procedure (see below). All requests must be made within 10 working days of the date of the complaint outcome letter from the Students' Union, by completing the Stage 3 Internal Review Form, which should be submitted to the Academic Registrar via the Student Progress Team, University of Essex, Wivenhoe Park, Colchester, CO4 3SQ.

What is a complaint?

21. In line with the QAA Quality Code, a complaint is defined as:
'an expression of dissatisfaction by one or more students about a University's action or lack of action, or about the standard of service provided by or on behalf of the University'

22. Examples of concerns and complaints include:

- Failure by the University to meet its obligations including those outlined in the course/student handbooks or the Student Charter
 - Misleading or incorrect information provided by the University in prospectuses or promotional material
 - Concerns about the delivery of a programme, teaching or administration
 - Poor quality facilities, learning resources or services provided directly by the University
23. Students must be prepared to provide evidence to support their allegation/s.
24. The definition of a complaint is very broad and the list above is not exhaustive. However, some issues may be more appropriately considered under processes other than this procedure. This procedure will not normally cover:
- Appeals relating to examinations or assessments or to academic progress or against a finding of guilt in relation to an academic offence (see the *Academic Appeals Procedure*)
 - Complaints involving an allegation that a student has failed to meet his/her academic commitments (see the *Academic Progress Procedure*)
 - Complaints involving an allegation of misconduct by a student or dissatisfaction about an outcome of the Student Conduct Process (see the *Code of Student Conduct*)
 - Complaints involving an allegation of harassment (see the *Guidelines for dealing with Harassment and Bullying Policy*)
 - A concern about a decision made under other specific regulations such as Fitness to Practise or DBS (see the *Fitness to Practise Procedure / DBS*)
 - Complaints regarding admissions decisions. Students already at the University wishing to complain about a decision not to allow them to transfer to another department may however be eligible to use this procedure.

Concerns and complaints involving more than one Department/Schools/Centre or Service

25. If a concern or complaint relates to the actions of two or more departments/schools/centres or services, the staff member or office receiving the concern or complaint will confer with the other areas and decide who will take the lead on the concern or complaint. The complainant should be informed to whom the matter has been passed and given their contact details.

Concerns and complaints made directly to the Vice-Chancellor and/or the Registrar and Secretary

26. All concerns and complaints are processed via the Student Concerns and Complaints Procedure. If a concern or complaint is made directly to the Vice-Chancellor, the Registrar and Secretary or other senior officer of the University, it will be passed to the Student Progress Team who will ensure that it is referred to the most appropriate person, in line with the published procedure.

Reclassification and Suspension of Proceedings

27. Some situations can involve a combination of issues, some of which are complaints and others not and each case will be assessed on a case by case basis. Where this happens, students will be informed which specific issues will be considered under which specific procedure and directed to the appropriate procedure for the remaining issues. Where there is an overlap, complaints may be reclassified by the University in consultation with the student and dealt with under an alternative, more appropriate procedure.
28. Students will be informed of the implications, if any, of following two procedures at once, particularly where one procedure may be suspended pending completion of the other. Alternatively, with the agreement of the student, both procedures may be considered at the same time / in parallel and investigations carried out collaboratively by the respective departments where possible. The party with overall responsibility for the conduct of the matter and the final decision will be communicated to the student.
29. The procedure will normally be suspended where the complainant refers it to a court, tribunal or other external organisation until the outcome of the external process is known.

The Student Concerns and Complaints Procedure – An overview

30. The procedure is intended to provide a timely, simple and streamlined process with a strong focus on early resolution by empowered and well-trained staff.
31. The procedure involves the following four stages:
 1. **Early Resolution (stage 1)** – seeks to resolve straightforward concerns swiftly and effectively at the point at which the concern is raised, or as close to that point as possible, for example at departmental level. In all cases, students will be directed to the next stage of the procedure if they remain dissatisfied at the conclusion of the early resolution stage.
 2. **Formal Complaint (stage 2)** – is appropriate where a student is dissatisfied with the outcome of early resolution, or where early resolution is not possible or appropriate due to the complexity or seriousness of the case. This will usually be investigated by an independent Complaint Investigator appointed by the Student Progress Team. This stage may include mediation or conciliation where appropriate.
 3. **Internal Review (stage 3)** – provides an opportunity for a formal internal review, carried out by the Pro-Vice-Chancellor (Education), of the process of the formal complaint stage to ensure that appropriate procedures were followed; and/or that the outcome was reasonable. This stage will not normally require a reconsideration of the issues raised. At the conclusion of this stage, a Completion of Procedures letter will be issued to the complainant to enable them to request an external review if they remain dissatisfied at the conclusion of the internal review stage.
 4. **External Review** – where the complainant remains dissatisfied, they can submit a request for a review by the Office of the Independent Adjudicator (OIA) within twelve months of the date of the Completion of Procedures letter provided by the University.

Stage 1: Early Resolution

32. Anyone who has a concern is encouraged to raise it at the point of becoming aware of it and to raise it with the department or section in which the issue arose. Concerns at this stage may be made face-to-face, by phone, in writing or by email.

33. The purpose of the early resolution stage is to attempt to resolve as quickly as possible, concerns which are straightforward and require little or no investigation. Staff investigating concerns at this stage should, if possible, have a face-to-face discussion with the student concerned, to come to an understanding of the exact nature of the student's dissatisfaction and to explore what outcome the student seeks.
34. The following members of staff should be approached by the student to seek an early resolution of their concern:
 - Concerns relating to an academic department/school/centre should be discussed with the Head of Department/School or Centre or Departmental Administrator (as set out in the departmental handbook)
 - Concerns about one of the University's administrative or support services should be raised with the Head of that service

Closing the concern at the early resolution stage

35. Wherever possible, if responsibility for the issue raised lies in the staff member's area of work, attempts should be made to resolve the concern at this local level, without the need to resort to formal proceedings. If responsibility lies elsewhere, the staff member receiving the concern should liaise with the appropriate area to facilitate a swift resolution, rather than referring the complainant on to another office. Where this is not possible and the student is directed to liaise with another office, it is good practice to introduce the complainant to the person who will deal with the concern or alternatively make an appointment for the complainant to meet them at the earliest opportunity.
36. Resolution may be achieved by providing an on-the-spot explanation of why the issue occurred and/or an apology and, where possible, what will be done to prevent this from happening in the future.
37. Where proportionate to do so, the outcome of an early resolution should be communicated to the complainant in writing. The decision and details of how and when it was communicated to the student will be recorded by the relevant service or department and reported on annually to the Student Progress Team.
38. Students may escalate the matter to the stage 2 of the Student Concerns and Complaints Procedure within one month on communication of the outcome of the early resolution stage if s/he remains dissatisfied.

Stage 2: Formal complaint

39. If the student is not satisfied with the response at the early resolution stage or when the issues raised are complex and require detailed investigation, s/he may initiate a formal complaint by completing a Stage 2 Formal Complaint Form and submitting it to the Student Progress Team, University of Essex, Wivenhoe Park, Colchester, CO4 3SQ. Email studentcomplaints@essex.ac.uk
40. Students are required to set out their complaint clearly and succinctly and to provide evidence to substantiate the issues raised where it is reasonable to expect them to have gathered and provided it. This may include medical evidence such as letters confirming attendance or treatment at a GP surgery or hospital or counselling service, reports by professionals such as psychologists or disability advisors, police crime numbers in the case of reported incidents, financial information such as evidence of lost income (where relevant to the complaint), bank statements or receipts or statements of witnesses to incidents where it is safe and helpful to provide these.
41. Complainants should take care to raise all matters of complaint and put forward all supporting evidence at Stage 2, as they will not be able to raise new matters at Stage 3 and additional evidence will not be permitted unless they can show good reason why the new evidence/information was not available to them to put forward at Stage 2 and it is essential to the complaint.
42. Complainants are encouraged to consider resolutions and are able to suggest an appropriate resolution. If at any time the complainant's expectations appear to be beyond what the University can reasonably provide or are not within the University's power to provide, they will be advised of this as soon as possible in writing.

What the University will do when it receives a complaint for investigation

43. The Student Progress Team will acknowledge the receipt of a complaint within five working days.
44. All submitted complaint forms will be reviewed initially to establish whether they are eligible for consideration under this procedure.
45. Where a complaint has been made about a member of staff, the Student Progress Team will seek advice from the Director of Human Resources, or his/her nominee, prior to processing the concern or complaint.

Investigative meetings

46. Eligible complaints will be forwarded to a Complaints Investigator who will be independent of the source of the complaint. The purpose of conducting an investigation is to establish all of the facts relevant to the points made in the complaint and to provide a full, objective and proportionate response to the complainant which represents the University's clear position.
47. Within five working days, the appointed investigator will write to the complainant, clarifying how the complaint is to be investigated. The Complaints Investigator will usually consult the relevant Head of Department/School, Head of Service or his/her nominee and also seek to gather information as necessary from anyone else likely to be helpful in resolving the matter, including the complainant. Information may be sought in writing, via oral interview or both.
48. In any interview involving the complainant, s/he has the right to be accompanied by a student or employee of the University or an advisor from the SU Advice.
49. A Stage 2 report will be completed after each meeting, clearly setting out the process followed, the information gathered, the conclusions drawn and any recommendations.
50. If at any time the complainant's expectations appear to be beyond what the University can reasonably provide or are not within the University's power to provide, they will be advised of this as soon as possible in writing.

Mediation

51. The Complaints Investigator may consider whether the complaint or appeal is amenable to mediation at this stage.
52. Mediation and conciliation are voluntary processes where an impartial independent third party helps parties involved in a dispute to resolve issues confidentially. Using mediation can help both parties to understand what is driving the complaint, and may be more likely to result in a swift and mutually satisfactory conclusion being reached. Mediation and conciliation can be used to 'stop the clock' on the formal process which may be restarted if agreement cannot be reached.
53. Where both the University and the student agree to mediation or conciliation, revised timescales will be agreed between the parties and confirmed in writing. All parties should understand how the arrangement fits with more formal procedures, the scope of the mediation or conciliation process and whether its use is subject to the parties agreeing in advance to accept the solution offered and the findings reached.

Timelines

Closing the complaint at the formal stage

54. The outcome of the formal stage will normally be communicated to the student in writing, giving a clear explanation of the investigation and outlining the reasons for each decision. Where a complaint is upheld, the letter will also detail how and when the University will implement any remedy.
55. Should the student remain dissatisfied with the outcome of their complaint, they should escalate the matter to the internal review stage within one month of communication of the outcome of the formal stage.
If a student is satisfied with the outcome of the formal stage or does not take the complaint to the Internal Review Stage within four weeks, the University will close the matter and issue the student with a Completion of Procedures letter.
56. Details of the complaint, including its investigation and the final outcome will be held on file by the Student Progress Team and reported on annually to the Student Experience Committee.

Stage 3: Internal Review

57. If the student is dissatisfied with the outcome of the formal complaint stage and believes his/her complaint has not been handled properly or fairly according to these procedures, s/he may request an internal review of the complaint within one month of the date of the letter containing the outcome of the formal stage.
58. A request for an internal review may be made on limited grounds, including but not confined to:
 - A review of the procedures followed at the formal stage
 - Consideration of whether the outcome was reasonable in all the circumstances
 - New material evidence which the student was unable, for valid reasons, to provide earlier in the process
59. The purpose of conducting an internal review is to consider whether any procedural irregularities occurred during the formal complaint stage and whether the outcome was reasonable. The internal review stage will not usually consider the issues afresh or involve a further investigation. The complaint must have been considered at the formal complaint stage before it can be escalated to the internal review stage.
60. In order to request an internal review of the complaint, students must complete a Stage 3 Internal Review Form. The form can be

completed and submitted by a third party where appropriate written consent is provided. Students are expected to set out their complaint clearly and succinctly and provide evidence to substantiate the issues raised where possible.

What the University will do when it receives a request for review

61. Students can expect to receive written acknowledgement of the request for an internal review within five working days. The University will then pass the request for review to the Pro-Vice-Chancellor (Education) or his/her nominee for consideration.
62. If, at any time, the student's expectations appear to exceed the scope of the review stage, s/he will be advised of this as soon as possible in writing.

Closing the complaint at the review stage

63. The decision of the Pro-Vice-Chancellor (Education) or his/her nominee will be final. If the Pro-Vice-Chancellor (Education), or his/her nominee determines that the request for an internal review is not well-founded, then s/he will dismiss the case and will formally issue the student with a Completion of Procedures letter, explaining the reasons for his/her findings.
64. If the Pro-Vice-Chancellor (Education), or his/her nominee determines that the request for an internal review is well-founded, then s/he will initiate a review of the case and then issue the student with a Completion of Procedures letter, containing details of his/her findings and what the outcome will be. Where a complaint is upheld, the letter will also detail how and when the University will implement any remedy.
65. Details of the request for an internal review and the final outcome will be held on file by the Student Progress Team and reported on annually to the Student Experience Committee.

Stage 4: Independent External Review

66. Once the internal review stage has been completed, the student is entitled to ask the Office of the Independent Adjudicator (OIA), the independent ombudsman service of last resort, to look at his/her complaint. All applications to the OIA must be made within twelve months of the date of the Completion of Procedures letter issued by the University to the student.
67. The OIA considers complaints from people who remain dissatisfied at the conclusion of

the University's internal Student Concerns and Complaints Procedure. The OIA looks at issues such as whether the University followed its procedures, whether these procedures were reasonable, and whether the University's final decision was reasonable in all the circumstances.

68. The OIA **cannot** normally look at complaints:
- Where the student has not progressed through all stages of the University's Student Concerns and Complaints Procedure
 - Where the complaint refers to matters more than three years old
 - Where the Completion of Procedures letter is received outside the twelve month time limit
 - Where matters have been or are being considered in court.
69. The OIA's Scheme Rules and guidelines are available on its website, www.oiahe.org.uk

Managing unacceptable behaviour

70. All individuals involved in a complaint need to act reasonably and fairly towards each other and treat the process itself with respect and not abuse it. It is recognised that people may act out of character in times of trouble or distress, however, the University also recognises its duty to ensure the safety and welfare of its staff and students. Consequently, the University has a zero tolerance towards complainants whose behaviour is deemed to be unacceptable and action will be taken to protect staff. The term 'complainant' also includes anyone appointed as a third party to act on the complainant's behalf or anyone who contacts the University in connection with a complaint.
71. The University's definition of 'unacceptable behaviour' might include, but is not necessarily restricted to, cases in which there is clear evidence that the complainant has behaved in one or more of the following inappropriate ways or the complaint itself is considered to be frivolous, vexatious or motivated by malice:
- Complaints which are obsessive, harassing or repetitive
 - Communicating with the University in an abusive, offensive, defamatory, distressing, aggressive, threatening, coercive or intimidating manner
 - Submitting a complaint containing materially inaccurate or false information or evidence
 - Insistence on pursuing non-meritorious complaints and/or unrealistic, unreasonable outcomes
 - Insistence on pursuing meritorious complaints in an unreasonable manner
- Complaints which are designed to cause disruption or annoyance
 - Demands for redress which lack any serious purpose or value
72. When a complainant's behaviour, or the complaint itself, is considered to be unacceptable, as a first step, the University will normally tell the complainant why their behaviour or complaint is considered to be unacceptable and will ask them to desist. If the unacceptable behaviour continues, the University will take action to restrict contact with the University.
73. Any decision to restrict a student's access will be communicated to the student in writing by the Academic Registrar and will be appropriate and proportionate. The options the University is most likely to consider are:
- Requesting contact in a particular form
 - Requiring contact to take place with a named officer of the University
 - Restricting telephone calls or emails to specified days and times
 - Asking the complainant to appoint a representative to correspond with the University; and/or
 - Asking the complainant to enter into an agreement about their conduct
74. Should the complainant not agree with the restriction, s/he should contest the decision in writing to the Registrar and Secretary within 10 working days of its communication. The Registrar and Secretary will consider the student's representations and, where the restriction is considered unavoidable and reasonable, the Registrar and Secretary will confirm the terms of the restricted access to the student in writing.
75. In instances where a complaint is considered to be frivolous and/or vexatious, or where a complainant continues to behave in a way that is considered to be unacceptable, the Academic Registrar may decide whether University disciplinary proceedings should be initiated in accordance with the Code of Student Conduct or whether to terminate contact with the complainant. In exceptional circumstances, this may mean that consideration of the complaint is also terminated and a Completion of Procedures letter issued.
76. Should the complainant wish to appeal a decision to terminate contact or to dismiss a complaint, s/he should contest the decision in writing to the Registrar and Secretary within 10 working days of its communication. The Registrar and Secretary will consider the student's representations and, where the restriction is considered reasonable, the Registrar and Secretary will confirm the dismissal and issue the student with a Completion of Procedures letter within 28 days.

77. Where a complainant submits multiple complaints on substantially the same issue, the University reserves the right to treat the matter as a single complaint and to decline to respond to each separate subsequent complaint.

Governance of the Complaints Handling Procedure

Roles and Responsibilities

78. On behalf of the Academic Registrar, the **Student Progress Team** co-ordinates all aspects of the administration and delivery of this procedure across all University campuses. All matters related to student concerns and complaints should be addressed to this office in the first instance.
79. **Complaints Investigators** are trained senior staff members responsible for the conduct of investigations and the co-ordination of all aspects of the response to complainants.
80. The **Pro-Vice-Chancellor (Education)** conducts stage 3 of this procedure. S/he will review the complaint, at the complainant's request, to consider whether any procedural irregularities occurred during the formal stage, the reasonableness of the outcome and/or whether any further investigation is required.
81. On behalf of the University Senate, the **Academic Registrar** is responsible for ensuring that there are effective complaints handling procedures, with robust investigation processes which demonstrate that organisational learning is in place. S/he also ensures that concerns and complaints are used to identify improvements to services to students and also deal with any unacceptable behaviour by complainants.
82. The University **Senate**, through its **Academic Quality and Standards Committee** and **Student Experience Committee**, receives an annual report on student complaints in order to identify emerging issues and trends and recommend action as appropriate to the **Education Committee**.

Publicising complaints performance information

83. The University will record and monitor complaints to identify trends and areas where improvements could be made. The outcomes of this monitoring will be reported to the Student Experience Committee on an annual basis and used to inform University practices, procedures and activities and to enhance the quality of the student experience. Anonymous feedback will also be provided to the Students' Union Advice Centre on an annual basis to inform their activities in supporting complainants through the Student Concerns and Complaints Procedure.

Useful Information

Student Progress Team
University of Essex
Wivenhoe Park
Colchester
Essex
CO4 3SQ

Telephone: 01206 874370
Email: studentcomplaints@essex.ac.uk

Fees

SCHEDULE OF TUITION FEES 2016-17

University Steering Group has approved the following tuition fees for the 2016-17 academic year.

Contents

Section A	Undergraduate Fees
Section B	Postgraduate Taught Course Fees
Section C	Postgraduate Research Degree Fees
Section D	Modular Study
Section E	Postgraduate Visiting Research Students
Section F	Preparatory English and Pre-Sessional English Courses
Section G	Incoming Study Abroad
Appendix 1	Postgraduate Taught Modular Fees

SECTION A: UNDERGRADUATE FEES

Course Type	Home and EU		Overseas
	New Entrants	Continuing Students (maximum permissible fee set by UK government)	
Full-time degrees	£9,000 (CRT:U)	Students admitted in October 2011 £3,465; Students admitted in 2012 onwards £9,000 ELQ Students: Classroom-based: £8,540 Performance-based: £10,135 Laboratory-based: £12,780	Courses in Biological Sciences and Psychology £14,950 (CRT:P)
			Foundation Degree in Oral Health Science (which includes placement fees) ¹⁹ £28,853 (CRT:XX)
			All other courses: £12,950 (CRT:U)
Year zero of Essex Pathways 4 year degrees	£9,000 (selected courses only)	£9,000 (repeat year students only)	£11,350 (CRT:UI)

¹⁹ Composed of the current fee band for UG lab- based degrees and the current NHS placement education fee.

International Diploma in Business	£9,000	n/a	£12,950 (CRT:U)
International Foundation Programme	£9,000 (CRT:UI)	n/a	£11,350 (CRT:UI)
Placement or year abroad within four year degree	Zero fee	Students admitted in October 2011 £1,725; Students admitted in 2012 onwards zero fee	Students admitted in October 2011 50% of standard fee; Students admitted in 2012 onwards zero fee
Island fees (Channel Islands and Isle of Man)	£9,000	Students admitted in October 2012 £9,000	n/a

Undergraduate part-time fees

In 2016-17 no part-time undergraduate student undertaking a fee regulated HE course should incur an annual fee of more than £4,500.

SECTION B: POSTGRADUATE TAUGHT COURSE FEES

(a) HEU Masters Fee Bands

HEU fees for Masters Courses are on a series of incremental bands.

A	B	C	D	E	F	MBA
£5,950	£6,950	£7,950	£8,950	£9,950	£11,150	£17,500

(b) Overseas Masters Fee Bands

Overseas fees for Masters Courses are on a series of incremental bands.

Mathematics and Computer Science & Electronic Engineering (CRT:A)	History, Language & Linguistics, Centre for Psychological Studies, School of Health & Human Sciences, Law, Essex Pathways, Literature, Film, and Theatre Studies, School of Philosophy & Art History (CRT:B)	Biological Sciences, Essex Business School, Economics, Government, Sociology, Psychology (CRT:C)	MBA (CRT:M)
£14,500	£14,950	£15,500	£17,500

(c) All Masters Fees by Subject (see para. (d) for East 15 Acting School)

Masters courses in any given subject discipline or sub-discipline are allocated to a specific HEU and OS fee band as set out below for full-time study. Part-time students returning for the second year of the course pay 50% of the fee specified.

Department/Centre	Discipline	Home/EU Fee	Overseas Fee
Art History & Theory	All Masters	Band A £5,950	£14,950
Biological Sciences	MSc Environmental Resource Management, MSc Environmental Governance: Natural World, and MSc Natural Environment and Society	Band A £ 5,950	£15,500
	All other Masters	Band A £5,950	£15,500
Computer Science and Electronic Engineering	Computational Finance, Financial Computing, Algorithmic Trading	Band F £11,150	£14,500
	All other Masters	Band A £5,950	£14,500
Economics	All Masters	Band E £9,950	£15,500
Essex Business School	MBA	Band MBA £17,500	£17,500
	All other Masters	Band E £9,950	£15,500
Government	All Masters	Band C £7,950	£15,500
Health & Human Sciences	Pre-registration Masters in Physiotherapy, Occupational Therapy, Speech & Language Therapy, Adult Nursing, Mental Health Nursing	Fees set and awarded by NHS	Occ. Therapy: ²⁰ £15,500 (CRT:C) Speech & Lang Therapy £15,500 (CRT:C)
	Health Research	Band A £5,950	£14,950
	Health & Organisational Research	Band B £6,950	£14,950

²⁰ The international fee for these courses is aligned with the current maximum fee band for standard courses.

	Periodontology	£11,500	£16,000
	Advanced Periodontal Practice	£10,500	£15,500
	All other Masters	Fees set and awarded by NHS	N/A
History	All Masters	Band A £5,950	£14,950
Human Rights	All Masters	Band B £6,950	£14,950
Languages & Linguistics			
	All Masters	Band A £5,950	£14,950
Law	International Human Rights Law, International Human Rights & Humanitarian Law, International Human Rights Law: Economic Relations, LLM in Economic, Social and Cultural Rights	Band D £8,950	£14,950
	All other Masters	Band B £6,950	£14,950
Literature, Film, & Theatre Studies	All Masters	Band A £5,950	£14,950
Mathematics	Mathematics & Finance, Financial Decision Making with Apps	Band C £7,950	£14,500
	All other Masters	Band A £5,950	£14,500
Philosophy	All Masters	Band A £5,950	£14,950
Psychoanalytic Studies	MA Refugee Care	Band C £7,950	£14,950
	All other Masters	Band B £6,950	£14,950
Psychology	All Masters	Band A £5,950	£15,500
Sociology	Advertising, Marketing, Media (with Essex Business School)	Band B £6,950	£15,500
	All other Masters	Band A £5,950	£15,500

(d) Fees for East 15 Acting School (CRT:X)

Course	HEU Fee	Overseas Fee
MA Acting	£12,700	£14,000
MFA Acting	£12,700	£14,000
MA Theatre Directing	£11,700	£12,700
MFA Theatre Directing	£11,700	£12,700
MA Acting (International)	£12,700	£14,000
MFA Acting (International)	£12,700	£14,000

(e) Diplomas and Certificates

Fees for **Postgraduate** Diplomas and Certificates are linked to the HEU or Overseas fee for the Masters course in the same subject discipline and charged at 80% and 40% of the relevant Masters fee (the 'baseline fee') respectively.

HEU Fees for **Graduate** Diplomas and Certificates, which are postgraduate in time but not in level, i.e. they are taken after a first degree but are final year honours in level, are charged at 80% and 40% of the Band A or (exceptionally) the B Masters fee. Overseas fees for these courses are charged as set out below.

Fees for diplomas and certificates are therefore within a range as follows:

Baseline Fee	HEU A £5,950	HEU B £6,950	HEU C £7,950	HEU D £8,950	HEU E £9,950	HEU F £11,150	OS £14,500	OS £14,950	OS £15,500
PG Diploma (DIP)	£4,760 CRT:AD	£5,560 CRT:BD	£6,360 CRT:CD	£7,160 CRT:DD	£7,960 CRT:ED	£8,920 CRT:FD	£11,600 CRT:AD	£11,960 CRT:BD	£12,400 CRT:CD
PG Cert. (CER)	£2,380 CRT:AC	£2,780 CRT:BC	£3,180 CRT:CC	£3,580 CRT:DC	£3,980 CRT:EC	£4,460 CRT:FC	£5,800 CRT:AC	£5,980 CRT:BC	£6,200 CRT:CC
Graduate Diploma (DIPL)	£4,760 CRT:AE	£5,560 ²¹ CRT:BE						£9,750 CRT:AE	
Graduate Cert. (CERL)	£2,380 CRT:AB							£4,875 CRT:AB	

SECTION C: POSTGRADUATE RESEARCH DEGREE FEES

(a) Home and EU students

The Research Councils indicative fee for 2016-17 (CRT:R) is £4,121 which we have rounded down to £4,120.

²¹ The Graduate Diploma in Psychoanalytic Studies and Psychodynamic Approaches/Counselling is priced at 80% of the Band B Masters fee for HEU students to reflect the additional costs of this course.

This is normally adopted as the approved fee level for:

- (i) All Home/EU research students (PhD, MPhil, MA/MSc by dissertation, MD at University of Essex departments/centres) full and part-time where part-time is at half the standard fee;

With the exception of:

- Year 1 (full time) or years 1 and 2 (part-time) of the for the doctoral programme in Refugee Care which includes a taught first year which is charged at the Masters rate unless student is in receipt of a Research Council award.
- Professional Doctorates in School of Health & Human Sciences, fees for which are determined by the NHS as follows:

Professional Doctorates - Health and Human Sciences		
Subject	Home/EU fee Year 1 and 2	Home/EU fee Year 3+
Applied psychology Counselling psychology Nursing Occupational therapy Physiotherapy Public health (health visiting) Social care practice management Health care education Social care education Health services management Social services management	£3,100 (NHS funded)	Half the standard PhD fee (CRT:R)
DClinPsych pre-registration course full time (self-funded)	£17,060 (plus up to £5,000 bench fees)	

- Professional Doctorates in the Centre for Psychoanalytic Studies (part-time) which are charged at postgraduate taught Band B, pro-rated for part-time study.
- (j) Home/EU students on a taught postgraduate course in receipt of an ESRC or AHRC award, where the standard RC Masters fee is payable.

(b) Overseas students

PhD, MPhil, MA/MSc by dissertation, MD in Biological Sciences and Psychology	£14,950 (CRT:S)
PhD, MPhil, MA/MSc by dissertation in all other subjects (Art History & Theory, Computer Science and Electronic Engineering, Economics, Essex Business School, Government, Health & Human Sciences, History, Institute for Social and Economic Research, Languages & Linguistics, Law, Literature, Film, and Theatre Studies; Mathematics, Philosophy, Psychoanalytic Studies, Sociology)	£12,950 (CRT:R)
Professional Doctorates in Health & Human Sciences (part-time)	Pro rata to CRT:S £7,475
Professional Doctorates in Centre for Psychoanalytic Studies (part-time)	Pro rata to CRT:R £6,475
Doctorate in Applied Psychology/Clinical Psychology (DClinPsych) (full time)	£20,000 (plus up to £5,000 bench fees)

(c) Completion and extension fees

Completion and extension fees for Research Degree students in any subject area, for all fee status categories are as follows:

Completion (up to three terms)	£250 per term
Further Completion for students first registered prior to 2008-09 (up to three terms)	£500 per term
Exceptional extension to maximum period, following Completion period, for students first registered from 2008-09	£500 per term
Extension fee for Doctorate in Clinical Psychology	Part-time fees per term

SECTION D: MODULAR STUDY

a) Undergraduate

Fees for modules taken on an individual basis by students registered part-time in order to complete modules for reassessment, will be charged by credit value pro rata to the relevant full-time fee, e.g. a 30 credit module will cost $\frac{1}{4}$ of the relevant full-time fee.

Fees for modules taken on an individual basis by students **concurrently** studying for a degree are determined on an individual basis, but are normally charged by credit value pro rata to the relevant full-time fee that the particular student is paying for their year of entry.

b) Essex Modern Language Certificates

Fees for 2016-17 are as follows:

Members of the public:

- £890 per 30 credit module (costs of the study abroad element of intensive language courses would be charged as an extra)

Members of the University (i.e. registered students/members of staff):

- £556 per 30 credit module

c) Languages for All Programme

All students wishing to learn a language in addition to their main course of study can do so at no additional cost through Languages for All. Students who wish to undertake a second language course can do so for a fee. For 2016-17 the fee for both Express and Portfolio additional modules has been set at £250.

d) Postgraduate Taught

Postgraduate Modular Study Fees for 2016-17 are listed in Appendix 1.

i. Home/EU

Modular study students declaring at the outset that they will not undertake assessments are entitled to 10% fee reduction, rounded to the nearest £5. There are no refunds for intended assessments which are not completed.

ii. Overseas

Overseas students would normally be unable to study part-time because of their immigration status.

SECTION E: POSTGRADUATE VISITING RESEARCH STUDENTS

The University has a tariff for visiting students seeking research attachments to departments and/or individual members of academic staff. This is intended to reflect the cost of providing a research attachment for an occasional student. These fees are calculated as a proportion of the standard PhD fees. The monthly rate is calculated as a quarter of a 'four month' term.

	HEU Fee	Overseas Fee	
	All	Classroom	Lab
Full year	£2,060	£6,475	£7,475
2 terms ²²	£1,375	£4,320	£4,980
1 term ³	£685	£2,160	£2,490
Monthly (3 months max.)	£175	£540	£625

The fee will include contact time with academic staff which would not exceed that delivered to a registered research degree student and occasional lecture attendance. Full module attendance would still require separate registration and payment in order to be registered for classes, examinations and to receive credit at the conclusion of the module.

SECTION F: PREPARATORY ENGLISH AND PRE-SESSIONAL ENGLISH COURSES

The Essex Pathways fees for students on Preparatory English and Pre-Sessional English courses are not set in tandem with academic courses for the same academic year owing to the nature of the market. The following fees apply to courses in the academic years 2015-16 and 2016-17.

Course	2015-16 weekly fee	2016-17 weekly fee	Implementation date
Essex English Language Programme (EELP)	£295	£295	1 October 2016
Pre-Sessional English Programme (IA) PALSS	£300		1 Feb 2016
Pre-Sessional English Programme (IA) PEL and subject specific	£325		1 Feb 2016
EASP	£550 total fee	£550 total fee	1 Aug 2016

SECTION G: INCOMING STUDY ABROAD

	EU Students	Overseas Students
Full Year	£9,000	£11,750
Spring/Summer Term	£5,100	£6,800
Autumn Term	£3,900	£4,950

²² Includes vacation subsequent to final term, eg 1 term would be circa 1 October to circa 15 January.

FEES FOR INFORMATION ONLY

Fees for postgraduate research degrees at Writtle College are published by the College at www.writtle.ac.uk/fees

Katherine Free
Secretary to Student Funding and Fees Group
June 2015

POSTGRADUATE TAUGHT MODULAR FEES

Appendix 1

	Diss Credit Value	Taught Module Credits	Diss Home Fee	Diss Overseas Fee	PG Module Home/EU per credit	PG Module Overseas per credit	10cr Home PG	10cr Oseas PG	15cr Home PG	15cr Oseas PG	20cr Home PG	20cr Oseas PG	30cr Home PG	30cr Oseas PG
AR	80	100	£1190	£2990	£48	£120	£480	£1200	£710	£1790	£400	£2390	£1430	£3590
BE	60	120	£1990	£3100	£66	£103	£660	£1030	£990	£1550	£600	£2070	£1990	£3100
BS	60	120	£1190	£3100	£40	£103	£400	£1030	£600	£1550	£720	£2070	£1190	£3100
CE	60	120	£1190	£2900	£40	£97	£400	£970	£600	£1450	£360	£1930	£1190	£2900
CF	60	120	£2230	£2900	£74	£97	£740	£970	£1120	£1450	£480	£1930	£2230	£2900
EA	60	120	£2540	£2800	£85	£93	£850	£930	£1270	£1400	£480	£1870	£2540	£2800
EC	40	140	£1990	£3100	£57	£89	£570	£890	£850	£1330	£700	£1770	£1710	£2660
GV	60	120	£1590	£3100	£53	£103	£530	£1030	£800	£1550	£480	£2070	£1590	£3100
HR	80	100	£1190	£2990	£48	£120	£480	£1200	£710	£1790	£400	£2390	£1430	£3590
HS	60	120	£1190	£2990	£40	£100	£400	£1000	£600	£1500	£480	£1990	£1190	£2990
HU	60	120	£1390	£2990	£46	£100	£460	£1000	£700	£1500	£480	£1990	£1390	£2990
LA	60	120	£1190	£2990	£40	£100	£400	£1000	£600	£1500	£360	£1990	£1190	£2990
LG	60	120	£1190	£2990	£40	£100	£400	£1000	£600	£1500	£360	£1990	£1190	£2990
LL	60	120	£1190	£2990	£40	£100	£400	£1000	£600	£1500	£480	£1990	£1190	£2990
LT	80	100	£1190	£2990	£48	£120	£480	£1200	£710	£1790	£400	£2390	£1430	£3590
LW	60	120	£1390	£2990	£46	£100	£460	£1000	£700	£1500	£480	£1990	£1390	£2990
MA	60	120	£1190	£2900	£40	£97	£400	£970	£600	£1450	£360	£1930	£1190	£2900
PA	60	120	£1390	£2990	£46	£100	£460	£1000	£700	£1500	£480	£1990	£1390	£2990
PS	60	120	£1190	£3100	£40	£103	£400	£1030	£600	£1550	£720	£2070	£1190	£3100
PY	90	90	£1190	£2990	£53	£133	£530	£1330	£790	£1990	£360	£2660	£1590	£3990
SC	60	120	£1190	£3100	£40	£103	£400	£1030	£600	£1550	£480	£2070	£1190	£3100
TH	80	100	£1190	£2990	£48	£120	£480	£1200	£710	£1790	£300	£2390	£1430	£3590
MBA	40	140	£3500	£3500	£100	£100	£1000	£1000	£1500	£1500	£2000	£2000	£3000	£3000

General Notes:

1. The amounts set out in this document for academic tuition are composite fees which include admission, registration, examination and graduation fees as well as an obligatory contribution towards the cost of student societies, sports activities and other amenities. The fees identified in this document do not include accommodation, or the cost of meals, or special or re-examination fees.
2. Late payment penalties are charged if tuition fee payments are late. Home and EU undergraduates are charged £25 per term – all other students are charged interest at 12.5% pa.
3. Once registered at the University, all students, regardless of funding source and fee status, are liable for their fees for the relevant degree course or research degree. If for any reason a student withdraws from their course, information on tuition fee payment and liability can be found on our website at:
www.essex.ac.uk/feesandfunding/current/payments/
4. Liability for payment of overseas fees is determined by reference to the Education (Fees and Awards) Regulations. The Head of Admissions is responsible for determining the fee status of applicants to the University. Following Registration, a request by the student to reclassify their fee status can be considered only in specific circumstances (<http://www.essex.ac.uk/fees-and-funding/current/fee-status/>). Cases are considered by the Funding team and referred to the Academic Registrar for approval.

5. Other Fees and Charges:

Higher Doctorate	£1000
Re-examination or re-submission of coursework	£40 per module
Masters dissertation re-submission	£120
PhD re-examination or re-submission	£145
Partial Registration (during a period of intermission of studies)	No Fee
Continuation Fee (Mastership students)	£100 per term
Late Registration Fee	£75
Fee for late change to exam entry details	£25
Fee for late change to exam entry details – on second notification	£35
Duplicate Degree Certificate	£40
Replacement Student Registration Card	£10
Confirmation of Conferment of Degree	£5 per copy
Transcript	£5 per copy

Information available elsewhere

GUIDELINES, STATEMENTS, POLICIES AND CODES OF PRACTICE	
Academic Freedom and Freedom of Speech, Code of Practice (pdf)	www.essex.ac.uk/about/governance/documents/policies/cop-freedom-of-speech.pdf
Dealing with Late Submission of Coursework, Guidelines for	www.essex.ac.uk/students/exams-and-coursework/late-submission.aspx
Disability Statement (pdf)	www.essex.ac.uk/about/governance/documents/policies/disability-statement.pdf
Drugs and Alcohol Policy	www.essex.ac.uk/students/health-and-wellbeing/documents/alcohol-drugs-policy.doc
Equality and Diversity Codes of Practice	www.essex.ac.uk/equality/strategy.aspx
Fitness to Practise Procedure (pdf)	www.essex.ac.uk/about/governance/documents/policies/procedures-fitness-to-practise.pdf
Fitness to Study Policy and Procedure (pdf)	www.essex.ac.uk/students/health-and-wellbeing/documents/fitness-to-study.pdf
Harassment and Bullying, Guidelines for Dealing with	www.essex.ac.uk/equality/harassment/guidelines.aspx
Ownership of Intellectual Property Rights in Students' Work, Guidelines (pdf)	www.essex.ac.uk/about/governance/documents/policies/guidelines-ownership-ipr-students.pdf
IT Facilities, Guidelines for the Use of	www.essex.ac.uk/it/about/guidelines/default.aspx
Protection of under 18s and Vulnerable Adults (pdf)	www.essex.ac.uk/students/new/documents/under-18-information.pdf
Rules Governing the registration, driving and parking of vehicles within the University grounds	www.essex.ac.uk/estates/documents/rules-governing-vehicles.pdf
Health and Safety Policy	www.essex.ac.uk/health-safety/policies/hs-policy.aspx
Safeguarding Policy	www.essex.ac.uk/staff/student_support/safeguarding/default.aspx
Student Absence and Medical Certification, Procedures relating to	www.essex.ac.uk/about/governance/policies/absence.aspx
Student Representation and feedback	www.essex.ac.uk/quality/student_representation/student_rep.asp
Whistleblowing Policy (pdf)	www.essex.ac.uk/about/governance/documents/policies/policy-whistleblowing.pdf

Index

A

Academic Conduct, 105
Academic Offences
appeals, 141
Appeals Committee, 141
Determining an Academic Offence, 138
penalties, Postgraduate Research Students, 139
penalties, Undergraduate and Postgraduate Taught Students, 139
procedures, 135
resubmitted work, 140
Withdrawn students, 138
Academic Offences and Rules of Assessment, 140
Academic Quality and Standards Committee, 26
Academic Regulations, 67–122
academic Staff
functions of, 54
Academic Staff
allocation of posts, 52
appeals against disciplinary action, 61
appeals against dismissal, 61
definition of, 51, 52
disciplinary procedures, 60
grievance procedures, 62
outside paid work, 55
probation, 55
redundancy, 57
removal of, 60
Academic Staffing Committee
membership and terms of reference, 32
Administration of Teaching, 101
Admissions Criteria
Masters degrees, 85
Advisory Groups of University Steering Group, 19
Allocation of Posts, 52
Alumni Association, 37, 52
Appeal, 155
discontinuation, 154
Appeal Committee, 155, 156
Appeal Procedure
academic staff, 61
examination decision (Thesis) –
postgraduate research students, 155
Masters by dissertation, 153
MPhil, 153
PhD, 153
Progress decision – Professional Doctorate Students, 157

Appeals Procedure for Research Students, 158
Appeals process, Residents' Conduct Appeals, 120
Attendance and Conduct, 102, 145
Audit and Risk Management Committee
membership and terms of reference, 15
Auditors, 39

B

Bachelor of Arts, degree of, 70
Bachelor of Engineering, degree of, 70
Bachelor of Laws, degree of, 70
Bachelor of Science, degree of, 70
Biological Hazards and Genetic Modification Safety Committee, 21
Boards
procedure for, 50
Boards and Committees
procedure for, 50
Boards and Committees of Senate and Council, 50
Boards of Examiners, 65

C

Capital Planning Group
membership and terms of reference, 19
Casting vote
boards and committees, 50
Certificates, principal regulations, 86
Chancellor, 9, 37
acting, 41
nomination of, 41
Change of Status
Research degrees
Upgrading, Downgrading, Discontinuation, Full/Part-time, 88
Charter, 34–38
Committees of the Council, 15
Committees of the Senate, 25
Common Seal, 35
Conduct
breach of, 122, 123
Conduct of students, 105
Conferment of Degrees on, 52
Council, 13, 36
elected members of, 45
members of, 13
membership of, 13, 39
powers, 44
standing orders of, 45

Council Committees. See Committees of Council
Court. See
meetings of, 50
membership of, 49
Criminal convictions, 123
Criminal investigations, 123

D

Dates of terms, 2
Deans, 43
Departments, 51
Heads of, 44
meetings of, 51
Diary for Academic Year, 4
Directors of Centres, 10
Discipline
breach of, 127, 131
Discrimination, 38
Dismissal, 58
Distance Learning, 87
Doctor of Philosophy, degree of
appeals procedure, 153
Drugs, misuse of, 126

E

Education Committee, 25
Emeritus Professors, 64
Ethics Committee
membership and terms of reference, 33
Examination results, all research degrees, 90
Examinations, dates of, 2
External Examiners, 46, 64

F

Faculty Education Committee (Humanities), 27
Faculty Education Committee (Science and Health), 28
Faculty Education Committee (Social Sciences), 29
Fees, 97

G

General Meeting of Staff, 51
General Regulations, 105
Good Cause, 57
Graduate Certificates, 86
Graduate Diplomas, 86

Graduation, dates of, 2
Grievance Procedures, academic staff, 62

H

Heads of Department, 9, 10–12, 44
Health and Safety Group
membership and terms of reference, 20
Health and Safety Group Sub-Committees
Biological Hazards and Genetic Modification
Safety Committee, 21
Ionising Radiation Protection Committee, 21
Safety Advisory Group, 21
Higher Degree Regulations, 84–96
Honorary Degrees and Honorary
Fellowships
conferment of Degrees on, 52
Honorary Degrees and Honorary
Fellowships Committee, 24, 34
Human Resources and Equality and
Diversity Group
membership and terms of reference, 21

I

Immigration Status Requirements and
extraordinary removal of University
(Immigration) Sponsorship, 106
Information available elsewhere, 179
Integrated Masters, degree of, 70
Investment Sub-Committee, 19
Ionising Radiation Protection Committee, 21

J

Joint and Dual awards and Co-supervision, 92
Joint Committee of Council and Senate, 34

L

Leave of Absence, 55
Lectureships
appointment to, 54
LLB Degrees. See Bachelor of Laws

M

Master of Arts, degree of, 70
Masters degree requirements, 85
Masters degrees
admissions criteria, 85
registration, 85
Maternity leave, 55

N

Nominations Committee
membership and terms of reference, 16

O

Objects of the University, 35
Office of the Independent Adjudicator for
Higher Education (OIA), 122, 134, 149, 152,
155, 157, 158
Officers
removal of, 58, 64
Officers of the University, Principal, 9
Ordinances, 38, 41–66
Outside paid work, Academic staff, 55

P

Partnerships Education Committee, 30
Plagiarism, 135, 136
Postgraduate Certificates, 86
Postgraduate Diplomas, 86
Principal Officers of the University, 9
Prizes, 108
Probation, 55
Procedural irregularities, 155
Procedure for appeals against the decisions
of Board of Examiners for all Taught
Programmes, 150
Pro-Chancellors, 9, 37, 41
Proctor, The, 116, 125
Professional misconduct, 124
Professorships
allocation of, 53
appointment of, 53
Progress and Appeals Procedure
Research Degree Students, 153
Progress and Appeals Procedures for
Taught Programmes of Study, 145
Progress Committee
quorum of, 147
Progress decision, 154
Progress Procedures
Research Students, 153
Pro-Vice-Chancellors, 9, 37, 42

Q

Quorum, 121, 130, 133, 147
boards and committees, 50

R

Redundancy, 57
Registrar & Secretary, 9, 42
Registration
for Masters degrees, 85
Regulations relating to Academic Affairs,
101
Regulations relating to Admission, 67
Regulations relating to Registration, 97
Remuneration Committee
membership and terms of reference, 16
Research Committee
membership and terms of reference, 34
Research degree Requirements, 86
Research degrees
Appeals and Complaints, 91
Appointment of an Independent Chair, 89
Appointment of Examiners, 89
Completion fee, 88
Completion period, 87
Conferment of the Degree, 91
Final Thesis Submission, 91
Higher Doctorates, 96
Minimum and maximum periods, 87
Oral examination, 90
Paid duties, 87
Principal regulations, 86
Publication of Result, 91
Result, failure to agree, 91
Thesis Requirements, 88
Viva Voce Examination (Viva), 90
Reserved Business, 50
Residents' Conduct Procedures, 118
Residents' Conduct Regulations, 117
Resources Committee
membership and terms of reference, 18
Resources Committee, 15
Resources Sub-Committees, 19

S

Safeguarding Advisory Group, 23
Safety Advisory Group, 21
Seal, 66
Senate, 37
Chair, 37
members, 14
membership of, 14, 45
powers, 46
procedure for election, 48, 49
standing orders of, 47
Senate Agenda Group, 48
Senate Committees. See Committees of the
Senate

Senate Committees which report annually, 33
Sick Leave, 55
Special Resolution, 37
Staff candidature, 91
Statutes, 37, 39–40
definitions, 37
interpretation of, 40
Student Concerns and Complaints procedure, 160
Student Conduct
breach of, 134
code of, 123
Student Conduct Appeals Process, 132
Student Conduct Committee, 130
quorum of, 130
Student Conduct Committee Appeal
quorum of, 121, 133
Student Conduct in Residential Accommodation, code of, 115
Student Conduct Officer, 9, 116, 125
Student Conduct Panel, 117, 125
Student Conduct Procedures, 127
Student Conduct Regulations, 125
Student Conduct, Committee of Appeal, 121
Student Experience Committee, 31
Student membership of the Senate, 46
Students' Union, 37, 51
Study Leave, 55
Systems and Academic Projects Board, 22

T

Taught Masters Programmes
Continuation period, 86

Terms of Reference. See under committee name
Terms, dates of, 2
Travel Grants, 108
Treasurer, 9, 37, 41

U

University
members of, 41
objects, 35
powers of, 35
seal, 66
University Steering Group
membership and terms of reference, 17
Sub-Committee
Capital Planning Group, 19
Project Coordination Group, 21
University Steering Group Advisory Groups, 19

V

Vice-Chancellor, 9, 37, 41
acting, 42
Visitor, 38

Y

Year and Term, definition of, 65